



**FIRST  
PROGRAMMING  
TRANCHE of the  
GLOBAL  
BIODIVERSITY  
FRAMEWORK  
FUND**

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Virtual Briefings  
February 21 & 22, 2024

# AGENDA

I **Update on GBFF operationalization**

II **Resource allocation and selection rounds**

III **Project Cycle and  
Project Preparation Grant (PPG) Request Form**

IV **Q&A**

# GBFF ACTION AREAS

ACTION AREA	DESCRIPTION
1	Biodiversity conservation, restoration, land/sea-use and spatial planning
2	Support to IPLC stewardship and governance of lands, territories, and waters
3	Policy alignment and development
4	Resource mobilization
5	Sustainable use of biodiversity
6	Biodiversity mainstreaming in production sectors
7	Invasive alien species
8	Capacity building and implementation support for the Cartagena and Nagoya protocols

The image features a lush forest scene. In the foreground, a large, gnarled tree with dense green foliage dominates the right side. The background shows a valley with a waterfall on the left and rolling hills covered in trees. A semi-transparent green circle is overlaid on the left side of the image, containing the text 'II - RESOURCE ALLOCATION AND SELECTION ROUNDS' in a bold, dark blue font. A small green circle is also visible in the bottom right corner.

## **II - RESOURCE ALLOCATION AND SELECTION ROUNDS**

# GBFF ALLOCATION SYSTEM

## PROGRAMMING TRANCHES

*First \$211 million tranche opened upon Allocation Policy approval  
Future programming tranches triggered by an additional \$250 million  
in confirmed pledges or 18-month time limit*

1

## BD DIFFERENTIATION THROUGH COUNTRY-SPECIFIC CEILINGS

*Share of GBFF resources a given country may receive limited to  
twice its share of the GEF-8 BD STAR allocation*

2

Links to:

- [Policy on the Allocation of Resources for the GBFF](#)
- [The table containing Countries' Maximum Dollar Value of Funding Request\(s\) for the First Programming Tranche of the Global Biodiversity Framework Fund](#)

# 2

## Country ceilings and maximum funding request(s) in a tranche

### Example of Country C

With GBFF Ceiling: 2.36%

Under scenario Country C is successful in Tranche 1

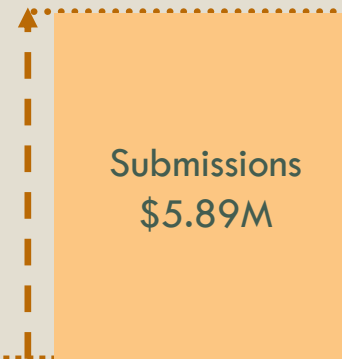
Maximum dollar value:  
 $\$4.97M = 2.36\% \times \$211M$



**TRANCHE 1**  
GBFF capitalization (\$211M)

Maximum dollar value:

$$\$5.89M = 2.36\% \times \$461M - \$4.97M$$



**TRANCHE 2**  
GBFF capitalization (\$461M)

# 2

## Country ceilings and maximum funding request(s) in a tranche

### Example of Country C

With GBFF Ceiling: 2.36%

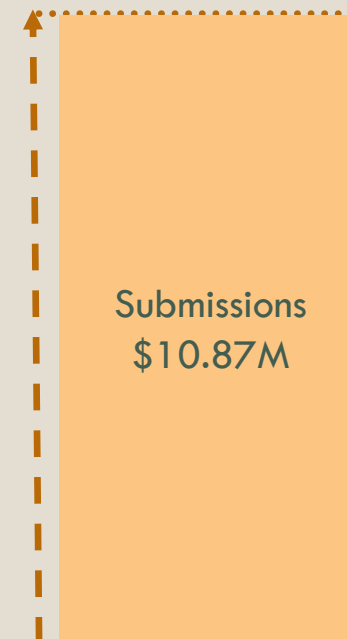
Under scenario Country C is not able to access resources in Tranche 1

Maximum dollar value:  
\$4.97M  
(2.36% x \$200M)

