



GEF/C.40/02
April 26, 2011

GEF Council Meeting
May 24-26, 2011
Washington, D.C.

Agenda Item 3

ANNOTATED PROVISIONAL AGENDA

AGENDA ITEM 1.

OPENING OF THE MEETING

(CEO Chairs)

1. The meeting will be opened by Monique Barbut, CEO/Chairperson of the Facility.

AGENDA ITEM 2.

ELECTION OF A CHAIRPERSON

(CEO Chairs)

2. In accordance with paragraph 18 of the Instrument, a Chairperson will be elected from among the Members of the Council. Paragraph 18 provides that the position of elected Chairperson will alternate from one meeting to another between recipient and non-recipient Council Members. The elected Chairperson at the previous Council meeting was Mr. Javed Talat, the Council Member representing the constituency of Afghanistan, Jordan, Lebanon, Pakistan, Syria and Yemen.
3. Consistent with paragraph 18 of the Instrument, the elected Chairperson is expected to chair the Council meeting during discussions on agenda item 3, "Adoption of the Agenda," agenda item 4, "Statements by the Executive Secretaries of the Conventions," agenda item 5, "Relations with the Conventions," agenda item 6, "Report by the Chair of the Scientific and Technical Advisory Panel," agenda item 7, "Annual Country Portfolio Evaluation Report-2011 and Management Response," agenda item 8, "Annual Performance Report-2010 and Management Response," agenda item 9, "Annual Monitoring Report," agenda item 10, "Four-year Work Program and Budget of the Evaluation Office," agenda item 11, "FY12-14 Business Plan and FY12 Corporate Budget for the GEF, EO and the Trustee," and agenda item 12, "Report of the Selection and Review Committee."
4. The elected Chairperson and the CEO will jointly chair the Council meeting during discussions on agenda item 20, "Other Business," and agenda item 21, "Joint Summary of the Chairs."
5. The CEO/Chairperson of the Facility will chair the Council meeting during discussions of the other items on the agenda.

AGENDA ITEM 3.

ADOPTION OF THE AGENDA

(Elected Chairperson Chairs)

6. A *Provisional Agenda* for consideration by the Council has been circulated to all Council Members as document GEF/C.40/01.

7. *The Council is invited to adopt the agenda for the meeting.*

AGENDA ITEM 4.

STATEMENTS BY THE EXECUTIVE SECRETARIES OF THE CONVENTIONS

(Elected Chairperson Chairs)

8. The representative of the Stockholm Convention on Persistent Organic Pollutants will report on the recent decisions and developments under the Convention related to the activities of the GEF, in particular on the results of its 5th Conference of the Parties meeting. The representative of the United Nations Framework Convention on Climate Change will report on the recent decisions and developments under the Convention related to the activities of the GEF.

AGENDA ITEM 5.

RELATIONS WITH THE CONVENTIONS

(Elected Chairperson Chairs)

9. Document GEF/C.40/03, *Relations with the Conventions and Other International Institutions*, reports on developments of interest to the GEF occurring since the GEF Council meeting in November 2010 within the context of the Convention on Biological Diversity, the UN Framework Convention on Climate Change, the Stockholm Convention on Persistent Organic Pollutants, the UN Convention to Combat Desertification, and the Montreal Protocol on Substances that Deplete the Ozone Layer, as well as other conventions and institutions of particular interest to the GEF.
10. *The Council is invited to consider the report and the proposed activities to implement the guidance and decisions that have been approved by the Conferences of the Parties to the global environmental conventions.*

AGENDA ITEM 6.

REPORT BY THE CHAIR OF THE SCIENTIFIC AND TECHNICAL ADVISORY PANEL

(Elected Chairperson Chairs)

11. The Chair of the Scientific and Technical Advisory Panel will be invited to make a statement to the Council on the work of the Panel. An opportunity will be provided for Council Members to address questions to the Chair of the Scientific and Technical Advisory Panel. A written report of the Chair can be found in

document GEF/C.40/Inf.13, *Report of the Chairperson of the Scientific and Technical Advisory Panel*.

12. *The Council is invited to comment on the report of the Chair of the Scientific and Technical Advisory Panel and to provide guidance to the Panel, as appropriate.*

AGENDA ITEM 7.

