**GEF-8 PROJECT IDENTIFICATION FORM (PIF)**



TABLE OF CONTENTS

[General Project Information 1](#_Toc111449288)

[Project Summary\*\*\* 2](#_Toc111449289)

[Indicative Project Overview 2](#_Toc111449290)

[project outline 3](#_Toc111449291)

[A. Project Rationale 3](#_Toc111449292)

[B. Project Description 3](#_Toc111449293)

[Project Description 3](#_Toc111449294)

[Coordination and Cooperation with Ongoing Initiatives and Project. 5](#_Toc111449295)

[Core Indicators 5](#_Toc111449296)

[NGI (only): Justification of Financial Structure 5](#_Toc111449297)

[Risks to Project Design and Implementation 5](#_Toc111449298)

[Safeguards Rating (PIF level): 6](#_Toc111449299)

[C. Alignment with GEF-8 Programming strategies and country/regional priorities 6](#_Toc111449300)

[D. Policy requirements 6](#_Toc111449301)

[Gender Equality and Women’s Empowerment\*\*\*: 6](#_Toc111449302)

[Stakeholder Engagement 7](#_Toc111449303)

[Private Sector 7](#_Toc111449304)

[Environmental and Social Safeguards 7](#_Toc111449305)

[E. Other requirements 8](#_Toc111449306)

[Knowledge management 8](#_Toc111449307)

[Annex a: FINANCING TABLES 8](#_Toc111449308)

[GEF Financing Table 8](#_Toc111449309)

[Project Preparation Grant (PPG) 8](#_Toc111449310)

[Indicative Focal Area Elements 8](#_Toc111449311)

[Indicative Co-financing 8](#_Toc111449312)

[ANNEX b: EndorsementS 9](#_Toc111449313)

[Record of Endorsement of GEF Operational Focal Point (s) on Behalf of the Government(s): 9](#_Toc111449314)

[Compilation of Letters of Endorsement 9](#_Toc111449315)

[ANNEX C: Project location 9](#_Toc111449316)

[ANNEX D: Environmental and Social Safeguards Screen and Rating 9](#_Toc111449317)

[ANNEX E: Rio Markers 9](#_Toc111449318)

[ANNEX F: Taxonomy Worksheet 10](#_Toc111449319)

[ANNEX G: NGI relevant annexes 10](#_Toc111449320)

[List of key requirements leading to CEO Endorsement submission 10](#_Toc111449321)

# General Project Information

|  |  |  |  |
| --- | --- | --- | --- |
| Project Title: |  | | |
| Region: |  | GEF Project ID: |  |
| Country(ies): |  | Type of Project |  |
| GEF Agency(ies): |  | GEF Agency Project ID: |  |
| Anticipated Executing Entity(s) and Type: |  |  | |
|  |  | |
| GEF Focal Area(s): |  | Submission Date: |  |
| Type of Trust Fund: |  | Project Duration (Months) |  |
| GEF Project Grant: *(a)* |  | GEF Project Non-Grant *(b)* |  |
| Agency Fee(s) Grant: *(c)* |  | Agency Fee(s) Non-Grant: *(d)* |  |
| Total GEF Financing: *(a+b+c+d)* |  | Total Co-financing: |  |
| PPG Amount *(e):* |  | PPG Agency Fee(s) *(f)*: |  |
| Total GEF Resources (a+b+c+d+e+f) |  | | |
| Project Tags: | CBIT  NGI  SGP  Innovation | | |
| Project Sector  (CCM only) |  | | |

### Project Summary\*\*\*

Provide a brief summary description of the project, including: (i) what is the problem and issues to be addressed? (ii) what are the project objectives, and if the project is intended to be transformative, how will this be achieved? iii), how will this be achieved (approach to deliver on objectives), and (iv) what are the GEBs and/or adaptation benefits, and other key expected results. The purpose of the summary is to provide a short, coherent summary for readers. The explanation and justification of the project should be in section B “project description”. *(max. 250 words, approximately 1/2 page)*