Approvals  
\$0

**TRANCHE 1**  
GBFF capitalization (\$211M)

Maximum dollar value:  
\$10.87M = 2.36% x \$461M-0



**TRANCHE 2**  
GBFF capitalization (\$461M)

# GBFF ALLOCATION SYSTEM

## PROGRAMMING TRANCHES

*First \$211 million tranche opened upon Allocation Policy approval  
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1

## BD DIFFERENTIATION THROUGH COUNTRY-SPECIFIC CEILINGS

*Share of GBFF resources a given country may receive limited to  
twice its share of the GEF-8 BD STAR allocation  
Maximum dollar value of funding request(s) for Tranche 1 published*

2

## SELECTION ROUNDS AT PPG REQUEST STAGE

*Selection based on resource availability, portfolio-level targets  
and 7 agreed criteria*

3

### SELECTION CRITERIA

1. Potential to generate Global Environmental Benefits
2. Alignment with the GBFF Programming directions and advancing the GBF implementation
3. Alignment with the NBSAP and Biodiversity Finance Plan or similar instruments to identify national and/or regional priorities
4. Level of policy coherence and coordination across multiple ministries, agencies, the private sector and civil society
5. Balance amongst regions
6. Mobilization of private sector and philanthropies' resources
7. Engagement with and support to IPLCs



# 3

## Selection rounds at the Project Preparation Grant (PPG) request stage

### PPG request

Submission - CEO Approval

### Council Approval of Work Program

Implementation  
1st Disbursement

#### SUBMISSION

- Secretariat opens a submission window
- Agencies submit PPG requests **within country-specific ceilings**

#### REVIEW

- Secretariat reviews all eligible PPG requests based on the agreed 7 **selection criteria**

