



GEF/C.48/02/Rev.04
June 01, 2015

48th GEF Council Meeting
June 02 – 04, 2015
Washington, D.C.

Agenda Item 03

ANNOTATED PROVISIONAL AGENDA

Agenda Item 01.

Opening of the Meeting

(CEO Chairs)

1. The meeting will be opened by Naoko Ishii, CEO/Chairperson of the Facility.

Agenda Item 02.

Election of a Chairperson

(CEO Chairs)

2. In accordance with paragraph 18 of the Instrument, a Chairperson will be elected from among the Members of the Council. Paragraph 18 provides that the position of elected Chairperson will alternate from one meeting to another between Council Members from developed and developing countries. The elected Chairperson at the previous Council meeting was Mr. Winston Thompson, the Council Member representing the Pacific Islands Constituency – Cook Islands, Fiji, Indonesia, Kiribati, Marshall Islands, Micronesia, Nauru, Niue, Palau, Papua New Guinea, Philippines, Samoa, Solomon Islands, Timor-Leste, Tonga, Tuvalu, and Vanuatu.
3. Consistent with paragraph 18 of the Instrument, the Elected Chairperson is expected to Chair the Council meeting during discussions on agenda item 3, “Adoption of the Agenda”, agenda item 5, “Annual Monitoring Review (AMR) FY14: Part II”, agenda item 8, “Four-Year Work Program and Budget of the GEF Independent Evaluation Office – GEF-6”, agenda item 11, “Report of the Chairperson of the Scientific and Technical Advisory Panel”, agenda item 13, “Semi-Annual Evaluation Report June 2015 and Management Responses”, agenda item 14, “Relations with the Conventions and Other International Institutions”, and agenda item 16, “Report of the Selection and Review Committee”.
4. The elected Chairperson and the CEO will jointly chair the Council meeting during discussions on agenda item 17, “Other Business”, and agenda item 18, “Joint Summary of the Chairs”.
5. The CEO/Chairperson of the Facility will chair the Council meeting during discussions of the other items on the agenda.

Agenda Item 03.

Adoption of the Agenda

(Elected Chairperson Chairs)

6. A *Provisional Agenda* for consideration by the Council has been issued as document GEF/C.48/01/Rev.02.
7. *The Council is invited to adopt the agenda for the meeting.*

Agenda Item 04.

GEF 2020 Implementation

(CEO Chairs)

8. Following the Council request at its 46th Meeting to the Secretariat to arrange for discussions on the GEF's long-term strategy at Council Meetings on an annual basis, the CEO will address the Council on the ongoing implementation of the GEF 2020 Strategy, opening the discussions between the Secretariat and the Council. In the second part of this session, the Secretariat will be pleased to have Jeff Seabright, Chief Sustainability Officer of Unilever, speaking to the Council on the role of the private sector in reversing environmental degradation, as an opening of discussions with the Council.

Agenda Item 05.

Annual Monitoring Review (AMR) FY14: Part II

(Elected Chairperson Chairs)

9. Document GEF/C.48/03, *Annual Monitoring Review (AMR) FY14: Part II*, is an assessment of the GEF's active portfolio and is presented to the GEF Council in two parts. Part one contains a macro view of the portfolio under implementation and is presented to the Council at its fall meeting soon after the conclusion of the fiscal year. Part two is presented to the Council at its spring meeting and aims to present a deeper assessment of outcomes, experiences, and lessons learned from the GEF's active portfolio of projects, with an emphasis on those at mid-term and completion. This report is based on the results and lessons from project documentation received by the Secretariat in FY2014 (FY14), including focal area tracking tools, project implementation reports (PIRs), mid-term reviews (MTRs), and implementation completion reports (ICRs) or terminal evaluations (TEs) of projects under implementation in each GEF focal area. The FY14 assessment covered a total of 120 GEF projects from GEF-2 (1), GEF-3 (27), GEF-4 (85), and GEF-5 (7). The cohort includes 73 projects at mid-term and 47 projects at completion across all focal areas. The list of projects reviewed is presented in Annex 1. This review shares a number of examples of GEF-supported projects playing a catalytic role in influencing policies, scaling up best practice, leveraging financing, and mainstreaming best practices. The findings and synthesis are presented in four chapters.
10. Draft decision: *The Council, having considered document GEF/C.48/03, "Annual Monitoring Review (AMR) 2014: Part II", welcomes the report. The Council requests the GEF Secretariat to continue its efforts to enhance its reporting of results.*

Agenda Item 06.