\*\*\*POP-UP material start

Please provide a summary of the proposed project. A brief description of what is the problem to be addressed; what is the project’s objective; how is this objective to be achieved; and what are expected outcomes (GEBs and/or adaptation benefits). If the project is an NGI, please briefly mention the financial structure of the project. Be explicit about the project’s location, and the sectors it covers. If the project is intended to be [transformative](https://www.stapgef.org/resources/advisory-documents/achieving-transformation-through-gef-investments), or [innovative](https://www.stapgef.org/resources/advisory-documents/innovation-and-gef), briefly explain how this ambition will be achieved, and how barriers or enablers will be addressed.

\*\*\*POP-UP material end

### Indicative Project Overview

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Project Objective: |  | | | | | |
| Project Components | Component  Type | Project Outcomes | Project Outputs | Trust Fund | (in $) | |
|  |  |  |  |  | GEF Project Financing | Co-financing |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| M&E |  |  |  |  |  |  |
| Subtotal | | | |  |  |  |
| Project Management Cost (PMC) (if this is an MTF project, please report separate PMC lines for each TF). \*\*\*If amount requested is above limits, a pop-up menu should open for the Agency to provide an explanation\*\*\* | | | |  |  |  |
| **Total Project Cost** | | | |  |  |  |

# project outline

## Project Rationale

Briefly describe the current situation: the global environmental problems and/or climate vulnerabilities that the project will address, the key elements of the system, and underlying drivers of environmental change in the project context, such as population growth, economic development, climate change, sociocultural and political factors, including conflicts, or technological changes. Describe the objective of the project, and the justification for it.

(Approximately 3-5 pages) see guidance here\*\*\*

\*\*\*POP-UP material start

To develop the project rationale:

* Develop simple [narratives](https://www.stapgef.org/resources/advisory-documents/theres-more-one-plausible-future-using-simple-narratives-help-ensure) of how an uncertain future could unfold, based on an understanding of trends and interactions between the key elements of the system and its drivers.
* Describe the baseline in the absence of the project, and identify the outcomes that the project needs to achieve, how these will change the baseline, and what the key barriers and enablers are to achieving those outcomes.
* Briefly explain why this particular project has been selected to address the drivers of environmental degradation and/or climate vulnerabilities in preference to other potential options, and how its outcomes will endure in the face of changes in the drivers described in the future narratives.
* Describe the relevant stakeholders, private sector, and local actors and their roles in the system, and how they will be critical to deliver on the GEBs, adaptation benefits, and other proposed outcomes.
* The description should include: how the project will fit within the current landscape of investments; how the project will build on the baseline and ongoing investments, both GEF and non-GEF, and on lessons learned from previous projects in the country and region, and more widely; and how this approach fits with country priorities.
* If this is an NGI, please include a brief explanation on the financial barriers and how the project- and the proposed financial structure- responds to the financial barriers.

\*\*\*POP-UP material end

## Project Description

### Project Description

This section asks for a theory of change as part of a joined-up description of the project as a whole. The project description is expected to cover the key elements[[1]](#footnote-2) of good project design in an integrated way. It is also expected to meet the GEF’s policy requirements on gender, stakeholders, private sector, and knowledge management and learning (see section D). This section should be a narrative that reads like a joined-up story and not independent elements that answer the guiding questions contained in the PIF guidance document.(Approximately 3-5 pages) see guidance here\*\*\*

\*\*\*POP-UP material start

Develop the project description to cover the following points, in a joined-up way, not as a series of responses to the individual points; this description should take into account the GEF’s policy requirements (in section D). For example, by weaving into the description how gender issues will be addressed in the project, rather than describing gender as a standalone point. The theory of change will help in joining up the other key elements of [good project design](https://www.stapgef.org/resources/advisory-documents/enabling-elements-good-project-design-synthesis-stap-guidance-gef):