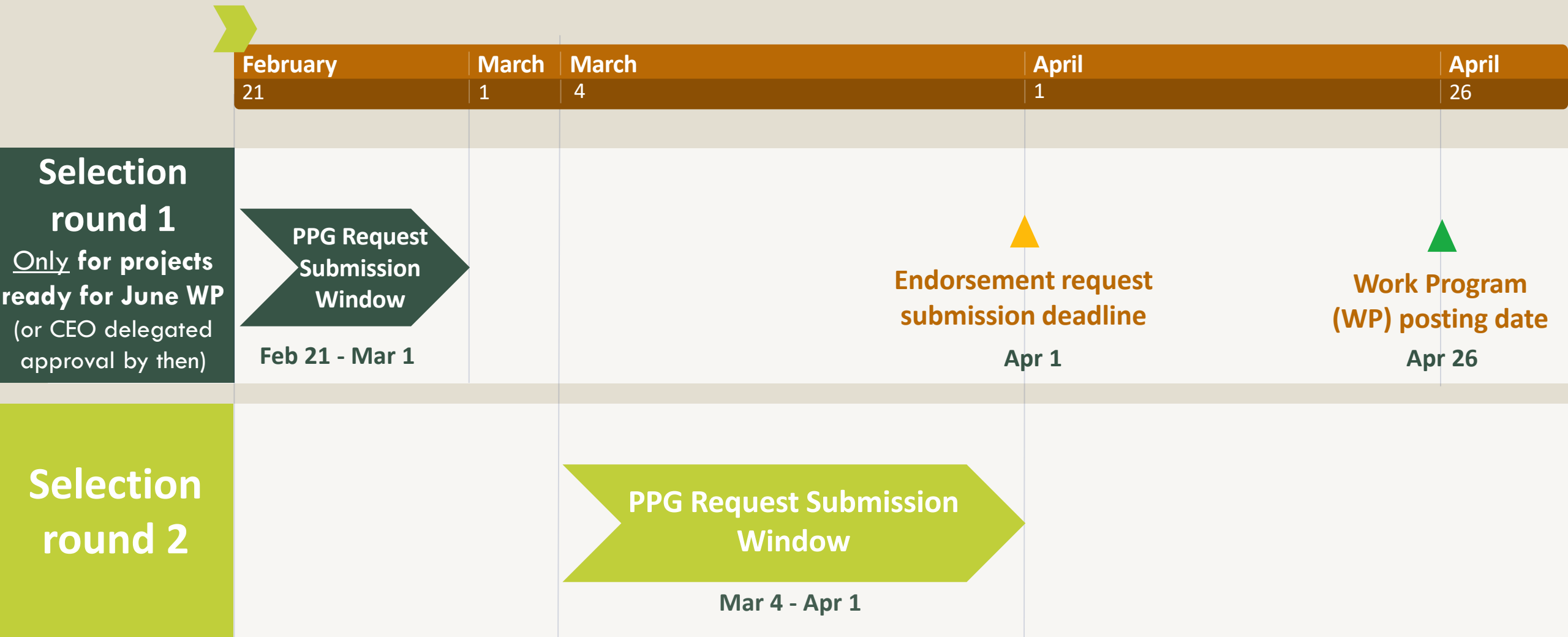
#### CEO APPROVAL

- Based on the review, **resource availability** and the three **portfolio-level targets**, the **CEO approves all or a subset** of the submitted PPG requests
- Resources for the full project value set aside upon PPG approval

# 3

## Selection rounds at the Project Preparation Grant (PPG) request stage

### Two selection rounds



#### Selection round 1

Only for projects ready for June WP (or CEO delegated approval by then)



Feb 21 - Mar 1

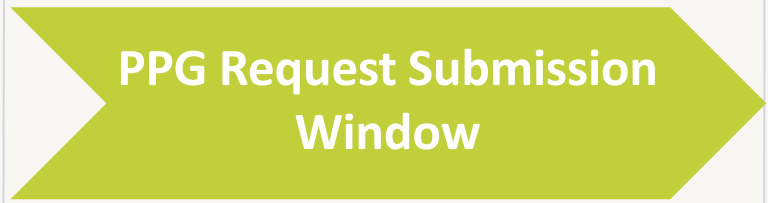
Endorsement request submission deadline

Apr 1

Work Program (WP) posting date

Apr 26

#### Selection round 2



Mar 4 - Apr 1

# GBFF ALLOCATION SYSTEM

## PROGRAMMING TRANCHES

First \$211 million tranche opened upon Allocation Policy approval  
Future programming tranches triggered by an additional \$250 million in confirmed pledges or 18-month time limit

1

## BD DIFFERENTIATION THROUGH COUNTRY-SPECIFIC CEILINGS

Share of GBFF resources a given country may receive limited to twice its share of the GEF-8 BD STAR allocation  
Maximum dollar value of funding request(s) for Tranche 1 published

2

## SELECTION ROUNDS AT PPG REQUEST STAGE

Selection based on resource availability, portfolio-level targets and 7 agreed criteria  
First PPG request submission windows: Feb 21 - March 1; March 4 - April 1

3

## THREE PORTFOLIO-LEVEL TARGETS

- 36%+3% allocated to SIDS/LDCs
- A full 25% to be programmed through IFIs
- Aspirational share of 20% by 2030 to support actions by IPLCs

4





**GBFF  
CYCLE AND PPG  
REQUEST**

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# GBFF CYCLE AND CANCELLATION PROVISIONS (FOR PROJECTS **ABOVE** US\$ 5 MILLION)

*12 months (incl. 8 weeks)*

*12 months*

PPG Submission/  
CEO Approval  
of PPG

Council Approval  
of Work Program  
(prepared projects)  
/ CEO Endorsement

Implementation/  
1st Disbursement

*Unable to submit CEO Endorsement  
Request 9 months after PPG approval ?*

OFP (for national projects) or Agency (for Global/  
Regional projects) submits a **Request for Extension  
invoking force majeure** to the GEF CEO prior to  
the deadline (max. 3 months)

*Unable to start implementation 12  
months after CEO Endorsement?*

Project cancelled.

