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# GEF-6 Policies

**GEF Expanded Constituency  
Workshop**

**Rarotonga, Cook Islands  
October 7-8, 2015**

# Agency Fee Policy

New fee structure since January 2013 (Council approved in June 2012)

ALL projects (FSPs, MSPs, EAs & PAs)		
	\$10M & less	Over \$10M
10 Existing Agencies	9.5%	9.0%
Project Agencies (GEF-6 Accreditation Pilot)	9.0% (irrespective of project amount)	
Fees for PPGs	Same rate as the baseline project	
Small Grants Program	4.0%	

Full-Sized Project Agency Fee – 40/60 tranche payment

- ✓ 40% of the fee will be paid subsequent to Council approval of Work Program
- ✓ 60% of the fee will be paid to CEO endorsement



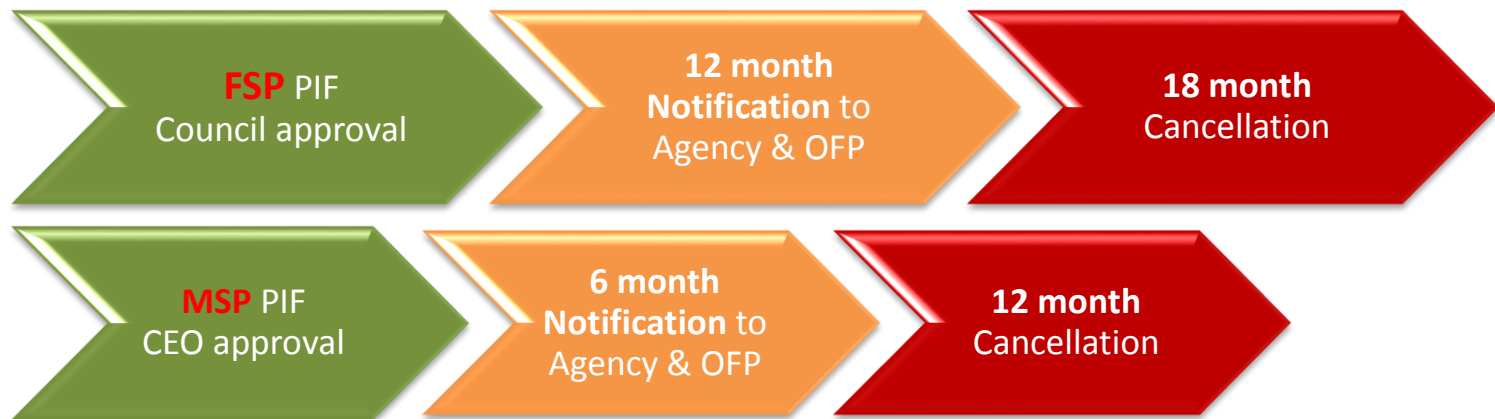
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# Project Cancellation Policy

## Objectives:

- to improve the GEF's operational efficiency; ensure timely project preparation & processing
- to deploy the GEF funding and resources effectively that GEF-financed projects remain relevant to the objectives & priorities of the recipient countries

## Phased approach



- Exceptions: extraordinary events (i.e., extraordinary natural disaster/epidemic, war); If justified, Council will be notified.
- Cancelled projects may be resubmitted within a year (for FSP)/within 6 month (for MSP) for consideration of CEO endorsement/approval, if resources are available.



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# Co-Financing Policy

- “**Co-financing**” definition:

Resources that are additional to the GEF financing (determined on the basis of the agreed incremental cost principle) and that are provided by the GEF Partner Agency itself and/or by other non-GEF sources that support the implementation of the GEF-financed project and the achievement of its objectives.

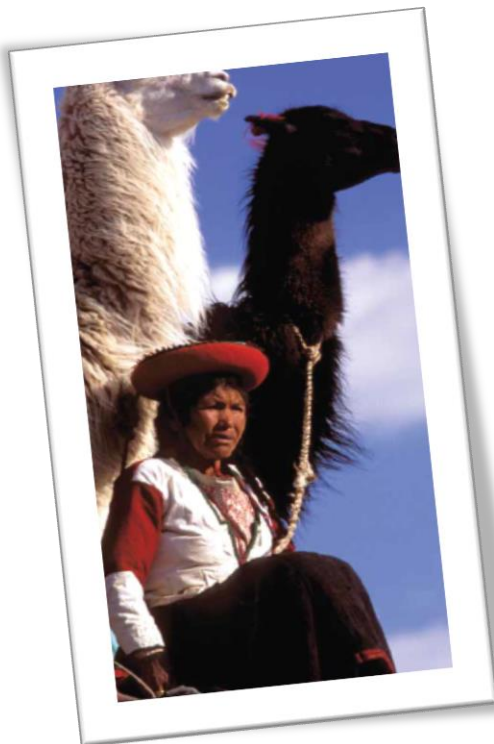


- Co-financing is
  - ✓ required for Full & Medium-sized Projects, Programmatic Approaches
  - ✓ optional for Enabling Activities
- Required info for Co-financing: source, type (i.e., grant, loan, guarantee, in-kind) & amount
  - ✓ Council Approval on Work Program (PIFs & PFDs): **indicative** info
  - ✓ CEO Endorsement: **confirmed** info along w/proof of evidence (i.e., grant agreement)



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# GEF and Gender Mainstreaming



*“As the GEF moves forward with a new and innovative investment strategy, we are deeply committed to initiating and enhancing projects to more effectively deliver on the goals for gender equality while addressing the challenges we face on the global environment. These are not separate issues. Only by engaging partners, both women and men, can we reverse the negative trends threatening our ecosystems and save them for our future generation.”*

**Naoko Ishii, GEF CEO and Chairperson**



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# Gender Equality Matters

## Gender and the Environment

- Men and women use resources differently and women play an important role in managing and preserving biodiversity, water, land and other natural resources at the local scale;
- Environmental degradation has severe consequences for all human beings, it particularly affects the most vulnerable, often women who constitute the majority of the world's poor;
- Women's equal access to and control over resources not only improves the lives of individuals, families and countries, but also help ensure environmental sustainability.

