

51st GEF Council Meeting
October 25 – 27, 2016
Washington, D.C.

Agenda Item 03

ANNOTATED PROVISIONAL AGENDA

Agenda Item 01.

Opening of the Meeting

(CEO Chairs)

1. The meeting will be opened by Naoko Ishii, CEO/Chairperson of the Facility.

Agenda Item 02.

Election of a Chairperson

(CEO Chairs)

2. In accordance with paragraph 18 of the Instrument, a Chairperson will be elected from among the Members of the Council. Paragraph 18 provides that the position of elected Chairperson will alternate from one meeting to another between Council Members from developed and developing countries. The elected Chairperson at the previous Council meeting was Ms. Caroline Leclerc, the Council Member from Canada.
3. Consistent with paragraph 18 of the Instrument, the Elected Chairperson is expected to Chair the Council meeting during discussions on agenda item 03, "Adoption of the Agenda", agenda item 04, "Annual Portfolio Monitoring Report 2016", agenda item 09, "Report of the Chairperson of the Scientific and Technical Advisory Panel", agenda item 10, "Semi-Annual Evaluation Report October 2016", agenda item 11, "Relations with the Conventions and Other International Institutions", agenda item 14, Memoranda of Understanding with the United Nations Convention to Combat Desertification and the Minamata Convention on Mercury, and agenda item 15, "Report of the Selection and Review Committee".
4. The elected Chairperson and the CEO will jointly chair the Council meeting during discussions on agenda item 16, "Other Business", and agenda item 17, "Joint Summary of the Chairs".
5. The CEO/Chairperson of the Facility will chair the Council meeting during discussions of the other items on the agenda.

Agenda Item 03.

Adoption of the Agenda

(Elected Chairperson Chairs)

6. In addition to this document GEF/C.51/02/Rev.01, *Annotated Provisional Agenda*, a *Provisional Agenda* for consideration by the Council has been issued as document GEF/C.51/01.
7. *The Council is invited to adopt the agenda for the meeting.*

Agenda Item 04.

Annual Portfolio Monitoring Report 2016
(Elected Chairperson Chairs)

8. Document GEF/C.51/03, *Annual Portfolio Monitoring Report 2016*, covers a wide range of topics anchored on analyses of relevant datasets. Chapter 1 mirrors what was previously called Annual Monitoring Review (AMR I) and focuses on performance of projects, while the rest of the report contains deep dives on selected thematic and cross-cutting topics. Chapter 2 provides insights into the Non-Grant Instruments (NGIs) Pilot. Chapter 3 provides a status update on results of the UNDP-implemented GEF Small Grants Programme (SGP). The APMR includes three annexes that contain detailed analyses of FY15 and FY16 (Annex I); portfolio monitoring for the GEF since inception (Annex II); and the GEF Corporate Scorecard, which is also published as a stand-alone document (Annex III).
9. Draft decision: *The Council, having reviewed document GEF/51/03, Annual Portfolio Monitoring Report 2016, welcomes the overall finding that the GEF portfolio under implementation in FY16 performed satisfactorily across all focal areas. The Council also welcomes the update of the GEF Corporate Scorecard.*

Agenda Item 09.

Report of the Chairperson of the Scientific and Technical Advisory Panel
(Elected Chairperson Chairs)

10. The Chairperson of the Scientific and Technical Advisory Panel (STAP) will be invited to make a statement to the Council on the work of the Panel. A written report of the Chairperson can be found in document GEF/STAP/C.51/Inf.01, *Report of the Chairperson of the Scientific and Technical Advisory Panel*. An opportunity will be provided for Council Members to comment on the report, address questions to the Chairperson, and provide guidance to the Panel, as appropriate.

Agenda Item 06.

Update on GEF-6 Resource Availability
(CEO Chairs)

11. Document GEF/C.51/04, *Update on GEF-6 Resource Availability*, provides an update of overall projected GEF-6 resource availability, reporting on the impact of two main factors affecting resource availability, namely exchange rate movements and project cancellations as a result of the one-time cancellation of overdue projects following the Council's decision adopted at the 48th Council meeting. Projections point to a significant GEF-6 resource shortfall.

Therefore, this paper makes recommendations on how the Secretariat will undertake programming in order to effectively manage the projected shortfall through the end of GEF-6.