Subject to funds availability, the Agency  
**may resubmit the project** for CEO  
Endorsement within one year from the  
effective date of cancellation

# GBFF CYCLE AND CANCELLATION PROVISIONS (FOR PROJECTS UP TO US\$ 5 MILLION)

11 months (incl. 4 weeks)

12 months

PPG Submission/  
CEO Approval  
of PPG

CEO Endorsement  
(prepared projects)

Implementation/  
1st Disbursement

*Unable to submit CEO Endorsement  
Request 9 months after PPG approval ?*

OFP (for national projects) or Agency (for Global/  
Regional projects) submits a **Request for Extension  
invoking force majeure** to the GEF CEO prior to  
the deadline (max. 3 months)

*Unable to start implementation 12  
months after CEO Endorsement?*

Project cancelled.

Subject to funds availability, the Agency  
**may resubmit the project** for CEO  
Endorsement within one year from the  
effective date of cancellation

# GBFF PROJECT PREPARATION GRANT (PPG) REQUEST FORM

## GENERAL PROJECT INFORMATION

Project Title:	[REDACTED]		
Country:	[REDACTED]	GEF Project ID:	[REDACTED]
GEF Agency(ies):	(select) (select) (select)	GEF Agency Project ID:	[REDACTED]
Anticipated Executing Entity(s) and Type:	[REDACTED]	(choose executing agency type)	
	[REDACTED]	(choose executing agency type)	
GEF Focal Area(s):	(select)	Submission Date:	[REDACTED]
Type of Trust Fund:	(choose fund type)	Project Duration (Months)	[REDACTED]
GEF Project Financing: (a)	[REDACTED]	PPG Amount (c):	[REDACTED]
Agency Fee(s): (b)	[REDACTED]	PPG Agency Fee(s) (d):	[REDACTED]
Total GEF Financing: (a+b+c+d)	[REDACTED]	Total Co-financing:	[REDACTED]
Project Tags:	<input type="checkbox"/> Support IPLC Contributions to the implementation of:		

Links to:

- [GBFF Letter of Endorsement Form](#)
- [GBFF Project Preparation Grant \(PPG\) Request Form](#)

### Indicative Project Overview

\*\*\*POP-UP material start

Characterizing whether the project as a whole or certain project components support IPLCs could entail a number of circumstances including but not limited to: 1) IPLCs directly receive resources through the GEF agency for execution of project components/activities, 2) IPLCs are project beneficiaries of project components/activities but do not directly receive financial resources; 3) IPLCs are involved in the design and implementation of project components; 4) IPLCs are envisioned to be part of the project steering committee and/or project governance, etc. In the project description that follows please explain how the project provides support to IPLCs using these or other characterizations of the support provided by the project.

Only for projects with GEF TF, please provide indicative Co-financing regarding the expected amount:

\*\*\*POP-UP material ends

Project Objective:						
Project Components	Component Type	Project Outcomes	Project Outputs	Trust Fund	(in \$)	
					GEF Project Financing	Co-financing
	(select)			(select)		
	(select)			(select)		
	(select)			(select)		
M&E	(select)			(select)		
Subtotal				(select)		
Project Management Cost (PMC) (if this is an MTF project, please report separate PMC lines for each TF). ***If amount requested is above limits, a pop-up menu should open for the Agency to provide an explanation***				(select)		
<b>Total Project Cost</b>						



ONE TEXT BOX to hold 7 pages of text maximum.

**Project Concept Description (No more than seven pages *total*, including 5 pages of text maximum. Concepts longer than 7 pages will be returned. Please note the portal entry will be limited to up to 19,400 characters of text and up to two figures.).**

\*\*\*POP-UP material starts

### 1) Project Rationale

Describe the (i) **current situation**/baseline conditions within the project geographic area or project thematic area, (ii) **problem(s)** that the project will address; (iii) **goal and objectives** of the project; and (iv) **justification** for the project intervention; and (v) **expected results** including the Global Environmental Benefits and an estimate of the project's contributions to the relevant biodiversity core indicators.

### 2) Project Description

- a. Provide a concise **theory of change** narrative that describes the project's logic for addressing the problems described in Section 1 and achieving the intended global environmental benefits. The theory of change should describe how the proposed intervention would achieve the project goal and objective and intended outcomes based on key causal pathways arising from the activities and outputs of the interventions and the assumptions underlying these causal connections.
- b. Briefly describe the **project components and activities** identified in the theory of change.
- c. List the **stakeholders** that will be involved in the project and their roles in the design and implementation of the project, including but not limited to government, IPLCs, the private sector, and civil society. In the case of IPLCs involvement in the project, please describe the IPLCs that will benefit from project support.
- d. Identify the **Specific Action Area(s)** that the project is aligned with and how the project will support the achievement of the specific Action Area objective(s).

