

Global Environment Facility Introduction Seminar



Environmental & Social
Safeguards, Public
Involvement & Related Policies
Wednesday January 21st

13:15 – 14:00

Agency Min. Standards & Info Disclosure
Andrew Velthaus - Sr. Policy Officer

Gender & Indigenous Peoples
Yoko Watanabe - Sr. Biodiversity Specialist

Public Involvement
Pilar Barrera- Operations Officer

January 20 - 22, 2015



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Environmental and Social Safeguards, Gender, Indigenous Peoples, and Public Involvement

GEF Introduction Seminar

Washington, DC

January 20-21, 2015

Environmental and Social Safeguards

- The Policy (PL/SD/O3) establishes eight Minimum Standards that all GEF Partner Agencies are required to meet. Some standards may be found not to apply to a given agency in light of its “agreed or expected comparative advantage in the GEF, based on...the type of projects it normally implements.”
- Accredited GEF Project Agencies have been assessed as meeting the standards (except where a standard was found to be “inapplicable”), including with regard to implementation capacity.
- Policy self-enforced at the Agency through each Agency’s system for “Accountability and Grievances.”
- “The GEF Secretariat will not conduct a project-by-project review of the application of the minimum safeguard standards.” (Guideline GN/SD/O3, paragraph 8, taken from Council Document GEF/C.41/10/Rev.1)

<http://www.thegef.org/gef/content/environmental-and-social-safeguards>



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Information Disclosure

- GEF Instrument, Para. 5: “GEF operational policies.... with respect to GEF-financed projects shall provide for **full disclosure of all non-confidential information.**”
- Information disclosure practices contained in *GEF Practices on Disclosure of Information*, Council Document GEF/C.41/Inf.03.
http://www.thegef.org/gef/sites/thegef.org/files/documents/C.41.Inf_03_GEF_Practices_on%20Disclosure_of_Information.pdf
- All Council & replenishment documents disclosed- unless contain confidential information.
- Includes all project documents - PIFs, CEO endorsement request & full project proposal - and GEFSEC review sheet & STAP Screen.
- GEF also relies on Agency information disclosure/ access to information policies (including those required under GEF Safeguards Policy).
- World Bank *Access to Information Policy* applies to GEF Secretariat.



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Thank you for your attention

Any questions?

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Gender Mainstreaming and Indigenous Peoples



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Gender Mainstreaming

Policy on Gender Mainstreaming (PL/SD/02) was adopted (2011)

- GEF's commitment to enhancing gender equality through GEF operations.
- The Policy calls on the GEF Agencies to have policies, strategies, or action plans that meet the seven minimum standards:
 - 1) Institutional capacity for gender mainstreaming
 - 2) Consideration of gender elements in project design, implementation and review
 - 3) Undertake project gender analysis
 - 4) Measures to minimize/mitigate adverse gender impacts
 - 5) Integration of gender sensitive activities
 - 6) Monitoring and evaluation of gender mainstreaming progress
 - 7) Inclusion of gender experts in projects



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Gender Equality Action Plan

- **Concrete road map** to implement the GEF Policy on Gender Mainstreaming that builds on the existing and planned gender strategies and plans of the GEF Agencies
- **Goal:** to operationalize the mainstreaming of gender in GEF policy and programming to advance both the GEF's goals for attaining GEBs and the goal of gender equality and women's empowerment.
- Initially serves during the **GEF-6 period** (FY15-18).



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Key Elements

1. Project Cycle

- Develop a Guideline on Mainstreaming Gender in GEF Project Cycle
- Incorporate in Project Templates and Guidelines

2. Programming and Policies

- Support gender responsive projects, based on country demand and GEF-6 Strategy
- Mainstream gender in key GEF Strategy and Policy documents.

3. Knowledge Management

- Enhance KM on gender equality, in line with new KM strategy (Knowledge products, webpage, etc)

4. Results Based Management

- Strengthen GEF-wide accountability for gender mainstreaming by having Corporate and Focal Area level indicators and targets.

5. Capacity Development

- Strengthen capacity at GEFSEC institution and staff levels, OFPs and partners at the country level



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GEF-6 Core Gender Indicators

1. Percentage of projects that have conducted gender analysis during project preparation.
2. Percentage of projects that have incorporated gender responsive project results framework (e.g. gender responsive output, outcome, indicator, budget, etc).
3. Share of women and men as direct beneficiaries of project.
4. Share of convention related national reports incorporated gender dimensions (e.g. NBSAP, NAPA, TDA/SAP, etc.).
5. Percentage of monitoring and evaluation reports that incorporates gender equality/women's empowerment issues and assess results/progress.



