

53<sup>rd</sup> GEF Council Meeting  
November 28 – 30, 2017  
Washington, D.C.

Agenda Item 03

## **ANNOTATED PROVISIONAL AGENDA**

**Agenda Item 01.**

**Opening of the Meeting**  
(CEO Chairs)

1. The meeting will be opened by Naoko Ishii, CEO/Chairperson of the Facility.

**Agenda Item 02.**

**Election of a Chairperson**  
(CEO Chairs)

2. In accordance with paragraph 18 of the Instrument, a Chairperson will be elected from among the Members of the Council. Paragraph 18 provides that the position of elected Chairperson will alternate from one meeting to another between Council Members from developed and developing countries. The elected Chairperson at the previous Council meeting was the Council Member representing Germany.
3. Consistent with paragraph 18 of the Instrument, the Elected Chairperson is expected to Chair the Council meeting during discussions on agenda item 03, "Adoption of the Agenda", agenda item 07, "Report of the Chairperson of the Scientific and Technical Advisory Panel", agenda item 08, "Updated Vision to Enhance Civil Society Engagement with the GEF", agenda item 09, "Semi-Annual Evaluation Report November 2017 + OPS6 Presentation", agenda item 13, "Updated Policy on Ethics and Conflict of Interest for Council Members, Alternates, and Advisers", and agenda item 17, "Report of the Selection and Review Committee".
4. The elected Chairperson and the CEO will jointly chair the Council meeting during discussions on agenda item 18, "Other Business", and agenda item 19, "Joint Summary of the Chairs".
5. The CEO/Chairperson of the Facility will chair the Council meeting during discussions of the other items on the agenda.

**Agenda Item 03.**

**Adoption of the Agenda**  
(Elected Chairperson Chairs)

6. In addition to this document GEF/C.53/02/Rev.02, *Annotated Provisional Agenda*, a Provisional Agenda for consideration by the Council has been issued as document GEF/C.53/01/Rev.02.
7. *The Council is invited to adopt the agenda for the meeting.*

**Agenda Item 04.**

**Policy on Gender Equality**  
(CEO Chairs)

8. Document GEF/C.53/04, *Policy on Gender Equality*, presents a proposed, new Policy on Gender Equality (Annex I) that supersedes the 2011 Policy on Gender Mainstreaming.
9. Draft decision: *The Council, having reviewed document GEF/C.53/04, Policy on Gender Equality, approves the Policy contained in Annex I to the document.*

**Agenda Item 05.**

**Policy on Stakeholder Engagement**  
(CEO Chairs)

10. Document GEF/C.53/05/Rev.01, *Policy on Stakeholder Engagement*, following a Council request at its 51<sup>st</sup> meeting in October 2016, presents a proposed, new Policy on Stakeholder Engagement (Annex I) that would supersede the 1996 Policy on Public Involvement in GEF Projects.
11. Draft decision: *The Council, having reviewed document GEF/C.53/05/Rev.01, Policy on Stakeholder Engagement, approves the Policy contained in Annex I to the document.*

**Agenda Item 06.**

**Update on the Organization of the Sixth GEF Assembly**  
(CEO Chairs)

12. Document GEF/C.53/09, *Update on the Organization of the Sixth GEF Assembly*, provides the Council with an update on the progress that has been achieved in the preparation of the Sixth GEF Assembly and associated meetings to be held in Da Nang, Viet Nam on June 27-28, 2018.
13. Draft decision: *The Council, having reviewed the Update on the Organization of the Sixth GEF Assembly (GEF/C.53/09), takes note of the progress made and confirms the dates of June 27-28 for the Assembly and of June 24-26 for the 54<sup>th</sup> Council Meeting in Da Nang, Vietnam.*

**Agenda Item 07.**

**Report of the Chairperson of the Scientific and Technical Advisory Panel**  
(Elected Chairperson Chairs)

14. The Chairperson of the Scientific and Technical Advisory Panel (STAP) will be invited to make a statement to the Council on the work of the Panel. A written report of the Chairperson can be

found in document GEF/STAP/C.53/Inf.01, *Report of the Chairperson of the Scientific and Technical Advisory Panel*. An opportunity will be provided for Council Members to comment on the report, address questions to the Chairperson, and provide guidance to the Panel, as appropriate.