### 3) Please describe how the project meets the following criteria:

- a. **Potential of the project to generate global environmental benefits** (GEBs) (include a description of the GEBs the project will generate per the GBFF Results Indicators);
- b. The **alignment of the project with the National Biodiversity Strategies and Action Plans** and/or National Biodiversity Finance Plans or similar instruments to identify national and/or regional **priorities**;
- c. The level of **policy coherence** and coordination across multiple ministries, agencies, the private sector, and civil society that the project aims to **support**;
- d. Whether the project will mobilize the **resources** of the private sector and philanthropies'; and
- e. Whether and how the project will engage with and provide support to **IPLCs**.

\*\*\*POP-UP material ends

## PROJECT FINANCING TABLES

### GEF Financing Table

Indicative Trust Fund Resources Requested by Agency(ies), Country(ies), Focal Area and the Programming of Funds

GEF Agency	Trust Fund	Country/ Regional/ Global	Focal Area	Programming of Funds	GEF Project Grant	Agency Fee	Total GEF Financing
Total GEF Resources							

### Project Preparation Grant (PPG)

Is Project Preparation Grant requested?  Yes  No

If yes<sup>1</sup>: fill in PPG table (incl. PPG fee)

GEF Agency	Trust Fund	Country/ Regional/ Global	Focal Area	Programming of Funds	(in \$)		
					PPG	Agency Fee	Total PPG Funding
(select)	(select)		(select)	(select)			
Total PPG Amount							

Sources of Funds for Country STAR Allocation (only for Multi-Trust Fund projects where GEF TF is included)

GEF Agency	Trust Fund	Country/ Regional/ Global	Focal Area	Source of Funds	Total
(select)	(select)		(select)	(select)	
Total GEF Resources					

### Indicative Action Area Elements

Programming Directions	Trust Fund	(in \$)	
		GEF Project Financing	Co-financing
(select)	(select)		
Total Project Cost			

Amount of resources allocated to support actions by IPLCs for the conservation, restoration, sustainable use and management of biodiversity:

Amount (\$)
<input type="text"/>

Indicative Co-financing

Sources of Co-financing	Name of Co-financier	Type of Co-financing	Investment Mobilized	Amount (\$)
[select]	<input type="text"/>	[select]	[select]	<input type="text"/>
<b>Total Co-financing</b>				<input type="text"/>

RESULTS INDICATOR TABLE

Project Core Indicators		Expected at CEO Endorsement
1	Terrestrial protected areas created or under improved management (hectare)	<input type="text"/>
2	Marine protected areas created or under improved management (hectare)	<input type="text"/>
3	Area of land and ecosystems under restoration (hectare)	<input type="text"/>
4	Area of landscapes under improved practices (hectare)	<input type="text"/>
5	Area of marine habitat under improved practices (hectare)	<input type="text"/>
6	Greenhouse Gas Emissions Mitigated (metric ton of CO <sub>2</sub> e)	<input type="text"/>
7	Shared water ecosystems under new or improved cooperative management (count)	<input type="text"/>
8	Globally over-exploited marine fisheries moved to more sustainable levels (metric ton)	<input type="text"/>
9	Chemicals of global concern and their waste reduced (metric ton of toxic chemicals reduced)	<input type="text"/>
10	Persistent organic pollutants to air reduced (gram of toxic equivalent gTEQ)	<input type="text"/>
11	People benefiting from GEF-financed investments disaggregated by sex (count)	<input type="text"/>

A vibrant green forest scene with a large, gnarled tree in the foreground. The background shows a dense forest with a waterfall visible on the left. A large, semi-transparent green circle is overlaid on the left side of the image, containing the text 'Q&A' in a dark green, bold, sans-serif font. A smaller green circle is located in the bottom right corner.

# Q&A