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GEF Indigenous Peoples Policies and Strategies

- ☐ GEF Public Involvement Policy (1996)
- ☐ GEF Minimum Standards on Envi. & Social Safeguards, including Indigenous Peoples (2011)
- ☐ GEF Principles and Guidelines for Engagement with Indigenous Peoples (2012)
 - ☐ GEF Focal Area Strategies and Results Framework
 - Incorporate strategies and indicators related to Indigenous Peoples
 - ☐ GEF Project Review Criteria
 - Clarify stakeholders participation, including indigenous peoples and gender groups, in project preparation and implementation.



Indigenous Peoples

Safeguards Policy (PL/SD/03) include minimum standards on Indigenous Peoples

- The GEF “adopts a standard of free, prior and informed consent (FPIC) for GEF-financed projects” in ILO Convention 169 signatory countries; and
- **Minimum Standard 4** on Indigenous Peoples. Main criteria and ten minimum requirements require GEF Agencies to “ensure that their projects are designed and implemented in such a way that fosters full respect for Indigenous Peoples’ and their members’ dignity, human rights, and cultural uniqueness.

Principles and Guidelines for Engagement with Indigenous Peoples.

- Consolidates and summarizes key principles for engaging Indigenous Peoples contained in the Public Involvement Policy (PL/SD/01) and Safeguards Policy and establishes additional guidance.



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GEF IP Principles and Guidelines: Mechanisms for Implementation

**GEF Indigenous
Peoples Focal
Point**

**Indigenous
Peoples
Advisory Group**

**Enhanced
Monitoring
Systems**

**Capacity building
and Information
exchange**

**Strengthened
Financial
Arrangements**



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Any questions?

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Guidelines for the Implementation of the Public Involvement Policy

GEF Policies Related to CSO and other stakeholders involvement

- The Public involvement in GEF-financed projects (1996)
- the GEF Guidelines for Engagement with Indigenous Peoples;
- the Policy on Agency Minimum Standards on Environmental and Social Safeguards;
- the Policy on Gender Mainstreaming and
- the GEF Monitoring and Evaluation Policy
- Guidelines for the Implementation of the Public Involvement Policy (2014)



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Public Involvement Policy

Policy: *Public Involvement in GEF Projects,* GEF/PL/SD/01

<http://www.thegef.org/gef/content/public-involvement-policy>

- Effective public involvement is critical to the success of GEF-financed projects, with emphasis on local participation.
- Public involvement comprises three related and often overlapping processes: (a) information dissemination; (b) consultation; and (c) stakeholder participation.



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Public Involvement Policy Principles

1. Social, Environmental and Financial Sustainability
2. Country Responsibility (government and GEF Agencies)
3. Flexibility
4. Broad-based and sustainable
5. Transparency



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The Rationale for Public Involvement in GEF-financed Projects

- Enhancing recipient country ownership of, and accountability for, project outcomes
- Addressing the social and economic needs of affected people
- Building partnerships among project executing agencies and stakeholders
- Making use of skills, experiences, and knowledge in the design, implementation and evaluation of project activities.



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Guidelines

These Guidelines aim to provide further detail on steps to achieve and implement the principles stipulated in the Public Involvement Policy



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Guidelines

- Information Dissemination
- Consultation for Setting Priorities
- Consultation for Project/Program Design and Implementation
- Reporting, Monitoring and Evaluation
- Conflict Resolution



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Information Dissemination

Action	Responsible
Relevant documents and information related to GEF programs and projects become publicly available on the GEF's website in a timely manner.	GEF Secretariat
Information meetings, ND. NPFE. NSC	OFPs
GEF workshops, ECWs	GEF Secretariat
Update of A-Z	GEF Secretariat
Webinars	GEF Secretariat



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Consultation – Setting Priorities

Mechanism – Action	Responsible
NPFE – CSO rep	OFP
National Dialogue	OFP
Other consultation meetings	OFP - GEF Partner Agencies



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Consultation – Participation- Programs and Projects