### GEF's Commitments to Gender Equality and Women's Empowerment

Gender equality is an important goal in the context of GEF operations - it helps to advance both the GEF's objectives of attaining global environmental benefits and those related to gender equality, equity, and social inclusion:

- GEF 2020 Strategy
- GEF Policy on Gender Mainstreaming (2012)
- GEF Gender Equality Action Plan (2014)



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# GEF Gender Mainstreaming Policy

## Agency minimal requirements

### Operational Level

- **Institutional capacity and institutional framework for gender mainstreaming**  
E.g. having a focal point on gender and/or policies that requires the Agency to design and implement projects to ensure that both women and men
  - a. receive culturally compatible social and economic benefits;
  - b. do not suffer adverse effects; and that
  - c. (fosters full respect for their dignity and human rights;
- **Gender responsive project cycle processes**, incorporating socio-economic and gender aspects, including systems for monitoring and evaluating progress on gender mainstreaming.

### Project level

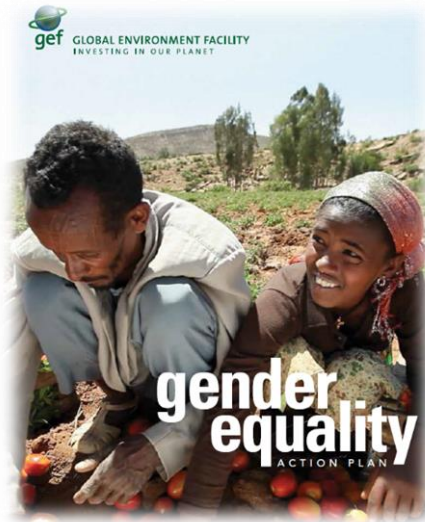
- Social assessment, including **gender analysis**, to assess the potential roles, benefits, impacts and risks for women and men;
- Integration of **specific measures** to minimize or mitigate adverse gender impacts;
- Integration of **gender sensitive activities**;
- **Gender responsive results -based framework** including the use of project level gender disaggregated indicators;
- Engagement of gender and social experts.



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# GEF Gender Equality Action Plan (GEAP) FY2015- 2018



## Approach

### GEF Gender Partnership (2015)

- Comprising of gender focal points from each GEF Agency, Convention Secretariats, GEFO, CSO Network, and the Indigenous Peoples Advisory Group
- Serving as a platform and community of practice and knowledge broker to ensure meaningful and effective coordination of the implementation of the GEAP.

## GEF Commitments and GEAP Priority Areas

1. **Project Cycle**: Ensuring gender- responsiveness GEF project cycle management processes and procedures, including development of guidelines for gender mainstreaming;
2. **Policy and Programs**: Mainstream gender considerations in key GEF policies, programs and support gender responsive projects, based on country demand and GEF 6 Strategy;
3. **Knowledge and Learning**: Enhance knowledge on gender equality and women's empowerment related to the GEF strategy and project portfolio, including a GEF webpage on gender to facilitate knowledge exchange and visibility;
4. **Results-based Management**: Ensure GEF-wide accountability for gender mainstreaming by strengthening corporate and focal area level indicators to help track progress on gender mainstreaming
5. **Capacity Development**: Strengthen capacity at GEFSEC institution and staff levels, OFPs and partners at the country level



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# GEF-6 Core Gender Indicators



Outcome	Indicators	Verification (when and were)
Gender-responsive project design	<p>1. Percentage of projects that have conducted gender analysis during project preparation</p> <p>2. Percentage of projects that have incorporated gender responsive project results framework (e.g. gender responsive output, outcome, indicator, budget)</p>	<p>Project Document at CEO endorsement</p> <p><b><i>Have the project conducted a gender analysis?</i></b></p> <p><b><i>Does the project results framework include sex-disaggregated indicators?</i></b></p>
Project implementation that ensures gender equitable participation in and benefits from project activities	<p>3. Share of women and men as direct beneficiaries of project</p> <p>4. <i>Share of convention related national reports incorporated gender dimensions (e.g. NBSAP, NAPA, TDA/SAP) (when applicable)</i></p>	<p>Project implementation reports, mid-term evaluation reports (GEF focal area tracking tools)</p> <p><b><i>Number direct beneficiaries disaggregated by gender</i></b></p>
Project monitoring and evaluation give adequate attention to gender mainstreaming	<p>5. Percentage of monitoring and evaluation report that incorporates gender equality/women's empowerment issues and assess results</p>	<p>Project implementation reports, mid-term evaluation reports (GEF focal area tracking tools)</p> <p><b><i>Does midterm evaluation reports (or terminal reports) incorporate gender equality and woman's empowerment issues and assess results ?</i></b></p>



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