Expediting the Preparation of the Stock of Delayed Projects *(CEO Chairs)*

11. Document GEF/C.48/04, *Expediting the Preparation of the Stock of Delayed Projects*, (i) provides an analysis of the overdue project stock as of April 30, 2015, (ii) recommends next steps to address the issue of the overdue project stock; and (iii) proposes that the Project Cancellation Policy be amended to include provisions to cover overdue medium-sized projects.
12. Draft decision: *The Council, having reviewed document GEF/C.48/04, "Expediting the Preparation of the Stock of Delayed Projects", approves the following:*
 - (a) *A one-time cancellation by June 30, 2016 of overdue: (i) FSPs whose PIFs were approved prior to the October 2014 Council Meeting; and (ii) MSPs whose PIFs were approved prior to the June 2015 Council Meeting. Submissions to the Secretariat should include complete documentation for review for endorsement or approval. Any resources from cancelled projects would be returned to the overall GEF Trust Fund for re-programming as per the provisions of the GEF-6 replenishment; and*
 - (b) *An amendment to the Project Cancellation Policy previously approved in the October 2014 Council Meeting to include provisions for cancellation of overdue MSPs that are approved after June 2015 Council, as set out in Annex II.*

Agenda Item 07.

GEF Business Plan and FY16 Corporate Budget *(CEO Chairs)*

13. Document GEF/C.48/05, *GEF Business Plan and FY16 Corporate Budget*, presents the update of the GEF Business Plan for GEF-6 and FY16 budget request to cover expenses of three of the central GEF entities for their activities under the GEF Trust Fund: the Secretariat; the Scientific and Technical Advisory Panel (STAP); and the Trustee.¹ It also includes budget requests for the Secretariat and Trustee for their activities under the Nagoya Protocol Implementation Fund (NPIF).
14. Draft decision: *The Council, having reviewed document GEF/C.48/05, "GEF Business Plan and FY16 Corporate Budget", took note of the business plan, and approved a FY16 corporate budget from the GEF Trust Fund of \$25.408 million, comprised of:*

¹ Beginning this fiscal year, the budget request of the Independent Evaluation Office (IEO) is being presented separately from those of the other three GEF entities. Please refer to GEF/ME/C.48/01, *Work Program and Budget of the GEF Independent Evaluation Office*, for details.

- (a) \$19.831 million for the GEF Secretariat,
 - (b) \$2.540 million for STAP, and
 - (c) \$3.037 million for the Trustee,
15. *In addition, under agenda item 08 of its 48th meeting, the Council, having reviewed document GEF/ME/C.48/01, “Four Year Work Program and Budget of the GEF Independent Evaluation Office”, approved a FY16 budget of \$4.709 million for the IEO.*
16. *The Council also approved a total FY16 administrative budget for the Nagoya Protocol Implementation Fund (NPIF) of \$39,000, comprised of the following allocations from the NPIF to cover the Secretariat’s and Trustee’s expenses for NPIF administration and implementation:*
- (a) \$20,000 for the GEF Secretariat; and
 - (b) \$19,000 for the Trustee
17. *The Council requests the Secretariat, in consultation with STAP and the Trustee, to present a combined FY17 corporate budget and business plan for discussion at its 2016 spring meeting.*

Agenda Item 08.

Four-Year Work Program and Budget of the GEF Independent Evaluation Office – GEF-6
(Elected Chairperson Chairs)

18. Document GEF/ME/C.48/01, *Four-Year Work Program and Budget of the GEF Independent Evaluation Office*, presents the strategy and programming of all evaluations and other work of IEO proposed for the GEF-6 period. The work program has been developed to provide evaluative evidence pertaining to the major strategies approved in the Sixth Replenishment of the GEF Trust Fund and reflected in the GEF-6 Programming Directions. The work program contains both accountability-focused and developmental evaluations that will generate lessons for GEF programming. The evaluations will focus on the results, impact and performance of the GEF and will also evaluate key questions related to GEF strategies, including delivering multiple benefits and addressing drivers of global environmental change. The evaluations have been developed strategically to build up to the Sixth Comprehensive Evaluation of the GEF. Furthermore, the work program proposes to update and further strengthen guidance and methodologies in key areas, including impact evaluation, and gender and women’s empowerment. The work program also emphasizes the need to strengthen knowledge

management, learning and evaluation utilization throughout the programming cycle.

