



GEF/C.44/02
May 23, 2013

GEF Council Meeting
June 18 – 20, 2013
Washington, D.C.

Agenda Item 3

ANNOTATED PROVISIONAL AGENDA

Agenda Item 1.

Opening of the Meeting

(CEO Chairs)

1. The meeting will be opened by Naoko Ishii, CEO/Chairperson of the Facility.

Agenda Item 2.

Election of a Chairperson

(CEO Chairs)

2. In accordance with paragraph 18 of the Instrument, a Chairperson will be elected from among the Members of the Council. Paragraph 18 provides that the position of elected Chairperson will alternate from one meeting to another between recipient and non-recipient Council Members. The elected Chairperson at the previous Council meeting was Mr. Joao Raimundo Lopes, the Council Member representing the constituency of Burkina Faso, Cape Verde, Chad, Guinea-Bissau, Mali, Mauritania, Niger, Senegal, and the Gambia.
3. Consistent with paragraph 18 of the Instrument, the elected Chairperson is expected to Chair the Council meeting during discussions on agenda item 3, "Adoption of the Agenda", agenda item 4, "Annual Monitoring Report FY 12: Part II", agenda item 5, "First Report of OPS5 and Management Response", agenda item 10, "Statements by the Executive Secretaries of the Conventions", agenda item 11, "Report of the Chairperson of the Scientific and Technical Advisory Panel", agenda item 12, "Relations with Conventions and Other International Institutions" and "Update of Mercury Convention Negotiations" agenda item 16, "Work Program and Budget of the GEF Evaluation Office" and agenda item 18, "Report of the Selection and Review Committee".
4. The elected Chairperson and the CEO will jointly chair the Council meeting during discussions on agenda item 18, "Other Business," and agenda item 19, "Joint Summary of the Chairs."
5. The CEO/Chairperson of the Facility will chair the Council meeting during discussions of the other items on the agenda.

Agenda Item 3.

Adoption of the Agenda

(Elected Chairperson Chairs)

6. *A Provisional Agenda for consideration by the Council has been issued as document GEF/C.44/01/Rev.01.*
7. *The Council is invited to adopt the agenda for the meeting.*

Agenda Item 4.

Annual Monitoring Report FY12: Part II

(Elected Chairperson Chairs)

8. Document GEF/C.44/05, *Annual Monitoring Report FY 12: Part II*, presents an in-depth analysis of outcomes, experiences, and lessons learned from the GEF's active portfolio of projects, focusing on those at mid-term and at completion. A total of 215 projects across all focal areas were reviewed for this AMR (116 of which were at mid-term, and 99 at project completion).
9. Draft decision: *The Council, having considered document GEF/C.44/05, Annual Monitoring Review FY12: Part II, welcomed the report and appreciated the reformed AMR process. The Council requested the GEF Secretariat to continue providing two AMR reports per year. The first, presented in the fall, containing a quantitative overview of information on the portfolio under implementation and the second, presented in the spring, containing more in-depth analysis of outcomes, experiences, and lessons learned.*

Agenda Item 5.

First Report of OPS5 and Management Response

(Elected Chairperson Chairs)

10. Document GEF/ME/C.44/01, *First Report of the Fifth Overall Performance Study and Management Response*, was prepared by the GEF Evaluation Office and presented to the first replenishment meeting in Paris on April 3, 2013, together with the management response prepared by the GEF Secretariat. This is the published version of the report and it contains the management response as an annex.
11. Draft decision: *The Council, having reviewed document GEF/ME/C.44/01, "First Report of the Fifth Overall Performance Study and Management Response," requested the Evaluation Office to continue its work to finalize the Fifth Overall Performance Study taking into account comments made in the Council meeting. The Council requested the Secretariat to*

take the findings of OPS5 into account in preparing draft strategies for GEF-6.

Agenda Item 6.