**Agenda Item 08.**

**Updated Vision to Enhance Civil Society Engagement with the GEF**

(Elected Chairperson Chairs)

15. Document GEF/C.53/10, *Updated Vision to Enhance Civil Society Engagement with the GEF*, presents the results of the ad hoc working group of interested Council Members, including an updated vision of the relationship between the GEF and civil society, and recommendations to implement this vision.
16. Draft decision: *The Council, having reviewed document GEF/C.53/10, Updated Vision to Enhance Civil Society Engagement with the GEF, approves its recommendations to implement the Updated Vision, and requests the GEF Secretariat to present a progress report on the implementation of the Updated Vision for information to the 55th Council in the fall of 2018.*

**Agenda Item 09.**

**Semi-Annual Evaluation Report November 2017 and Management Response + OPS6 Presentation**

(Elected Chairperson Chairs)

17. There will be a presentation on the Sixth Comprehensive Evaluation of the GEF (OPS6).
18. Document GEF/ME/C.53/01, *Semi-Annual Evaluation Report November 2017*, summarizes the key conclusions from the nine evaluations completed between June 2017 and November 2017, provided in full in the information documents listed below. The document also includes the conclusions and the recommendations of the final draft of the Sixth Comprehensive Evaluation of the GEF (OPS6), and an update on the knowledge management activities of the Office.
19. Document GEF/ME/C.53/02, *Management Response to the Semi-Annual Evaluation Report November 2017*, provides a response from the GEF Secretariat to the Independent Evaluation Office regarding findings and conclusions of GEF IEO evaluations.

20. Draft decision: *Regarding the Semi-Annual Evaluation Report of the Independent Evaluation Office. The Council, having reviewed the "Semi-Annual Evaluation Report of the GEF Independent Evaluation Office: November 2017," endorses the recommendations of the individual evaluations included. The Council takes note of the OPS6 recommendations and advises the GEF Secretariat to address them in programming for GEF-7.*

**Agenda Item 10.**

**Work Program**  
(CEO Chairs)

21. Document GEF/C.53/13, *Work Program for GEF Trust Fund*, requests a total of \$500.7 million from the GEF trust fund and \$45.4 million in associated Agency fees. It contains an indicative \$2.6 billion in co-financing, meaning that each dollar provided by the GEF is matched by \$5.2 in co-financing provided by other sources. The recommended Work Program contains 83 projects and one program consistent with the GEF 2020 strategy and the GEF-6 Programming Directions framework. The Work Program spans all focal areas and regions, and address all 31 GEF focal area objectives set forth in the GEF-6 Programming Directions document. The suite of projects covers a diverse set of themes ranging from managing the human-biodiversity interface to the promotion of timely development, demonstration, and financing of low-carbon technologies and mitigation options, all the way to the reduction and elimination of POPs and advancing conjunctive management of surface and groundwater resources. The Work Program also pursues objectives seeking synergy in the implementation of various multilateral environment agreements for which the GEF serves as a financial mechanism.
22. Draft decision: *The Council, having reviewed document GEF/C.53/13, Work Program for GEF Trust Fund, approves the Work Program comprising 83 project concepts and one programmatic framework, subject to comments made during the Council meeting and additional comments that may be submitted in writing to the Secretariat by December 14, 2017.*
23. *Total resources approved in this Work Program amounted to \$546.1 million which include GEF project financing and Agency fees.*

**Agenda Item 11.**

**Plan to Review GEF's Social and Environmental Safeguards**  
(CEO Chairs)

24. Document GEF/C.53/07, *Plan to Review GEF's Social and Environmental Safeguards*, sets out a consultative process for reviewing and updating the GEF's 2011 *Agency Minimum Standards on Environmental and Social Safeguards* by the 55<sup>th</sup> meeting of the Council.
25. Draft decision: *The Council, having reviewed document GEF/C.53/07, Plan to Review the GEF's Environmental and Social Safeguards, welcomes the plan and requests the Secretariat to present an updated policy on environmental and social safeguards for consideration at its 55<sup>th</sup> meeting.*