12. Draft decision: *The Council, having reviewed document GEF/C.51/04, Update on GEF-6 Resource Availability, agreed that, as a contingency measure to effectively manage the projected shortfall of the GEF-6 resource envelope, the Secretariat undertake programming aiming to maintain the balance among the original allocations in the GEF-6 replenishment decision, assisting Least Developed Countries (LDCs) and Small Island Developing States (SIDS) in accessing resources, and supporting core obligations to the conventions for which the GEF is a or the financial mechanism.*

Agenda Item 07.

Work Program (CEO Chairs)

13. Document GEF/C.51/05, *Work Program*, requests a total of \$279.7 million of GEF trust fund resources and \$22.2 million in associated Agency fees, and has an indicative amount of \$1.9 billion in co-financing, meaning that each dollar provided by GEF is matched by \$6.7 in co-financing. The recommended Work Program consists of 19 projects and programs proposed in accordance with the GEF 2020 strategy and the GEF-6 Programming Directions framework. The Work Program has a strong emphasis on biodiversity, international waters, and chemicals and waste, and enhanced funding for SIDS, resulting in a fairly balanced programming among focal areas in GEF-6 to date, with international waters lagging slightly behind.
14. Draft decision: *The Council, having reviewed document GEF/C.51/05, "Work Program for GEF Trust Fund", approves the Work Program comprising 16 project concepts and three programmatic frameworks, subject to comments made during the Council meeting and additional comments that may be submitted in writing to the Secretariat by November 9, 2016.*
15. *Total resources approved in this Work Program amounted to \$301.91 million which include GEF project financing and Agency fees.*

Agenda Item 08.

Seventh Replenishment of the GEF Trust Fund
(CEO Chairs)

16. Document GEF/C.51/06, *Seventh Replenishment of the GEF Trust Fund*, proposes the initiation process of the seventh replenishment of the GEF Trust Fund.
17. Draft decision: *The Council, having reviewed document GEF/C.51/06, Seventh Replenishment of the GEF Trust Fund, requested the Trustee, in cooperation with the Secretariat, to initiate the discussions on the seventh replenishment of resources of the GEF Trust Fund.*

Agenda Item 12.

Monitoring Agency Compliance with GEF Policies on Environmental and Social Safeguards, Gender and Fiduciary Standards: Implementation Modalities
(CEO Chairs)

18. Document GEF/C.51/08, *Monitoring Agency Compliance with GEF Policies on Environmental and Social Safeguards, Gender, and Fiduciary Standards: Implementation Modalities*, presents implementation modalities for Agencies' self-assessment and reporting on their compliance with GEF policies on environmental and social safeguards, gender, and fiduciary standards. In addition, it presents options for a risk-based, third-party review of Agencies' compliance and recommends implementation modalities that aim to address the need for monitoring while minimizing the associated burden on the GEF Partnership. These modalities along with the associated rules and principles are set out in a proposed policy on monitoring Agencies' compliance.
19. Draft decision: *The Council, having reviewed document GEF/C.51/08, Monitoring Agency Compliance with GEF Policies on Environmental and Social Safeguards, Gender, and Fiduciary Standards: Implementation Modalities, approves the proposed Policy on Monitoring Agencies' Compliance contained in Annex I to the document. The Council further agrees to review the Policy following completion of the first round of Agencies' self-assessments, third-party reviews and reporting, and in time to inform implementation of the subsequent round.*

Agenda Item 10.

Semi-Annual Evaluation Report October 2016 and Management Response

(Elected Chairperson Chairs)

20. Document GEF/ME/C.51/01, *Semi-Annual Evaluation Report October 2016*, presents the progress towards the Sixth Comprehensive Evaluation of the GEF, including the findings and conclusions of the completed International Waters Focal Area Study and a Value for Money Analysis of GEF Land Degradation Projects. The SAER also includes emerging findings of the study on GEF's Engagement with the Private Sector, the Chemicals and Waste Focal Area Study, and the review of GEF's support in policy, legal and regulatory reform in countries. An overview of the status of OPS6, including ongoing work on thematic evaluations, and an update on recent knowledge management activities and application of tools and methodologies are also included. The full reports of the International Waters Focal Area Study and the Value for Money Analysis of Land Degradation Projects, are provided in the Information Documents GEF/ME/C.51/Inf.01 and GEF/ME/C.51/Inf.02 respectively.
21. Document GEF/ME/C.51/02, *Management Response to the Semi-Annual Evaluation Report October 2016*, provides a response from the GEF Secretariat to the Independent Evaluation Office regarding findings and conclusions of ongoing GEF IEO studies.
22. Draft decision: *The Council, having reviewed document GEF/ME/C.51/01, Semi-Annual Evaluation Report of the Independent Evaluation Office of the GEF, takes note of the findings and conclusions of the completed studies, and of the ongoing evaluations being carried out in preparation for the Sixth Comprehensive Evaluation of the GEF.*

Agenda Item 11.