* Provide a concise account of the [theory of change](https://www.stapgef.org/index.php/resources/advisory-documents/theory-change-primer) that describes the project’s logic for addressing the problems described in Section A and achieving the intended global environmental benefits. Include a diagram to help show the overall project’s logic, outputs, and outcomes.
* The theory of change should provide an “explicit account of how and why the proposed interventions would achieve their intended outcomes and goal, based on outlining a set of key causal pathways arising from the activities and outputs of the interventions and the assumptions underlying these causal connections”.
* The project logic should show how the project would ensure that expected outcomes are enduring and resilient to possible future changes in the drivers identified in the simple future narratives (section A), and to the effects of any conflicting policies (section C).
* Briefly describe in more detail the project components (interventions and activities) identified in the theory of change. Provide brief information on each intervention, the main thrust and basis (including scientific) of the proposed solutions, how they address the problem, their justification as a robust solution, and the critical assumptions and risks to achieving them.
* Explain how the project will generate global environmental benefits and/or adaptation benefits which would not have accrued without the GEF project (additionality).

As part of the project description:

* Indicate how relevant [stakeholders](https://www.stapgef.org/resources/advisory-documents/multi-stakeholder-dialogue-transformational-change) will contribute to developing and implementing the project, and their respective roles, and how they will benefit from the project to ensure that the global environmental benefits and/or adaptation benefits will be enduring ([co-benefits](https://www.stapgef.org/resources/advisory-documents/refining-tracking-co-benefits-future-gef-investments)).

Explain how the project will generate knowledge, how that knowledge will be managed and [exchanged](https://www.stapgef.org/resources/advisory-documents/understanding-south-south-cooperation-knowledge-exchange), and how lessons learned will be captured to benefit future projects.

Explain how this project will improve or develop national policies, including an improved alignment of existing policies ([policy coherence](https://www.stapgef.org/resources/advisory-documents/framing-policy-coherence-gef)).

* If the project is specifically intended to be [transformative](https://www.stapgef.org/resources/advisory-documents/achieving-transformation-through-gef-investments), or [innovative](https://www.stapgef.org/resources/advisory-documents/innovation-and-gef), explain how scaling up could be achieved.

\*\*\*POP-UP material end

### Coordination and Cooperation with Ongoing Initiatives and Project.

Does the GEF Agency expect to play an execution role on this project?

Yes  No

If so, please describe that role here. Also, please add a short explanation to describe cooperation with ongoing initiatives and projects, including potential for co-location and/or sharing of expertise/staffing *(max. 500 words, approximately 1 page)*

### Core Indicators

|  |  |  |
| --- | --- | --- |
| **Project Core Indicators** | | **Expected at PIF** |
| 1 | **Terrestrial protected areas** created or under improved management (hectare) |  |
| 2 | **Marine protected areas** created or under improved management (hectare) |  |
| 3 | Area of **land and ecosystems under restoration** (hectare) |  |
| 4 | Area of **landscapes under improved practices** (hectare) |  |
| 5 | Area of **marine habitat under improved practices** (hectare) |  |
| 6 | **Greenhouse Gas Emissions Mitigated** (metric tons of CO2e) |  |
| 7 | **Shared water ecosystems** under new or improved cooperative management (count) |  |
| 8 | Globally over-exploited **marine fisheries** moved to more sustainable levels (metric ton) |  |
| 9 | **Chemicals of global concern and their waste reduced** (metric ton of toxic chemicals reduced) |  |
| 10 | Persistent organic pollutants to air reduced (gram of toxic equivalent gTEQ) |  |
| 11 | People benefiting from GEF-financed investments **disaggregated by sex** (count) |  |

Explain the methodological approach and underlying logic to justify target levels for Core and Sub-Indicators *(max. 250 words, approximately 1/2 page)*

### NGI (only): Justification of Financial Structure [[2]](#footnote-3)

Please describe the financial structure and include a graphic representation. This description will include the financial instrument requested from the GEF and terms and conditions of the financing passed onto the Beneficiaries.