**Agenda Item 12.**

**Rationale and Plan to Review GEF's Fiduciary Standards**  
(CEO Chairs)

26. Document GEF/C.53/08, *Rationale and Plan to Review GEF's Fiduciary Standards*, responds to the request from the Council on the rationale for reviewing the 2007 policy, *Minimum Fiduciary Standards for GEF Partner Agencies*, and sets out the next steps for carrying out such a review and presenting its finding for Council consideration at its 55<sup>th</sup> meeting in the Fall of 2018.
27. Draft decision: *The Council, having reviewed document GEF/C.53/08, Rationale and Plan to Review the GEF's Fiduciary Standards agrees that the 2007 GEF policy GA/PL/02, Minimum Fiduciary Standards for GEF Partner Agencies should be reviewed in light of evolving best practice and requests the Secretariat in collaboration with the Trustee to present the findings of such a review at the 55<sup>th</sup> Council meeting in the Fall of 2018.*

**Agenda Item 13.**

**Updated Policy on Ethics and Conflict of Interest for Council Members, Alternates, and Advisers**  
(Elected Chairperson Chairs)

28. Document GEF/C.53/11, *Updated Policy on Ethics and Conflict of Interest for Council Members, Alternates, and Advisers*, provides a report on the work of the GEF Ethics Committee, established under the Policy on Ethics and Conflict of Interest for Council Members, Alternatives, and Advisers at the 52<sup>nd</sup> Meeting of the

GEF Council (the “Policy”). It also sets out certain proposed amendments to the Policy, for consideration by Council.

29. Draft decision: *The Council, having considered document GEF/C.53/11, Updated Policy on Ethics and Conflict of Interest for Council Members, Alternates, and Advisers, approves the Policy as contained in Annex I and the Terms of Reference for the Ethics Committee as contained in Annex II.*

**Agenda Item 14.**

**Annual Portfolio Monitoring Report 2017**  
(CEO Chairs)

30. Document GEF/C.53/03, *Annual Portfolio Monitoring Report 2017*, provides information on the overall health of the GEF Trust Fund’s active portfolio of projects and programs, as of June 30, 2017. It also highlights the systemic challenges affecting portfolio performance, which need to be addressed to improve operational efficiency and results. The information that underpins this analysis was provided by the GEF Agencies in their annual Project Implementation Reviews. This APMR specifically covers the following topics: (i) key highlights; (ii) size and composition of the GEF’s active portfolio and its performance ratings and (iii) systemic challenges affecting the portfolio.
31. Draft decision: *The Council, having reviewed GEF/C.53/03, Annual Portfolio Monitoring Report 2017 welcomes the overall finding that the GEF portfolio under implementation in FY17 performed satisfactorily across all focal areas. The Council also welcomes the latest GEF Corporate Scorecard.*

**Agenda Item 15.**

**Relations with the Conventions and Other International Institutions**  
(CEO Chairs)

32. Document GEF/C.53/12, *Relations with the Conventions and Other International Institutions*, provides the Council with an update on the activities of the Global Environment Facility (GEF) in support of the following multilateral environmental agreements (MEAs): the Convention on Biological Diversity (CBD), the United Nations Convention to Combat Desertification (UNCCD), the United Nations Framework Convention on Climate Change (UNFCCC), the Stockholm Convention on Persistent Organic Pollutants (the Stockholm Convention), the Montreal Protocol on Substances that Deplete the Ozone Layer (the

Montreal Protocol) and the Minamata Convention on Mercury. The document also provides information on relations between the GEF Secretariat and other international conventions, institutions, and fora.

33. Draft decision: *The Council, having considered document GEF/C.53/12, Relations with the Conventions and Other International Institutions, welcomed the report and requested the GEF network to continue to work with recipient countries to reflect the guidance and national priorities in their GEF programming and activities.*

**Agenda Item 16.**

**Non-Grant Instruments: Experience of the GEF Partnership  
(CEO Chairs)**

34. A panel will discuss lessons learned and opportunities for an expanded non-grant instrument investment in GEF-7.
35. The goal of this panel is to raise awareness and understanding on the innovative projects funded through the GEF-6 non-grant pilot. We will also share some of the lessons learned and insights that are shaping our proposals for a GEF-7 expanded non-grant pilot.

**Additional Item.**

**CEO Report on the Status of GEF-7 Replenishment  
(Elected Chairperson Chairs)**

36. The CEO will provide a report on the status of GEF-7 Replenishment.

**Agenda Item 17.**

**Report of the Selection and Review Committee  
(Elected Chairperson Chairs – Executive Session)**

37. The Selection and Review Committee will present its report to the Council for consideration and appropriate decision.