Relations with the Conventions and Other International Institutions

(Elected Chairperson Chairs)

23. Document GEF/C.51/07/Rev.01, *Relations with the Conventions and Other International Institutions*, provides the Council with an update on the activities of the Global Environment Facility (GEF) in support of the following multilateral environmental agreements (MEAs): the Convention on Biological Diversity (CBD), the United Nations Convention to Combat Desertification (UNCCD), the United Nations Framework Convention on Climate Change (UNFCCC), the Stockholm Convention on Persistent Organic Pollutants (the Stockholm Convention), the Montreal Protocol on Substances that Deplete the

Ozone Layer (the Montreal Protocol) and the Minamata Convention on Mercury. The document also provides information on relations between the GEF Secretariat and other international conventions, institutions, and fora.

24. Draft decision: *The Council, having considered document GEF/C.51/07/Rev.01, Relations with the Conventions and Other International Institutions, welcomed the report and requested the GEF network to continue to work with recipient countries to reflect the guidance and national priorities in their GEF programming and activities.*

Agenda Item 14.

Memoranda of Understanding with the United Nations Convention to Combat Desertification and the Minamata Convention on Mercury

(Elected Chairperson Chairs)

25. Document GEF/C.51/10, *Draft Amendments to the Memorandum of Understanding between the Conference of the Parties to the United Nations Convention to Combat Desertification in Those Countries Experiencing Serious Drought and/or Desertification, Particularly Africa and the Council of the Global Environment Facility*, proposes amendments to the Memorandum of Understanding between the Conference of the Parties to the United Nations Convention to Combat Desertification in Those Countries Experiencing Serious Drought and/or Desertification, Particularly Africa and the Council of the Global Environment Facility.
26. Document GEF/C.51/11, *Draft Memorandum of Understanding between the Conference of the Parties to the Minamata Convention on Mercury and the Council of the Global Environment Facility*, proposes a Memorandum of Understanding between the Conference of the Parties to the Minamata Convention on Mercury and the Council of the Global Environment Facility.
27. Draft decision: *The Council, having considered document GEF/C.51/10, Draft Amendments to the Memorandum of Understanding between the Conference of the Parties to the United Nations Convention to Combat Desertification in Those Countries Experiencing Serious Drought and/or Desertification, Particularly Africa and the Council of the Global Environment Facility:*
 - (a) *Invites Council Members to submit to the GEF Secretariat any comments that they may have on the draft Amendments by January 31, 2017;*

(b) *Requests the GEF Secretariat to reflect Council Members' views in its collaboration with the Secretariat of the United Nations Convention to Combat Desertification (UNCCD) to revise the draft Amendments to Memorandum of Understanding, and further requests the GEF CEO to submit the document jointly with the Executive Secretary of the UNCCD to the next Conference of the Parties to the UNCCD for its approval.*

28. Draft decision: *The Council, having considered document GEF/C.51/11, Draft Memorandum of Understanding between the Conference of the Parties to the Minamata Convention on Mercury and the Council of the Global Environment Facility:*

(a) *Invites Council Members to submit to the GEF Secretariat any comments that they may have on the draft Memorandum of Understanding by January 31, 2017;*

(b) *Requests the GEF Secretariat to reflect Council Members' views in its collaboration with the Interim Secretariat of the Minamata Convention to revise the draft Memorandum of Understanding, which will be presented to the First Conference of the Parties to the Minamata Convention on Mercury.*

Agenda Item 05.

**Update on the Capacity-building Initiative for Transparency
(CEO Chairs)**

29. The GEF Secretariat, with reference on document GEF/C.51/Inf.06, *Update on the Capacity-building Initiative for Transparency*, will provide an update on activities undertaken by the GEF to support the CBIT establishment and operations. The update covers activities since the 50th GEF Council, held June 6 to 7 2016, to September 30, 2016.

