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# Results Based Management in the GEF

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# RBM IN THE GEF

**AGENCIES REPORTING REQUIREMENTS  
GEBs, TRACKING TOOLS, PIRs, MTRs, TEs**

**RBM MAIN REPORTS TO COUNCIL**



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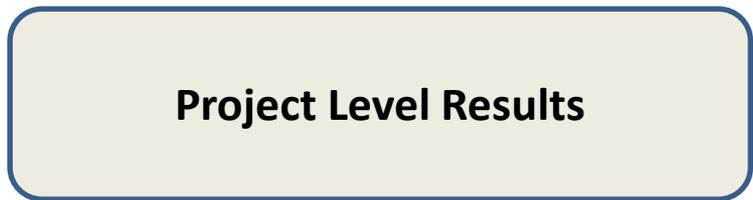
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REMEMBER M&E INFORMATION IS USEFUL ONLY IF IT IS USED!



# Results Based Management

A robust system to ensure that key management decisions are based on results is critical to help the GEF network achieve its objectives

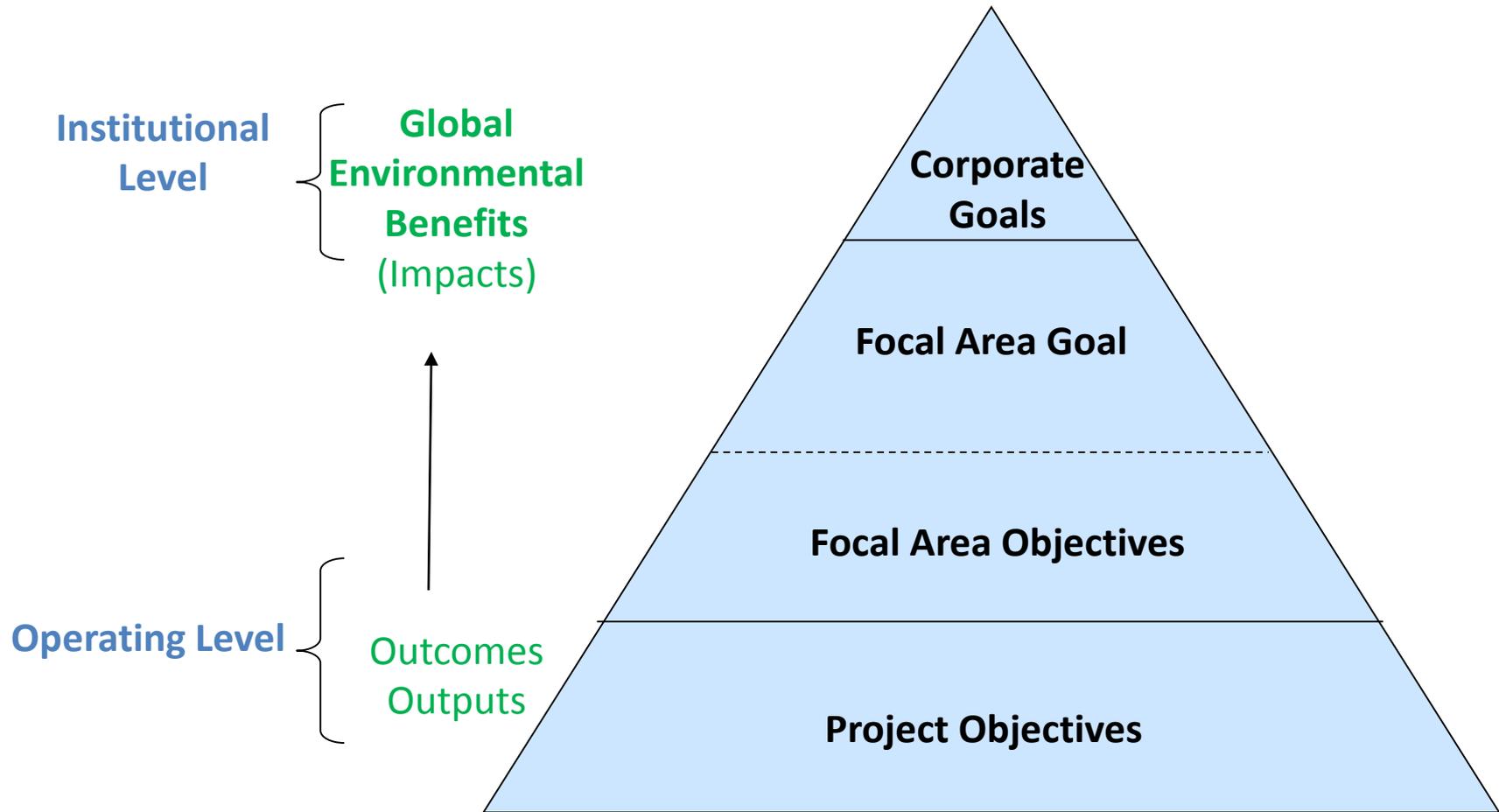


GEF Desired Results  
**Achieving Global Environmental Benefits**



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# GEF RBM Framework



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# AGENCIES REPORTING REQUIREMENTS GEBs, TRACKING TOOLS, PIRs, MTRs, TEs