### Risks to Project Preparation and Implementation

Summarize risks that might affect the project preparation and implementation phases and what are the mitigation strategies the **project preparation process will undertake to address these** (e.g.what alternatives may be considered during project preparation-such as in terms of consultations, role and choice of counterparts, delivery mechanisms, locations in country, flexible design elements, etc.). Identify any of the risks listed below that would call in question the viability of the project during its implementation. Please describe any possible mitigation measures needed. (The risks associated with project design and Theory of Change should be described in the “Project description” section above).

The risk rating should reflect the overall risk to project outcomes considering the country setting and ambition of the project. The rating scale is: *High, Substantial, Moderate, Low*.

|  |  |  |
| --- | --- | --- |
| **Risk Categories** | **Rating** | **Comments** |
| Climate |  |  |
| Environment and Social |  |  |
| Political and Governance |  |  |
| Macro-economic |  |  |
| Strategies and Policies |  |  |
| Technical design of project or program |  |  |
| Institutional capacity for implementation and sustainability |  |  |
| Fiduciary: Financial Management and Procurement |  |  |
| Stakeholder Engagement |  |  |
| Other |  |  |
| Financial Risks for NGI projects |  |  |
| Overall Risk Rating |  |  |

### **Safeguards Rating (PIF level)**:

## ****Alignment with GEF-8 Programming strategies and country/regional priorities****

Describe how the proposed interventions are aligned with GEF- 8 programming strategies and country and regional priorities, including how these country strategies and plans relate to the multilateral environmental agreements.

Confirm if any country policies that might contradict with intended outcomes of the project have been identified, and how the project will address this.

For projects aiming to generate biodiversity benefits (regardless of what the source of the resources is - i.e., BD, CC or LD), please identify which of the 23 targets of the Kunming-Montreal Global Biodiversity Framework the project contributes to and explain how.

*(max. 500 words, approximately 1 page)*

## Policy requirements

### Gender Equality and Women’s Empowerment\*\*\*:

We confirm that gender dimensions relevant to the project have been addressed as per GEF Policy and are clearly articulated in the Project Description (Section B).

Yes  No (If –and only if— NO is selected, a pop-up field should open for the Agency to provide an explanation)

\*\*\*POP-UP material start

Please upload to the portal documents tab any gender analysis or equivalent socio-economic assessment that identifies and describes any gender differences, gender differentiated impacts and risks, and opportunities to address gender gaps and promote the Empowerment of Women that may be relevant to the proposed activity; this should include any corresponding gender-responsive measures to address differences, identified impacts and risks, and opportunities through a gender action plan or equivalent. If gender-responsive measures have been identified (mostly relevant at project development phase, the results framework or logical framework include actions, Gender-Sensitive Indicators and sex disaggregated targets.

\*\*\*POP-UP material end

### Stakeholder Engagement

We confirm that key stakeholders were consulted during PIF development as required per GEF policy, their relevant roles to project outcomes and plan to develop a Stakeholder Engagement Plan before CEO endorsement has been clearly articulated in the Project Description (Section B).

Yes  No (If –and only if— NO is selected, a pop-up field should open for the Agency to provide an explanation)

Were the following stakeholders consulted during project identification phase:

Indigenous Peoples and Local Communities?  Yes  No

Civil Society Organizations?  Yes  No

Private Sector?  Yes  No

Provide a brief summary and list of names and dates of consultations

(Please upload to the portal documents tab any stakeholder engagement plan or assessments that have been done during the PIF development phase.)

### Private Sector

Will there be private sector engagement in the project?

Yes  No

And if so, has its role been described and justified in the section B project description?

Yes  No

### Environmental and Social Safeguards

We confirm that we have provided indicative information regarding Environmental and Social risks associated with the proposed project or program and any measures to address such risks and impacts (this information should be presented in Annex D).