**Agenda Item 18.**

**Other Business  
(Jointly Chaired)**

38. Members and the Chairs may raise any other business under this agenda item.
39. The Chair will inform the Council of possible dates for holding the 2019 Spring Council meeting.



**Agenda Item 19.**

**Joint Summary of the Chairs**  
(Jointly Chaired)

40. The Elected Chair and the CEO/Chairperson will present a joint summary of the conclusions of the meeting. Decisions approved by the Council during the meeting will be included in the Chairs' Joint Summary.

## Provisional Timetable

**Tuesday, November 28, 2017**

09:00 – 09:30	<b>Agenda Item 01.</b>	<b>Opening of the Meeting</b> (CEO Chairs)
	<b>Agenda Item 02.</b>	<b>Election of a Chairperson</b> (CEO Chairs)
	<b>Agenda Item 03.</b>	<b>Adoption of the Agenda</b> (Elected Chairperson Chairs)
09:30 – 10:45	<b>Agenda Item 04.</b>	<b>Policy on Gender Equality</b> (CEO Chairs)
10:45 – 12:00	<b>Agenda Item 05.</b>	<b>Policy on Stakeholder Engagement</b> (CEO Chairs)
12:00 – 12:30	<b>Agenda Item 06.</b>	<b>Update on the Organization of the Sixth GEF Assembly</b> (CEO Chairs)
12:30 – 14:00		<b>CEO Lunch with Council Members and Alternates</b> (MC-C1 East Dining Room)
12:30 – 14:00		<b>General Lunch</b> (MC Atrium)
14:00 – 15:00	<b>Agenda Item 07.</b>	<b>Report of the Chairperson of the Scientific and Technical Advisory Panel</b> (Elected Chairperson Chairs)
15:00 – 16:00	<b>Agenda Item 08.</b>	<b>Updated Vision to Enhance Civil Society Engagement with the GEF</b> (Elected Chairperson Chairs)
16:00 – 18:00	<b>Agenda Item 09.</b>	<b>Semi-Annual Evaluation Report November 2017 and Management Response + OPS6 Presentation</b> (Elected Chairperson Chairs)

**Wednesday, November 29, 2017**

09:00 – 10:30	<b>Agenda Item 10.</b>	<b>Work Program</b> (CEO Chairs)
10:30 – 11:00	<b>Agenda Item 11.</b>	<b>Plan to Review GEF's Social and Environmental Safeguards</b> (CEO Chairs)
11:00 – 11:30	<b>Agenda Item 12.</b>	<b>Rationale and Plan to Review GEF's Fiduciary Standards</b> (CEO Chairs)
11:30 – 12:00	<b>Agenda Item 13.</b>	<b>Updated Policy on Ethics and Conflict of Interest for Council Members, Alternates, and Advisers</b> (Elected Chairperson Chairs)
12:00 – 13:00	<b>Agenda Item 14.</b>	<b>Annual Portfolio Monitoring Report 2017</b> (CEO Chairs)
13:00 – 14:30		<b>General Lunch</b> (MC Atrium)
14:30 – 16:00	<b>Agenda Item 15.</b>	<b>Relations with the Conventions and Other International Institutions</b> (CEO Chairs)
16:00 – 17:00	<b>Agenda Item 16.</b>	<b>Non-Grant Instruments: Experience of the GEF Partnership</b> (CEO Chairs)
17:00 – 17:30	<b>Additional Item.</b>	<b>CEO Report on the Status of GEF-7 Replenishment</b> (Elected Chairperson Chairs)

**Thursday, November 30, 2017**

09:00 – 09:30	<b>Agenda Item 17.</b>	<b>Report of the Selection and Review Committee</b> (Elected Chairperson Chairs – Executive Session)
09:30 – 10:00	<b>Agenda Item 18.</b>	<b>Other Business</b> (Jointly Chaired)
10:00 – 11:00		<b>LDCF/SCCF Council Meeting</b>
11:00 – 12:00	<b>Agenda Item 19.</b>	<b>Joint Summary of the Chairs</b> (Jointly Chaired)
12:00 – 13:30		<b>General Lunch</b> (MC Atrium)