ANNUAL COUNTRY PORTFOLIO EVALUATION REPORT-2011 AND MANAGEMENT RESPONSE
(Elected Chairperson Chairs)

13. Document GEF/ME/C.40/02, *Annual Country Portfolio Evaluation Report – 2011* introduces and describes the new multiannual cycle of country level evaluations for GEF-5; reports on progress to date of ongoing country portfolio evaluations in the Eastern Caribbean region, in Nicaragua and in Brazil; and provides a synthesis of the main conclusions emerging from two Country Portfolio Studies (CPS) finalized this year in El Salvador and Jamaica. The report reflects on the CPS as a new instrument for country level evaluation work in terms of its potential contribution to the country-level evaluative knowledge produced by the Office.
14. Document GEF/ME/C.40/03, *Management Response to the Annual Country Portfolio Evaluation Report – 2011*, prepared by the GEF Secretariat, provides the GEF management's response to the evaluation conducted by the GEF Evaluation Office.
15. *The Council is invited to review both documents and to request the Evaluation Office to continue developing and implementing, during GEF-5, joint and/or coordinated country-level evaluation work with either GEF Agencies' independent evaluation offices or with independent national institutions with recognized expertise in both evaluation and environment.*

AGENDA ITEM 8.

ANNUAL PERFORMANCE REPORT-2010 AND MANAGEMENT RESPONSE
(Elected Chairperson Chairs)

16. Document GEF/ME/C.40/04, *Annual Performance Report-2010 (Conclusions and Recommendations)* presents a detailed account of some aspects of project results, of processes that may affect these results, and of monitoring and evaluation (M&E) arrangements in completed GEF projects. This document focuses on 48 completed projects for which terminal evaluations were submitted during the FY2010.

17. Document GEF/ME/C.40/05, *Management Response to the Annual Performance Report-2010*, prepared by the GEF Secretariat, provides the GEF management's response to the evaluation conducted by the GEF Evaluation Office.
18. *The Council is invited to review both documents and to request the GEF Evaluation Office to strengthen its collaboration with the independent evaluation offices of the GEF Agencies on the review of terminal evaluations to ensure a more streamlined process which will lead to reduction of delays in submission of terminal evaluations and improve the information concerning project status.*

AGENDA ITEM 9

ANNUAL MONITORING REPORT *(Elected Chairperson Chairs)*

19. Document GEF/C.40/04, *Annual Monitoring Report FY10*, provides an overview on the GEF's active portfolio of projects, an assessment of portfolio achievements, and progress towards result targets. In particular, the document provides an analysis of resources programmed in GEF-4 as well as a section comparing programming across GEF-3 and GEF-4.
20. *The Council is invited to request the Secretariat to continue to work in close collaboration with the GEF Agencies to carry out the GEF-5 RBM work-plan (GEF/39/6). The Council is further invited to endorse the two-phased reporting proposal outlined in the document and request the Secretariat to submit its first report under this proposal to the November 2011 Council meeting*

AGENDA ITEM 10.

FOUR-YEAR WORK PROGRAM AND BUDGET OF THE EVALUATION OFFICE *(Elected Chairperson Chairs)*

21. Document GEF/ME/C.40/01, *Four-year Work Program and Budget of the Evaluation Office*, contains the detailed proposal for the EO's work plan and work budget for the next four years.
22. *The Council is invited to review the document. Council Members will decide on the EO budget under agenda item 11.*

AGENDA ITEM 11.

FY12 -14 BUSINESS PLAN AND FY12 CORPORATE BUDGET

(Elected Chairperson Chairs)

23. Document GEF/C.40/05, *FY12-14 Business Plan and FY12 Corporate Budget*, outlines plans for the implementation of GEF-5 policy recommendations and for programming resources during the remainder of the GEF-5 period (FY12-14). The document also reports on the implementation of reforms and programming decisions during the first year of GEF-5 (FY11), including new activities that are now responsibilities of the Secretariat.
24. *The Council is invited to approve the budget and request the Secretariat to present a combined FY13 corporate budget and FY13-14 business plan for discussion at its spring 2012 meeting.*

AGENDA ITEM 12.

REPORT OF THE SELECTION AND REVIEW COMMITTEE

(Elected Chairperson Chairs— Executive Session)

25. Document GEF/C.40/06, *Rotations of Members on the Selection and Review Committee*, provides background information about the current rules and informal practices in use for selecting new members to rotate onto the Selection and Review Committee (SRC).
26. *The Council is invited to request the SRC to continue to select new SRC members in accordance with the current practice, as articulated in the document.*

AGENDA ITEM 13.

WORK PROGRAM

(CEO Chairs)

27. Document GEF/C.40/07, *Work Program Submitted for GEF Council Approval*, contains the proposed work program comprised of project proposals and one programmatic approach that are recommended by the CEO for Council endorsement and approval. This is the third work program presented for GEF Council review and approval in GEF-5 and the third work program that utilizes country allocations under the System for Transparent Allocation of Resources (STAR) in the biodiversity, climate change and land degradation focal areas. The proposed work program requests \$189.58 million in GEF financing.
28. *The Council is invited to review the proposed work program with a view to approving it for further development.*

AGENDA ITEM 14.