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# Global Environmental Benefits and how they link to GEF projects

Biodiversity Land and Seascapes (hectares covered)

Sustainable Land Management (hectares covered)

Chemicals and Waste (tons of mercury reduced)

Globally over-exploited fisheries moved towards sustainability (% by volume)

Enhanced water-food-ecosystem security (number of freshwater basins)

GHG mitigation (tons of CO<sub>2</sub>eq reduced)

## Exercise: Global Environmental Benefits and how they link to GEF projects

Global Environmental Benefits (expressed through key indicators)	Sample Indicators from GEF Tracking Tools	Project, for which indicator/s would apply
Biodiversity Land and Seascapes (hectares covered)	<ul style="list-style-type: none"> <li>- Total area deforested or with forest significantly degraded, as indicated by satellite imagery (hectares)</li> <li>- Extent of the landscape or seascape where the project will directly or indirectly contribute to biodiversity conservation or sustainable use of its components (hectares)</li> </ul>	
Sustainable Land Management (hectares covered)	<ul style="list-style-type: none"> <li>- Vegetative cover (natural &amp; cultivated cover such as forest, shrubs, herbaceous, incl. crops) (hectares covered)</li> <li>- What production system(s) will the project target? Please provide an estimated coverage of the area targeted. (hectares covered)</li> </ul>	
Chemicals and Waste (tons of mercury reduced)	<ul style="list-style-type: none"> <li>- Amount and type of Persistent Organic Pollutants (POPs) eliminated or reduced (tons)</li> <li>- Amount of Mercury reduced (tons)</li> </ul>	
Globally over-exploited fisheries moved towards sustainability (% by volume)	<ul style="list-style-type: none"> <li>- Globally over-exploited fisheries moved to more sustainable levels (% by volume)</li> </ul>	
Enhanced water-food-ecosystem security (number of freshwater basins)	<ul style="list-style-type: none"> <li>- Enhanced Water-Food-Energy-Ecosystems security and conjunctive management of surface and groundwater (# of basins)</li> </ul>	
GHG mitigation (tons of CO <sub>2</sub> eq reduced)	<ul style="list-style-type: none"> <li>- Total Lifetime Direct and Indirect GHG Emissions Avoided (Tons CO<sub>2</sub>eq)</li> </ul>	



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# Contributions to the Generation of Global Environment Benefits (GEF-6)

Results and Indicators	Target	Expected Results	
<b>Maintain globally significant biodiversity and the ecosystem goods and services that it provides to society</b>			
Landscapes and seascapes under improved management for biodiversity conservation (million hectares)	300	256	85% 
<b>Sustainable land management in production systems (agriculture, rangelands and forest landscapes)</b>			
Production landscapes under improved management (million hectares)	120	64	53% 
<b>Promotion of collective management of transboundary water systems and implementation of the full range of policy, legal, and institutional reforms and investments contributing to sustainable use and maintenance of ecosystem services</b>			
Number of freshwater basins in which water-food-energy-ecosystem security and conjunctive management of surface and groundwater is taking place	10	7	70% 
Globally over-exploited fisheries moved to more sustainable levels <sup>1</sup> (percent of fisheries, by volume)	20	14	69% 
<b>Support to transformational shifts towards a low-emission and resilient development path</b>			
CO <sub>2e</sub> mitigated (million metric tons) <sup>2</sup>	750	1,227	164% 
<b>Increase in phase-out, disposal and reduction of releases of POPs, ODS, mercury and other chemicals of global concern</b>			
POPs (PCBs, obsolete pesticides) disposed (metric tons) <sup>3</sup>	80,000	117,480	147% 
Mercury reduced (metric tons)	1,000	374	37% 
ODP (HCFC) reduced/phased out (metric tons)	303	0	0 



# Purpose of the GEF Tracking Tools

*To measure progress in achieving the impacts and outcomes established at the portfolio level under each focal area*

- TTs are GEF Portfolio monitoring tool (a portfolio performance tool)
- Allows for aggregation of results at the portfolio level
- TTs are intended:
  - To roll up indicators from the individual project level to the portfolio level
  - To track overall portfolio performance in focal areas
- **Aggregated results are then published by the GEF in the Annual Monitoring Report (APMR), shared with donors**



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# Structure of the GEF Tracking Tools

GEF BD Tracking ToolrevAugust2011



## Tracking Tool for Biodiversity Projects in GEF-3, GEF-4, and GEF-5

Objective 1

**Objective:** To measure progress in achieving the impacts and outcomes established as a result of the biodiversity local area project. Project data from the GEF-3, GEF-4, and GEF-5 project cohort will be aggregated and reported to the GEF Council on performance in the biodiversity local area.