19. Draft decision: *The Council, having reviewed document GEF/ME/C.48/01, "Four-Year Work Program and Budget of the GEF Independent Evaluation Office," approves the annual budget for the Independent Evaluation Office for fiscal year 2016 for a total of \$4.7 million.*
20. *The multiannual budget for the evaluation program of the GEF Independent Evaluation Office is approved for the amount of \$19 million.*

Agenda Item 09.

**GEF Agency Compliance with Policies on Environmental and Social Safeguards, Gender, and Fiduciary Standards
(CEO Chairs)**

21. Document GEF/C.48/06, *GEF Agency Compliance with Policies on Environmental and Social Safeguards, Gender Mainstreaming, and Fiduciary Standards*, compiles reports submitted by the GEF Agencies on the implementation of time-bound action plans, as required by the Council in November 2013, in order for them to come into compliance with two GEF Policies: *Agency Minimum Standards on Environmental and Social Safeguards* and the *Policy on Gender Mainstreaming*. It also summarizes the results of previous actions by relevant GEF Agencies to come into compliance with the GEF Policy *Minimum Fiduciary Standards for GEF Partner Agencies*. Consistent with the Council's decision in 2011 to reference the Safeguards Policy "in relevant sections of the GEF Fiduciary Standards," this paper addresses the fiduciary and safeguard standards together as a whole.
22. Draft decision: *The Council has reviewed Document GEF/C.48/06, "GEF Agency Compliance with Policies on Environmental and Social Safeguards, Gender, and Fiduciary Standards", which indicates that all ten GEF Agencies* have satisfactorily met the requirements of the following Policies: (1) "Agency Minimum Standards on Environmental and Social Safeguards", and (2) the "Policy on Gender Mainstreaming, and (3) Minimum Fiduciary Standards for GEF Partner Agencies", and decides that that no further review is needed at this time.*

** The ten GEF Agencies are: the African Development Bank (AfDB), the Asian Development Bank (ADB), the European Bank for Reconstruction and Development (EBRD), the Food and Agriculture Organization of the United Nations (FAO), the Inter-American Development Bank (IADB), the International Bank for Reconstruction and Development (World Bank), the International Fund for Agricultural Development (IFAD), the United Nations Development Programme (UNDP), the United Nations Environment Programme (UNEP), and the United Nations Industrial Development Organization (UNIDO).*

Agenda Item 10.

Knowledge Management Approach Paper
(CEO Chairs)

23. Document GEF/C.48/07/Rev.01, *GEF Knowledge Management Approach Paper*, sets the foundation for a significant improvement in KM over the GEF-6 period and beyond. Its purpose is to consult with Council on (i) GEF’s potential added value on knowledge management, and (ii) a proposed KM guiding framework for GEF-6 and beyond, including a roadmap for 2015. On the basis of this consultation, the GEF Secretariat will work with the Agencies, STAP, IEO and other key stakeholders – such as the Conventions – on implementation planning and execution.
24. Draft decision: *The Council welcomed the document GEF/C.48/07, “GEF Knowledge Management Approach Paper”, and requested an update on progress at the fall 2015 Council Meeting.*

Agenda Item 11.

Report of the Chairperson of the Scientific and Technical Advisory Panel
(Elected Chairperson Chairs)

25. The Chairperson of the Scientific and Technical Advisory Panel (STAP) will be invited to make a statement to the Council on the work of the Panel. A written report of the Chairperson can be found in document GEF/STAP/C.48/Inf.01, *Report of the Chairperson of the Scientific and Technical Advisory Panel*. An opportunity will be provided for Council Members to comment on the report, address questions to the Chairperson, and provide guidance to the Panel, as appropriate.

Agenda Item 12.

Work Program
(CEO Chairs)

26. Document GEF/C.48/08/Rev.02, *Work Program*, represents the largest proposed work program in the history of GEF financing, and is an important milestone for delivering the global environmental benefits through the piloting of innovative ways of supporting recipient countries and partners. The work program requests a total \$649.91 million of GEF trust fund resources and \$59.10 million in associated Agency fees, plus an indicative amount of \$4.81 billion in co-financing, meaning that each dollar provided by the GEF is being matched by \$7.35 in co-financing. The recommended work program consists of 35 project concepts and five programmatic approaches, proposed in accordance with the GEF 2020 strategy and the GEF-6 Programming Directions framework. The programmatic approach provides a strong focus on addressing major drivers of environmental

degradation, including through the work proposed in the Integrated Approach Pilots approved as part of the GEF-6 replenishment process. The work program also includes three project proposals to be funded by the Non-Grant Instrument Pilot (NGI), which expand the opportunities for strategic engagement by the GEF with private sector initiatives that seek to deliver global environmental benefits. The remainder of the work program includes projects that embody focal area priorities for GEF-6.