Mechanism – Action	Responsible
Consult on project and program ideas	OFP
Documentation of affected stakeholders	GEF Partner Agencies
Meaningful consultations with stakeholders	GEF Partner Agencies
FPIC	GEF Partner Agencies
Identification of Needs	GEF Partner Agencies
Identification of Partners	GEF Partner Agencies
Review of Proposed Activities – stakeholder engagement plan	GEF Partner Agencies



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Reporting, Monitoring and Evaluation

Mechanism – Action	Responsible
Mid-Term Evaluation and Terminal Evaluation of each project account for participation of CSOs and other stakeholders	GEF Partner Agencies
Seek partnership opportunities with relevant CSOs in the monitoring and evaluation of projects and programs	GEF Partner Agencies – OFPs
Continued input from affected stakeholders and communities regarding progress	GEF Partner Agencies – OFPs



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Reporting, Monitoring and Evaluation (cont'd)

Mechanism – Action	Responsible
The Annual Monitoring Review (AMR), will contain a section on the analysis of the participation of CSOs and other stakeholders	GEF Secretariat
Terminal Evaluations will include, where applicable, a section on the degree and manner of involvement of civil society organizations and other stakeholders	GEF Partner Agencies
Sub-study on public participation in GEF projects and programs as part of the Overall Performance Study (OPS) in every GEF cycle	Independent Evaluation Office

Conflict Resolution

Mechanism – Action	Responsible
GEF Conflict Resolution Commissioner and the GEF Secretariat Civil Society Operations Officer are available to receive any complaints brought forward by CSOs and other stakeholders that are not satisfactorily resolved at the local level, country, or GEF Partner Agency level.	GEF Secretariat
Report annually, through the Annual Monitoring Review (AMR), on cases that have been presented to their respective resolution systems and on how they have been addressed	GEF Partner Agencies



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Action Plan

- Information Dissemination
- Design of programs and projects
- Knowledge Management
- Monitoring
- Capacity Development
- Policy and Guidelines
- Conflict Resolution



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Key Components	Actions	Responsibility	Timeframe
Information Dissemination	GEF website will be updated to include all relevant missing documentation on approved PIFs, Approved CEO Endorsement and Approvals, Mid-Term Reviews and Terminal Evaluation Reports.	GEF Secretariat	FY15-18
	Update GEF website to include the <i>Guidelines for the Implementation of the Public Involvement Policy</i> (hereafter “the present Guidelines”) and publish examples of good practices, approaches, and tools, as part of the updated Results-based Management action plan.	GEF Secretariat	FY15-18
	GEF Workshops (e.g. ECWs and introduction seminars) will include a session on the present Guidelines.	GEF Secretariat	FY15
	Update the <i>A to Z Guide to the GEF for NGOs</i>	GEF Secretariat	FY15
Design of programs and projects	Review and develop GEF Project Templates and Guidelines to incorporate a section on public involvement, in line with the present Guidelines and with other relevant policies and practices, including those on indigenous peoples and gender equality.	GEF Secretariat	FY15-16
Knowledge Management	GEF Knowledge Management efforts will include a provision for exchanging experiences on engagement of civil society and other stakeholders in GEF projects and programs.	GEF Secretariat	FY15-18
	A learning mission will be conducted to analyze best practices in public involvement in GEF projects and programs. Results are documented and disseminated.	GEF Secretariat/GEF Partner Agencies	FY15-16
Monitoring	The new Results-based Management System will include appropriate indicators and frameworks to monitor the participation of CSOs and other stakeholders in GEF projects and programs.	GEF Secretariat	FY16
	Bi-annual report on progress in the implementation of the GEF Public Involvement Policy in GEF projects and programs.	GEF Secretariat	FY15-18
	Templates for monitoring and evaluation reports include a section on engagement of civil society and other stakeholders.	GEF Secretariat	
	GEF Overall Performance Study to include a section on implementation of the Policy.	IEO	FY18
	Report on CSO participation results of GEF projects (e.g. mid-term review, terminal evaluation, thematic and country evaluation, etc.)	GEF Partner Agencies.	FY15-18
	Include results-oriented information from the SGP in the AMR portfolio, in order to present global participation of CSOs.	GEF Secretariat/UNDP	FY15-FY18
Capacity Development	Training module for CSOs, Agencies and OFPs, among others on the Public Involvement Policy and the present Guidelines to be included in GEF introductory workshops and ECWs.	GEF Secretariat	FY15-16
	Devise online training tools, such as webinars, to improve engagement of civil society and other stakeholders.	GEF Secretariat	FY15-18



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Thank you for your attention

Any questions?

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