Update on GEF-5 Programming
(Elected Chairperson Chairs)

12. Document GEF/C.44/07, *Update on GEF-5 Programming*, presents the progress report on programming, an update of the financial projections and an outlook for the use of the overall envelope of resources available.
13. Draft decision: *The Council, having reviewed document GEF/C.44/07, Update on GEF Programming, took note of the approach to future programming presented by the Secretariat for a projected envelope of \$4,066 million for GEF-5.*
14. *The Council noted its appreciation of all Contributing Participants that have delivered on their pledges in a timely manner and cleared their deferred contributions, thereby mitigating the potential shortfall of resources in GEF-5.*
15. *The Council agreed to the continuation of the approach it recommended in November 2012, whereby programming would be undertaken for the remainder of GEF-5 maintaining the balance among the original allocations in the GEF-5 replenishment decision, assisting least developed countries (LDCs) and small island developing states (SIDS) in accessing resources, and supporting core obligations to the conventions for which the GEF is a or the financial mechanism.*
16. *The Council requested the Secretariat to report on the progress in programming at the next Council meeting.*

Agenda Item 7.

Update on GEF 2020
(CEO Chairs)

17. Keynote address will be given by Professor Johan Rockström, Director of Stockholm Resilience Centre at Stockholm University.
18. The CEO/Chairperson will provide an oral update on the strategic visioning exercise, GEF 2020.

Agenda Item 8.

Update on GEF-6 Replenishment
(CEO Chairs)

19. Document GEF/C.44/Inf.03, *Report on GEF-6 Replenishment*, presents an update on the GEF-6 Replenishment process and next steps.

Agenda Item 9.

Report on the Pilot Accreditation of GEF Project Agencies
(CEO Chairs)

20. Document GEF/C.44/09, *Report on the Pilot Accreditation of Project Agencies*, presents a summary description of the accreditation process, the progress made to date in its implementation and addresses the resulting issues.
21. Draft decision: *The Council, having reviewed GEF/C.44/09, Report on the Pilot Accreditation of GEF Project Agencies, noted the status of the Stage II reviews conducted by the Accreditation Panel to date. In particular, the Council noted that all Stage II initial desk reviews are expected, as planned, to be completed by June 2013, but also that several applicants are undergoing further reviews by the Panel.*
22. *The Council decided to consider the possibility of a second round of accreditation, including accreditation of bilateral agencies, only once all Stage II reviews are completed.*
23. *The Council requested the Secretariat to continue to present a progress report on the accreditation pilot at every Council meeting.*

Agenda Item 10.

Statements by the Executive Secretaries of the Conventions
(Elected Chairperson Chairs)

24. The following representative(s) from the different Convention(s) will comment on the work that the GEF is carrying out related to their Conventions:

Luc Gnacadja, Executive Secretary of the United Nations Convention to Combat Desertification;

Braulio Ferreira de Souza Dias, Executive Secretary of the Convention on Biological Diversity; and

Jim Willis, Executive Secretary of the Basel, Rotterdam and Stockholm Conventions.

Agenda Item 11.

Report by the Chairperson of the Scientific and Technical Advisory Panel
(Elected Chairperson Chairs)

25. The Chairperson of the Scientific and Technical Advisory Panel (STAP) will be invited to make a statement to the Council on the work of the Panel. An opportunity will be provided for Council Members to comment on the report, address questions to the

Chairperson of STAP and provide guidance to the Panel, as appropriate. A written report of the Chair can be found in document GEF/STAP/C.44/Inf.01, *Report of the Chairperson of the Scientific and Technical Advisory Panel*.

26. This will be the last Council meeting for Mr. Thomas Lovejoy in his capacity as Chairperson of STAP.

Agenda Item 12.

Relations with the Conventions and Other International Institutions

(Elected Chairperson Chairs)

27. Document GEF/C.44/03, *Relations with the Conventions and Other International Institutions*, provides the Council with an update on the activities of the GEF in relation to the following multilateral environmental conventions: the Convention on Biological Diversity (CBD), the United Nations Framework Convention on Climate Change (UNFCCC), the United Nations Convention to Combat Desertification (UNCCD), the Stockholm Convention on Persistent Organic Pollutants (the Stockholm Convention), and the Montreal Protocol on Substances that Deplete the Ozone Layer (the Montreal Protocol). The document also provides information on relations between the GEF Secretariat and other international conventions and institutions.
28. Draft decision: *The Council, having considered document GEF/C.44/03, Relations with the Conventions and Other International Institutions, welcomed the report and requested the GEF network to continue to work with recipient countries to reflect the guidance and national priorities in their GEF programming and activities.*

Update on Mercury Convention Negotiations

(Elected Chairperson Chairs)