Agenda Item 13.

**Recommendations of the Working Group on Public Involvement
(Elected Chairperson Chairs)**

30. Document GEF/C.51/09, *Recommendations of the Working Group on Public Involvement*, provides an overview of the evolution of GEF policy and procedures relating to stakeholder engagement along with a brief description of the Working Group's activities and recommendations to the Council and the wider Partnership. In particular, the Working Group recommends to the Council that an updated policy on stakeholder engagement and access to information be developed, superseding the 1996 Public Involvement Policy. More detailed analysis underpinning the recommendations of the Working

Group is found in document GEF/C.51/Inf.05, *Review of GEF Agencies' Policies, Procedures, and Guidelines on Stakeholder Engagement*.

31. Draft decision: *The Council, having reviewed document GEF/C.51/09, Recommendations of the Working Group on Public Involvement, welcomes the report and requests the Secretariat to present an updated policy on stakeholder engagement and access to information for consideration at its 53rd meeting in December 2017.*

Agenda Item 15.

Report of the Selection and Review Committee
(Elected Chairperson Chairs – Executive Session)

32. The Selection and Review Committee will present its report to the Council for consideration and appropriate decision.

Agenda Item 16.

Other Business
(Jointly Chaired)

33. Members and the Chairs may raise any other business under this agenda item.
34. The Chair will inform the Council of possible dates for holding the 2018 Spring Council meeting.

Agenda Item 17.

Joint Summary of the Chairs
(Jointly Chaired)

35. The Elected Chair and the CEO/Chairperson will present a joint summary of the conclusions of the meeting. Decisions approved by the Council during the meeting will be included in the Chairs' Joint Summary.

Provisional Timetable

Tuesday, October 25, 2016

09:00 – 09:30	Agenda Item 01.	Opening of the Meeting (CEO Chairs)
	Agenda Item 02.	Election of a Chairperson (CEO Chairs)
	Agenda Item 03.	Adoption of the Agenda (Elected Chairperson Chairs)
09:30 – 11:00	Agenda Item 04.	Annual Portfolio Monitoring Report 2016 (Elected Chairperson Chairs)
11:00 – 12:00	Agenda Item 09.	Report of the Chairperson of the Scientific and Technical Advisory Panel (Elected Chairperson Chairs)
12:00 – 13:30		CEO Lunch with Council Members and Alternates (MC-C1 East Dining Room)
12:00 – 13:30		General Lunch (MC Atrium)
13:30 – 14:30	Agenda Item 06.	Update on GEF-6 Resource Availability (CEO Chairs)
14:30 – 16:30	Agenda Item 07.	Work Program (CEO Chairs)
16:30 – 17:00	Agenda Item 08.	Seventh Replenishment of the GEF Trust Fund (CEO Chairs)

Wednesday, October 26, 2016

09:00 – 10:00	Agenda Item 12.	Monitoring Agency Compliance with GEF Policies on Environmental and Social Safeguards, Gender and Fiduciary Standards: Implementation Modalities (CEO Chairs)
10:00 – 12:00	Agenda Item 10.	Semi-Annual Evaluation Report October 2016 (Elected Chairperson Chairs)
12:00 – 14:00		IEO Lunch with Council Members and Alternates (MC-C1 East Dining Room)
12:00 – 14:00		General Lunch (MC Atrium)
14:00 – 15:30	Agenda Items 11 & 14.	Relations with the Conventions and Other International Institutions + Memoranda of Understanding with the United Nations Convention to Combat Desertification and the Minamata Convention on Mercury (Elected Chairperson Chairs)
15:30 – 16:30	Agenda Item 05.	Update on the Capacity-building Initiative for Transparency (CEO Chairs)
16:30 – 17:00	Agenda Item 13.	Recommendations from the Working Group on Public Involvement (CEO Chairs)

Thursday, October 27, 2016

09:00 – 09:30	Agenda Item 15.	Report of the Selection and Review Committee (Elected Chairperson Chairs – Executive Session)
09:30 – 10:00	Agenda Item 16.	Other Business (Jointly Chaired)
10:00 – 11:00		LDCF/SCCF Council Meeting
11:00 – 12:00	Agenda Item 17.	Joint Summary of the Chairs (Jointly Chaired)
12:00 – 14:00		General Lunch (MC Atrium)