ENHANCING THE VISIBILITY OF THE GEF
(CEO Chairs)

29. Document GEF/C.40/08, *Proposal for Enhancing the Visibility of the GEF*, outlines a Communication and Visibility Policy for consideration and adoption by the GEF Council. The document highlights the strong need to improve how GEF Agencies and others in the network communicate and present GEF-funded activities to donors and other stakeholders
30. *The Council is invited to adopt the GEF Communication and Visibility Policy and decide that, unless otherwise specified, all GEF Agencies shall comply with the Policy.*
31. *The Council is also invited to request the GEF Agencies to report to the GEF Secretariat, within one month from the end of the Council meeting, on any changes to their model form agreements with recipients of GEF grant funds or executing agencies of GEF projects aimed at reflecting both the role that the GEF is playing in the financing of the projects and the requirement that the executing entities adhere to the GEF Communication and Visibility Guidelines.*
32. *The Council is further invited to request the GEF Secretariat to report any significant failures of an Agency to conform to the Policy requirements and the GEF CEO to recommend an appropriate response, including weighing this consideration when deciding on future allocations of GEF resources.*

AGENDA ITEM 15.

BROADENING THE GEF PARTNERSHIP UNDER PARAGRAPH 28 OF THE GEF INSTRUMENT
(CEO Chairs)

33. Document, GEF/C.40/09, *Broadening the GEF Partnership by Operationalizing Paragraph 28 of the GEF Instrument*,
34. *The Council is invited to decide to implement the GEF Pilot on broadening the GEF partnership, in accordance with the provisions set forth in this document, as amended by the Council during the meeting.*
35. *The Council is invited to direct the Secretariat to finalize the Stage 1 Application Form and all relevant procedures and to inform GEF participant countries and other interested parties when they may begin submitting applications. The Secretariat will conduct Value-added Reviews on all completed applications*

according to the procedures and criteria outlined in document GEF/C.40/10. The Council is invited to request the Secretariat to submit its recommendations of applicants for Council approval at the spring and fall 2012 meetings, only.

36. *The Council is also invited to ask the Secretariat, in cooperation with the GEF Trustee, to finalize all other necessary arrangements, procedures, and materials for the accreditation of GEF Project Agencies, including establishment of the GEF Accreditation Panel.*
37. *The Council is further invited to direct the Evaluation Office to initiate an evaluation on the pilot at the earlier of either: (a) two years after the first five agencies have been accredited or (b) January 2015.*
38. Document GEF/C.40/10, *GEF Policies on Environmental and Social Safeguards and Gender Mainstreaming*, proposes the adoption by the GEF Council of two related policies: a GEF Policy on Environmental and Social Safeguards and a GEF Policy on Gender Mainstreaming.
39. *The Council is invited to approve the Policy on Environmental and Social Safeguards, as well as provisions for the application of the policy to the existing GEF Agencies and GEF Project Agencies contained in the document. The Council is invited to ask the Secretariat to reference this policy in relevant sections of the GEF Fiduciary Standards when they are updated in the future.*
40. *The Council is also invited to approve the Policy on Gender Mainstreaming, as well as provisions for the application of the policy to GEF Partner Agencies as described in the document*
41. *The Council is invited to direct the Secretariat to ensure that the GEF Accreditation Panel includes an individual having extensive experience with and knowledge of environmental and social safeguards and gender mainstreaming, so that the panel has adequate capacity to assess whether GEF Project Agency applicants meet the criteria contained in the two policies.*
42. *The Council is further invited to request the Secretariat to recruit consultants having significant experience with and knowledge of environmental and social safeguards and gender mainstreaming to assess whether the existing GEF Agencies meet the applicable criteria in the two policies.*

AGENDA ITEM 16.

OUTSTANDING ISSUES RELATED TO THE NAGOYA PROTOCOL IMPLEMENTATION FUND

(CEO Chairs)

43. Document GEF/C.40/11, *Outstanding Issues Related to the Nagoya Protocol Implementation Fund*, outlines the objectives and activities of this multi-donor trust fund.
44. *The Council is invited to approve the arrangements proposed for the operation of this new multi-donor trust fund, subject to the comments made at the GEF Council meeting and taking into account the provisions of the Council decision by mail on the creation of this multi-donor trust fund, approved on February 18, 2011.*

AGENDA ITEM 17.

CRITERIA FOR THE ESTABLISHMENT OF TRUST FUNDS WITHIN THE GEF

(CEO Chairs)

45. Document GEF/C.40/12, *Criteria for the Establishment of Trust Funds within the GEF*, considers the situation when new guidance is given by the Conferences of the Parties for which donors agree to provide resources in the GEF. The document explores practical options to allow the GEF to respond pragmatically as financial mechanism of the conventions it serves, while protecting the integrity of the GEF and its primary Trust Fund.
46. *The Council is invited to request the Secretariat and Trustee to establish a standing trust fund in which multiple interim windows can be created to be managed by the GEF, in accordance with the conditions articulated in the document, including the Trustee's Annex.*

AGENDA ITEM 18.