29. Document GEF/C.44/04, *Preparing the GEF to serve as the Financial Mechanism of the Minamata Convention on Mercury upon entry into force*, presents a report on the GEF-5 Mercury Pilot, an update on the 5th session of the INC and a proposal for the GEF to fund activities in the remainder of GEF-5 to support early entry into force of the Convention.
30. Draft decision: *The Council, having reviewed document GEF/C.44/04, Preparing the GEF to serve as the Financial Mechanism of the Minamata Convention on Mercury upon entry into force,*

31. *Welcomed with appreciation the invitation from the Intergovernmental Negotiating Committee to Prepare a Global Legally Binding Instrument on Mercury to the GEF, to be the Financial Mechanism of the Minamata Convention on Mercury as drafted in Article 13 of the agreed text of the Convention, which will be adopted and opened for signature in Kumamoto and Minamata, Japan in October 2013;*
32. *Authorized the use of up to \$10 million for the funding of an early action pre-ratification program for the Minamata Convention to be programed during the remainder of GEF-5;*
33. *Requested the GEF Secretariat to develop initial guidelines, as appropriate, for enabling activities and pre-ratification projects, in consultation with the interim Secretariat of the Minamata Convention, and present this as an information document at the 45th Council Meeting.*

Agenda Item 13.

Note on the Organization of the Fifth GEF Assembly
(Elected Chairperson Chairs)

34. An oral presentation will be made as to the status of preparation for the upcoming Fifth GEF Assembly.

Agenda Item 14.

Work Program
(Elected Chairperson Chairs)

35. Document GEF/C.44/08, Work Program, presents to the Council 45 new stand-alone projects covering five of the six focal areas in biodiversity, climate change, international waters, land degradation, and persistent organic pollutants as well as multi-focal area projects and two programmatic approaches.
36. Draft decision: *The Council, having reviewed document GEF/C.44/08, Work Program, approved the work program comprising forty eight project concepts and two programmatic approaches, subject to comments made during the Council meeting and additional comments that may be submitted in writing to the Secretariat by July 5, 2013. In addition, seven project concepts associated with current and previously approved programmatic approaches are also approved. Total resources approved in this work program amounted to \$371.27 million which include GEF project grants and Agency fees.*

Agenda Item 15.

GEF Business Plan and Corporate Budget for FY14 *(CEO Chairs)*

37. Document GEF/C.44/06, *GEF Business Plan and Corporate Budget for FY14*, presents a combined business plan for FY14 and budget request for FY14 to cover expenses of the four GEF entities – GEF Secretariat, Scientific and Technical Advisory Panel (STAP), the Trustee, and the Evaluation Office (EO) – for their activities under the GEF Trust Fund. It also includes budget requests for the Secretariat and Trustee for the administration and implementation of the Nagoya Protocol Implementation Fund (NPIF). It summarizes the GEF’s key accomplishments in FY13, including progress made in implementing reforms and programming resources, and describes the key tasks that the entities of the GEF network will undertake during the final year of the GEF-5 replenishment period.
38. Draft decision: *The Council, having reviewed document GEF/C.44/06, GEF Business Plan and Corporate Budget for FY14, took note of the business plan and approved a FY14 corporate budget from the GEF Trust Fund of \$31.356 million.*
39. *The Council also approved a total FY14 administrative budget for the Nagoya Protocol Implementation Fund (NPIF) of \$382,300, comprised of the following allocations from the NPIF to cover the Secretariat’s and Trustee’s expenses for NPIF administration and implementation.*
40. *The Council requested the Secretariat, in consultation with the GEF entities, to present a combined FY15 corporate budget and business plan covering the FY15-18 period for discussion at its spring 2014 meeting. This combined budget and business plans should report on the use of GEF resources during FY14 and GEF accomplishments over the same period.*

Agenda Item 16.

Work Program and Budget of the GEF Evaluation Office *(Elected Chairperson Chairs)*

41. Document GEF/ME/C.44/05, *Work Program and Budget of GEF Evaluation Office* will be presented by the Evaluation Office Director, Rob van den Berg.
42. Draft decision: *The Council, having reviewed document GEF/ME/C.44/02, “Work Program and Budget of the GEF Evaluation Office,” approves the annual budget for the Evaluation Office for fiscal year 2013 for a total of US\$ 3.28 million. The multi-annual budget for the evaluation program of the GEF Evaluation Office is approved for an amount of US\$ 0.9 million for*

evaluations carried out in fiscal year 2014 and commitments for evaluations continuing on into fiscal year 2015. The total amount approved for the GEF Evaluation Office is US\$ 4.18 million, which is \$ 1.56 million lower than in fiscal year 2013, when a peak in funding was caused by OPS5 and the two mid-term evaluations that the Office is undertaking.