27. Draft decision: *The Council, having reviewed document GEF/C.48/08/Rev.02, "Work Program for GEF Trust Fund", approved the work program comprising 35 project concepts and five programmatic frameworks, subject to comments made during the Council meeting and additional comments that may be submitted in writing to the Secretariat by June 18, 2015.*
28. *Total resources approved in this work program amounted to \$709.01 million which include GEF project financing and Agency fees.*

Agenda Item 13.

Semi-Annual Evaluation Report June 2015 and Management Response

(Elected Chairperson Chairs)

29. Document GEF/ME/C.48/02, *The Semi-Annual Evaluation Report of the GEF Independent Evaluation Office: June 2015*, is the first Semi-Annual Evaluation Report (SAER) prepared for and presented to the Council by the GEF Independent Evaluation Office (IEO); it is intended to become the main reporting format from the IEO in every Council meeting. The purpose of introducing this new report is to streamline reporting from the IEO, to collect proposed Council decisions in one document, and to facilitate follow-up and monitoring of reporting and issues from the IEO over the long term from one Council meeting to the next. The SAER replaces three of the four annual reports presented by the IEO to the Council in the past: the Annual Impact Report, the Annual Country Portfolio Evaluation Report, and Annual Thematic Evaluation Report. As a perennial cornerstone report, the Annual Performance Report (APR) remains in place and is presented to the Council separately as an information document, and is summarized herein. The SAER also replaces the Annual Progress Report from the IEO Director.
30. Document GEF/ME/C.48/03, *Management Response to the Semi-Annual Evaluation Report of the GEF Independent Evaluation Office: June 2015*, was prepared by the GEF Secretariat, in consultation with entities of the GEF partnership.

31. Draft decision: *The Council, having reviewed GEF/ME/C.48/02 “Semi-Annual Evaluation Report of the GEF Independent Evaluation Office: June 2015,” section on the Joint GEF-UNDP Small Grants Programme Evaluation, and GEF/ME/C.48/03, “Management Response to the Semi-Annual Evaluation Report of the GEF Independent Evaluation Office: June 2015,” section on the Joint GEF-UNDP Small Grants Programme Evaluation, requests the Secretariat to:*
- 1) *Revitalize the global SGP Steering Committee to support high-level strategic thinking in developing a long-term vision for the SGP, to foster dialogue between UNDP and the GEF on the SGP, and to advise the Council as appropriate on strategic decision making concerning the SGP.*
32. *The Council requests the Secretariat and UNDP to:*
- 2) *Continue upgrading the SGP Country Program, building on strengths while addressing the weaknesses identified by the evaluation. The criteria for selection of countries for upgrading should be revisited.*
33. *The Council takes note of Recommendations 3 and 4 addressed to UNDP and the CPMT.*

Regarding the Evaluation of the Accreditation Process for Expansion of the GEF Partnership

34. *The Council acknowledges the emerging lessons from the accreditation pilot and requests that the Secretariat takes these into account in preparing proposals regarding possible directions on accreditation in the context of the evolving GEF business model, as a basis for discussion at the 49th meeting of the Council in October 2015.*

Agenda Item 14.

Relations with the Conventions and Other International Institutions

(Elected Chairperson Chairs)

35. Document GEF/C.48/09, *Relations with the Conventions and Other International Institutions*, provides the Council with an update on the activities of the GEF in relation to the following multilateral environmental conventions: the Convention on Biological Diversity (CBD), the United Nations Convention to Combat Desertification (UNCCD), the United Nations Framework Convention on Climate Change (UNFCCC), the Stockholm Convention on Persistent Organic Pollutants (the Stockholm Convention), the Montreal Protocol on Substances that Deplete the Ozone Layer (the Montreal Protocol) and the Minamata Convention on Mercury. The document also provides

information on relations between the GEF Secretariat and other international conventions, institutions, and fora.

36. Draft decision: *The Council, having considered document GEF/C.48/09, "Relations with the Conventions and Other International Institutions", welcomed the report and requested the GEF network to continue to work with recipient countries to reflect the guidance and national priorities in their GEF programming and activities.*

Agenda Item 15.