**Rationale:** Project data from the GEF-3, GEF-4, and GEF-5 project cohort will be aggregated and reported to the GEF Council on performance in the biodiversity local area.

**Structure of Tracking Tool:** Each tracking tool requests background information on the project and coverage in the biodiversity local area. Information required to track portfolio level indicators in the GEF-3, GEF-4, and GEF-5 strategy.

**Guidance in Applying GEF Tracking Tools:** GEF tracking tools are applied three times: at CEO endorsement, at project mid-term, and at project completion. Agencies as well as project teams should be aware of the timing of these submissions.

**Submission:** The finalized tracking tool will be cleared by the GEF Agency as well as the project team.

*Important: Please read the Guidelines posted on the GEF website before entering your data.*

Sample of Biodiversity TT spreadsheets:

- Objective 1. Section I
- Objective 1. Section II
- Objective 1. Section III
- Objective 2.
- Objective 3.

Protected Areas

Mainstreaming

Biosafety

I. General Data		
	Please indicate your answer here	Notes
Project Title		
GEF Project ID		
Agency Project ID		
Implementing Agency		
Project Type		FSP or MSP
Country		
Region		
Date of submission of a tracking tool		Month DD, YYYY (e.g., May 12, 2010)
Name of reviewers completing tracking tool and completion date		Completion Date
Planned project duration		years
Actual project duration		years
Lead Project Executing Agency (ies)		
Date of Council/CEO Approval		Month DD, YYYY (e.g., May 12, 2010)
GEF Grant (US\$)		
Cofinancing expected (US\$)		
II. Total Extent in hectares of protected areas targeted by the project by biome type		
	Please indicate your answer here	
<b>Please use the following biomes provided below and place the coverage data within these biomes</b>		
<b>Terrestrial (insert total hectares for terrestrial coverage and then provide coverage for each of the terrestrial biomes below)</b>		
Total hectares		ha
Tropical and subtropical moist broadleaf forests (tropical and subtropical, humid)		ha
Tropical and subtropical dry broadleaf forests (tropical and subtropical, semi-humid)		ha

Objective 1. Section I | Objective 1. Section II | Objective 1. Section III | Objective 2. | Objective 3.



# Project Implementation Reports (PIR)

- PIR is a yearly report submitted by Agencies to Secretariat
- Report on Project Status: Start, Close, Project Delays, Project Cancellations
- Amount disbursed to date
- Report on project ratings:
  - Implementation Progress (IP)
  - Development Objective (DO)
  - Risk Rating

**Agencies should be aware of the AMR templates and RBM Reporting Guidelines**



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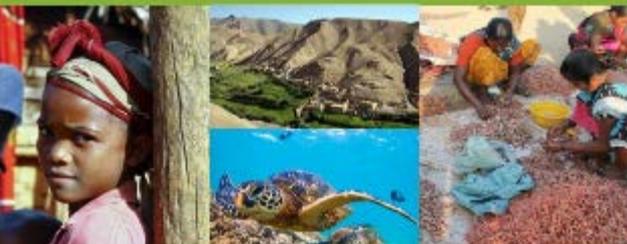


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# GEF Corporate Scorecard

## GEF Corporate Scorecard

October 2016



## GEF Corporate Scorecard

April 2016



- Contributions to the Generation of Global Environment Benefits
- Progress made in programing GEF-6 resources
- GEF-6 utilization rates of funds by region and focal area
- Utilization and Allocation by Constituency
- Corporate Efficiency and Effectiveness
  - Project Cycle Effectiveness
  - Results Driven Implementation
  - GEF Outreach
  - Gender
  - Stakeholder Involvement

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# Annual Portfolio Monitoring Report (APMR)

- APMR is the principal reporting instrument of the GEF Secretariat's results monitoring system.
- Focuses on performance of projects under implementation under each fiscal year.
- Contains a deeper assessment of outcomes, experiences, and lessons learned from the GEF's active portfolio of projects.
- This year's APMR provided insights into the Non-Grant Instruments (NGIs) Pilot, status update on results of the UNDP-implemented GEF Small Grants Programme (SGP).



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# Portfolio Monitoring

- Monitoring the entire set of interventions funded by the GEF
  - Corporate level results framework
  - Focal Area results framework
  - A framework to track GEF process effectiveness and efficiency
- Secretariat's Monitoring Focused on Overall GEF Portfolio
  - To ensure progress in achieving global environmental benefits



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# RBM ACTION PLAN FOR GEF-6

- **Action Plan Vision:** Key management decisions on the provision and use of GEF financing in the GEF partnership are fully and efficiently informed by the best available information on results.
- **Action Plan Purpose:** To review and where necessary to improve the RBM system at the GEF Secretariat, building on the RBM systems and practices in the GEF Agencies.



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**Thank you!**



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