43. *Given the recent obstacles that the Evaluation Office has faced in accessing ongoing and completed GEF funded projects, the Council notes that several GEF Agencies are now incorporating legal requirements in contractual arrangements that such access should be granted as fully and quickly as feasible, recognizing the independence of the Office and without restrictions. The Council requests the Evaluation Office to interact with the GEF Agencies on this issue and report back to the Council in its next Work Program and Budget whether access has been ensured for all GEF funded projects.*
44. *The Council requests the Evaluation Office to prepare proposals for the replenishment negotiations for the GEF-6 phase to ensure that the operational nature of its budget is recognized and decided outside the administrative budget of the GEF, to come in line with best international practice. It notes with interest that a peer review will take place of the evaluation function in the GEF in early 2014 and looks forward to receive the findings and recommendations at its June 2014 meeting.*

Agenda Item 17.

Report of the Selection and Review Committee
(Elected Chairperson Chairs)

45. The Chair of the Selection and Review Committee will present the report of the SRC to the Council for consideration and appropriate decision.

Agenda Item 18.

Other Business
(Jointly Chaired)

46. Members and the Chairs may raise any other business under this agenda item.
47. The Chair will inform the Council of possible dates for holding the spring 2014 and fall 2014 Council meeting

Agenda Item 19.

Joint Summary of the Chairs

(Jointly Chaired)

48. The Elected Chair and the CEO/Chairperson will present a joint summary of the conclusions of the meeting. Decisions approved by the Council during the meeting will be included in the Chairs' Joint Summary.

Provisional Timetable

Tuesday, June 18, 2013

Morning Session

09:00 – 9:30	Agenda Item 1.	Opening of the Meeting (CEO Chairs)
	Agenda Item 2.	Election of a Chairperson (CEO Chairs)
	Agenda Item 3.	Adoption of the Agenda (Elected Chairperson Chairs)
9:30 – 10:30	Agenda Item 4.	Annual Monitoring Report FY 12: Part II (Elected Chairperson Chairs)
10:30 – 12:00	Agenda Item 5.	First Report of OPS5 and Management Response (Elected Chairperson Chairs)
12:00 – 1:00	Agenda Item 7.	Update on GEF 2020 (CEO Chairs)

Afternoon Session

3:00 – 4:30	Agenda Item 6.	Update on GEF-5 Programming (CEO Chairs)
4:30 – 5:30	Agenda Item 8.	Update on GEF-6 Replenishment (CEO Chairs)
5:30 – 6:15	Agenda Item 9.	Report on the Pilot Accreditation of GEF Project Agencies (CEO Chairs)

Wednesday, June 19, 2013

Morning Session

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| 9:00 – 9:30 | Agenda Item 10. | Statements by the Executive Secretaries of the Conventions
(Elected Chairperson Chairs) |
| 9:30 – 10:00 | Agenda Item 11. | Report by the Chairperson of the Scientific and Technical Advisory Panel
(Elected Chairperson Chairs) |
| 10:00 – 11:00 | Agenda Item 12. | Relations with Conventions and Other International Institutions
(Elected Chairperson Chairs) |
| | | Update of Mercury Convention Negotiations
(Elected Chairperson Chairs) |
| 11:00 – 11:30 | Agenda Item 13. | Note on the Organization of the Fifth GEF Assembly
(CEO Chairs) |
| 11:30 – 1:00 | Agenda Item 14. | Work Program
(CEO Chairs) |

Afternoon Session

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| 3:00 – 4:30 | Agenda Item 15. | GEF Business Plan and Corporate Budget for FY14
(CEO Chairs) |
| 4:30 – 6:00 | Agenda Item 16. | Work Program and Budget of the GEF Evaluation Office
(Elected Chairperson Chairs) |

Thursday, June 20, 2013

Morning Session

9:00 – 10:00 **Agenda Item 17. Report of the Selection and Review Committee**
(Elected Chairperson Chairs - Executive Session)

10:00 – 11:00 **Agenda Item 18. Other Business**
(Jointly chaired)

11:00 – 1:00 LDCF/SCCF Council Meeting

Afternoon Session

3:00 – 4:00 **Agenda Item 19. Joint Summary of the Chairs**
(Jointly chaired)