Yes  No (If –and only if— NO is selected, a pop-up field should open for the Agency to provide an explanation)

## Other requirements

### Knowledge management

We confirm that an approach to Knowledge Management and Learning has been clearly described in the Project Description (Section B)

Yes

# Annex a: FINANCING TABLES

### GEF Financing Table

Indicative Trust Fund Resources Requested by Agency(ies), Country(ies), Focal Area and the Programming of Funds

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **GEF Agency** | **Trust Fund** | **Country/**  **Regional/ Global** | **Focal Area** | **Programming**  **of Funds** | **(in $)** | | | |
|  |  |  |  |  | **Grant/Non-Grant**  **(For NGI Projects Only)** | **GEF Project Grant** | **Agency Fee** | **Total**  **GEF Financing** |
|  |  |  |  |  |  |  |  |  |
| **Total GEF Resources** | | | | |  |  |  |  |

### Project Preparation Grant (PPG)

Is Project Preparation Grant requested?  Yes  No

If yes[[3]](#footnote-4): fill in PPG table (incl. PPG fee)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **GEF Agency** | **Trust Fund** | **Country/**  **Regional/ Global** | **Focal Area** | **Programming**  **of Funds** | **(in $)** | | |
| **PPG** | **Agency**  **Fee** | **Total PPG Funding** |
|  |  |  |  |  |  |  |  |
| **Total PPG Amount** | | | | |  |  |  |

### Sources of Funds for Country STAR Allocation

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **GFEF Agency** | **Trust Fund** | **Country/**  **Regional/Global** | **Focal Area** | **Source**  **of Funds** | **Total** |
|  |  |  |  |  |  |
| **Total GEF Resources** | | | | |  |

### Indicative Focal Area Elements

|  |  |  |  |
| --- | --- | --- | --- |
| **Programming Directions** | **Trust Fund** | **(in $)** | |
|  |  | **GEF Project Financing** | **Co-financing** |
|  |  |  |  |
| **Total Project Cost** |  |  |  |

### Indicative Co-financing

\*\*\*POP-UP material start

Please provide indicative information regarding the expected amounts, sources and types of Co-Financing, and the sub-set of such Co-Financing that meets the definition of Investment Mobilized.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Sources of Co-financing** | **Name of Co-financier** | **Type of Co-financing** | **Investment**  **Mobilized** | **Amount ($)** |
|  |  |  |  |  |
|  |  |  | |  |
|  |  |  | |  |
| **Total Co-financing** |  |  | |  |

*Please provide indicative information regarding the expected amounts, sources and types of Co-Financing, and the sub-set of such Co-Financing that meets the definition of Investment Mobilized.*

# ANNEX b: EndorsementS

|  |  |
| --- | --- |
| **Name of GEF Agency Coordinator** | **GEF Agency Coordinator Contact Information** |
|  |  |
| **Name of Agency Project Coordinator** | **Agency Project Coordinator Contact Information** |
|  |  |

### Record of Endorsement of GEF Operational Focal Point (s) on Behalf of the Government(s):

|  |  |  |  |
| --- | --- | --- | --- |
| **Name of GEF OFP** | **Position** | **Ministry** | **Date *(MM/dd/yyyy)*** |
|  |  |  |  |
|  |  | | |
| *<<additional fields to be added for regional projects or global projects with on the ground investments>>* | | | |

**NGIs** do not require a Letter of Endorsement if beneficiaries are: i) exclusively private sector actors, or ii) public sector entities in more than one country. However, for NGI projects please confirm that the agency has informed the OFP of the project to be submitted for Council Approval  YES

### Compilation of Letters of Endorsement

Please attach the Operational Focal Point endorsement letter(s) in this Annex. For SGP, use the SGP OFP endorsement letter format. For regional and global projects (as appropriate): please include a compilation of the signed LOEs in one PDF file in this annex.

# ANNEX C: Project location

Please provide geo-referenced information and map where the project interventions will take place

# ANNEX D: Environmental and Social Safeguards Screen and Rating

(PIF level) Attach agency safeguard screen form including rating of risk types and overall risk rating.