STRATEGY TO ENGAGE WITH THE PRIVATE SECTOR

(CEO Chairs)

47. Document GEF/C.40/13, *Strategy to Engage with the Private Sector*, outlines the potential for engagement of the GEF with the private sector and identifies GEF-5 private sector objectives. The document proposes two major modalities for engaging the private sector in GEF- 5.
48. *The Council is invited to request the Secretariat to implement the proposed strategy expeditiously and report on implementation every year at the spring meetings of the Council.*

AGENDA ITEM 19.

STRENGTHENING RELATIONS WITH THE CONVENTIONS IN THE GEF NETWORK

(CEO Chairs)

49. Document GEF/C.40/14, *Strengthening Relations with the Conventions in the GEF Network*, outlines the activities and processes in which the GEF already engages that characterize its relations with the conventions that it serves and suggests further steps that might be taken to strengthen those relations in the future.
50. *The Council is invited to request the Secretariat, STAP, Agencies and other relevant actors to take appropriate steps, including from among those listed in the document, to strengthen these relations.*

AGENDA ITEM 20.

OTHER BUSINESS

(Jointly Chaired)

51. Members and the Chairs may raise any other business under this agenda item.
52. The Chair will inform the Council of possible dates for the spring 2012 Council meeting.

AGENDA ITEM 21.

JOINT SUMMARY OF THE CHAIRS

(Jointly Chaired)

53. The Elected Chair and the CEO/Chairperson will present a joint summary of the conclusions of the meeting. Decisions approved by the Council during the meeting will be included in the Chairs' Joint Summary.

PROVISIONAL TIMETABLE

Tuesday, May 24, 2011

Morning Session

09:00 – 10:00	Agenda Item 1	Opening of the Meeting (CEO Chairs)
	Agenda Item 2	Election of a Chairperson (CEO Chairs)
	Agenda Item 3	Adoption of the Agenda (Elected Chairperson Chairs)
10:00 – 11:00	Agenda Item 4	Statements by the Executive Secretaries of the Conventions (Elected Chairperson Chairs)
	Agenda Item 5	Relations with the Conventions (Elected Chairperson Chairs)
11:00 – 12:15	Agenda Item 6	Report by the Chair of the Scientific and Technical Advisory Panel (Elected Chairperson Chairs)
12:15 – 1:00	Agenda Item 7	Annual Country Portfolio Evaluation Report-2011 and Management Response (Elected Chairperson Chairs)

Afternoon Session

3:00 – 3:45	Agenda Item 8	Annual Performance Report-2010 and Management Response (Elected Chairperson Chairs)
3:45 – 4:15	Agenda Item 9	Annual Monitoring Report (Elected Chairperson Chairs)
4:15 – 5:00	Agenda Item 10	Four-year Work Program and Budget of the Evaluation Office (Elected Chairperson Chairs)
5:00 – 7:00	Agenda Item 11	FY12-14 Business Plan and FY12 Corporate Budget (Elected Chairperson Chairs)

Wednesday, May 25, 2011

Morning Session

8:00 – 9:00	Agenda Item 12	Report of the Selection and Review Committee (Elected Chairperson Chairs – Executive Session)
9:00 – 10:00	Agenda Item 13	Work Program (CEO Chairs)
10:00 – 11:00	Agenda Item 14	Enhancing the Visibility of the GEF (CEO Chairs)

11:00 – 1:00 Agenda Item 15 Broadening the GEF Partnership under Paragraph 28 of the GEF Instrument (CEO Chairs)

Afternoon Session

3:00 – 4:00 Agenda Item 16 Outstanding Issues Related to the Nagoya Protocol Implementation Fund (CEO Chairs)

4:00 – 5:30 Agenda Item 17 Criteria for the Establishment of Trust Funds within the GEF (CEO Chairs)

5:30 – 6:30 Agenda Item 18 Strategy to Engage with the Private Sector (CEO Chairs)

Thursday, May 26, 2011

Morning Session

9:00 – 10:00 Agenda Item 19 Strengthening Relations with the Conventions in the GEF Network (CEO Chairs)

10:00 – 11:00 Agenda Item 20 Other Business (Jointly Chaired)

***11:00 – 1:00 LDCF/SCCF Council Meeting
(sandwiches will be served)***

Afternoon Session

2:00 – 3:00 Agenda Item 21 Joint Summary of the Chairs (Jointly Chaired)