# ANNEX E: Rio Markers

|  |  |  |  |
| --- | --- | --- | --- |
| **Climate Change Mitigation** | **Climate Change Adaptation** | **Biodiversity** | **Desertification** |
|  |  |  |  |

*<< Rio Markers may be expanded in GEF 8 beyond markers for CCM and CCA>>*

# ANNEX F: Taxonomy Worksheet

*<<Table below for now taken from GEF-7 PIF>>*

|  |  |  |  |
| --- | --- | --- | --- |
| Level 1 | Level 2 | Level 3 | Level 4 |
| Influencing Models |  |  |  |
| Stakeholders |  |  |  |
| Capacity, Knowledge and Research |  |  |  |
| Gender Equality |  |  |  |
| Focal Area/Theme |  |  |  |

# ANNEX G: NGI relevant annexes

[[4]](#footnote-5)

1. Annex X (currently existing in NGI projects): Template for Indicative Financial Termsheet
2. Annex X (currently existing in NGI projects): Reflow table
3. Annex X (currently existing in NGI projects): GEF Agency Eligibility to Administer Concessional Finance
4. Annex X. Management Capacity of Executing Agency and Governance Structure

# List of key requirements leading to CEO Endorsement submission

**During project design/by endorsement:** [[5]](#footnote-6)

* **Stakeholders:** provide list of stakeholders, roles in the project and means of engagement; specifically address civil society organizations, vulnerable groups and Indigenous Peoples and Local Communities (IPLCs) (as applicable) and their roles in the project
* **Gender Equality and Women’s Empowerment:** carry out gender analysis and prepare gender action plan; include relevant gender aspects in Theory of change and gender-sensitive indicators in results framework (i.e. including the process to collect sex-disaggregated data and information on gender); include gender equality considerations/gender-responsive measures and actions in relevant activities in project components.
* **Environmental and Social Safeguards (ESS) related documents:** depending on types of ESS risks to be prepared (such as Environmental and Social Impact Assessment, Environmental and Social Management Framework/Plan, Indigenous Peoples Plan and Grievance Mechanism) and made public in country/location in relevant language/s (provide publication date and locations)
* **Private sector involvement mechanisms** (for non NGI projects: anticipated roles and type of PS; this will already be central to the project document for NGI projects)
* **Knowledge Management Plan** - develop “Knowledge Management Approach” for the project and how it will contribute to the project’s overall impact, including plans to learn from relevant previous and ongoing projects; proposed tools and methods for knowledge exchange and learning; knowledge outputs; strategic communication plan; and budget and timeline.
* **Results**. Inclusion of final Core Indicator targets, along with a comprehensive results framework with indicator name, units of measurement, and baseline and target data.
* **Monitoring and Evaluation.** Include a budget, along with an explanation of monitoring arrangements and deliverables.
* **Institutional arrangements** (incl. reporting arrangements and flow of funds) and cross-sector integration approaches, as relevant
* **Sustainability**: Post-project financing sustainability plan
* **Co-finance**: Confirm amount and type of co-financing and the definition of investment mobilized
* **To be complemented by new GEF8 policies and requirements.**

1. Enabling Elements for Good Project Design: A synthesis of STAP guidance for GEF project investment: <https://stapgef.org/resources/advisory-documents/enabling-elements-good-project-design-synthesis-stap-guidance-gef> [↑](#footnote-ref-2)
2. *Note: Make this into a pop-up which appears only if “NGI” was selected in the “General project Information”* [↑](#footnote-ref-3)
3. *Note: Make this into a “pop-up” which appears only if PPG was selected, and if amount requested is above limits, they have to justify it* [↑](#footnote-ref-4)
4. *Annex H: Only if NGI was selected on top* [↑](#footnote-ref-5)
5. *Note: This* ***a list to remind agencies of key requirements*** *to address during project* ***preparation*** *and include in the endorsement request. No text is, therefore, to be entered here.* [↑](#footnote-ref-6)