Progress Report on the Pilot Accreditation of GEF Project Agencies
(CEO Chairs)

37. Document GEF/C.48/10/Rev.01, *Progress Report on the Pilot Accreditation of GEF Project Agencies*, provides the status of the pilot accreditation of GEF Project Agencies.
38. Draft decision: *The Council, having reviewed GEF/C.48/10/Rev.01, Progress Report on the Pilot Accreditation of GEF Project Agencies, noted the status of the Stage II reviews conducted by the Accreditation Panel to date. In particular, the Council noted that FUNBIO, FECO, BOAD and CAF have received approval from the Panel to progress from Stage II to Stage III.*
39. *The Council approved initial grant ceilings for FUNBIO, FECO, BOAD and CAF, determined in accordance with the provisions set forth in Council document GEF/C.40/09, Broadening the GEF Partnership under Paragraph 28 of the Instrument, as follows:*
- (a) *Individual project ceiling for a GEF grant: \$54 million for FUNBIO, \$440 million for FECO, \$60 million for BOAD, \$400 million for CAF; and*
 - (b) *Total project ceiling: \$76 million for FUNBIO, \$252 million for FECO, \$900 million for BOAD and \$4,831 million for CAF.*
40. *The Council authorized the Secretariat to recalculate these ceilings at the time of project approvals for these agencies and inform the Council of any changes.*
41. *The Council requested the Secretariat to continue to present a progress report on the accreditation pilot at the next Council meeting.*

Agenda Item 16.

Report of the Selection and Review Committee

(Elected Chairperson Chairs – Executive Session)

42. The Chair of the Selection and Review Committee will present the report of the SRC to the Council for consideration and appropriate decision.

Agenda Item 17.

Other Business

(Jointly Chaired)

43. Members and the Chairs may raise any other business under this agenda item.
44. The Chair will inform the Council of possible dates for holding the fall 2016 Council meeting.

Agenda Item 18.

Joint Summary of the Chairs

(Jointly Chaired)

45. The Elected Chair and the CEO/Chairperson will present a joint summary of the conclusions of the meeting. Decisions approved by the Council during the meeting will be included in the Chairs' Joint Summary.

Provisional Timetable

Tuesday, June 2, 2015

Morning Session

09:00 – 09:30	Agenda Item 01.	Opening of the Meeting (CEO Chairs)
	Agenda Item 02.	Election of a Chairperson (CEO Chairs)
	Agenda Item 03.	Adoption of the Agenda (Elected Chairperson Chairs)
09:30 – 10:30	Agenda Item 04.	GEF 2020 Implementation (CEO Chairs)
10:30 – 11:30		The Role of the Private Sector in Reversing Environmental Degradation – Guest Speaker: Mr. Jeff Seabright, Chief Sustainability Officer of Unilever (CEO Chairs)
11:30 – 12:30	Agenda Item 05.	Annual Monitoring Review (AMR) FY14: Part II (Elected Chairperson Chairs)

Lunch Sessions

12:30 – 14:00		General Lunch
12:30 – 14:00		CEO Lunch with Council Members and Alternates – Topic: Climate Financing – Guest Speaker: Mr. Gabriel Quijandria Acosta, Vice-Minister of Strategic Development and Natural Resources of the Republic of Peru

Afternoon Session

14:00 – 14:45	Agenda Item 07.	GEF Business Plan and FY16 Corporate Budget (CEO Chairs)
14:45 – 15:45	Agenda Item 08.	Four-Year Work Program and Budget of the GEF Independent Evaluation Office – GEF-6 (Elected Chairperson Chairs)
15:45 – 16:45	Agenda Item 09.	GEF Agency Compliance with Policies on Environmental and Social Safeguards, Gender, and Fiduciary Standards (CEO Chairs)
16:45 – 17:45	Agenda Item 06.	Expediting the Preparation of the Stock of Delayed Projects (CEO Chairs)

Wednesday, June 3, 2015

Morning Session

- | | | |
|---------------|------------------------|---|
| 09:00 – 09:45 | Agenda Item 11. | Report of the Chairperson of the Scientific and Technical Advisory Panel
(Elected Chairperson Chairs) |
| 09:45 – 10:45 | Agenda Item 10. | Knowledge Management Approach Paper
(CEO Chairs) |
| 10:45 – 13:00 | Agenda Item 12. | Work Program
(CEO Chairs) |

Lunch Sessions

- | | | |
|---------------|--|---|
| 13:00 – 14:30 | | General Lunch |
| 13:00 – 14:30 | | Working Lunch with Accreditation Panel |

Afternoon Session

- | | | |
|---------------|------------------------|--|
| 14:30 – 16:30 | Agenda Item 13. | Semi-Annual Evaluation Report June 2015 and Management Responses
(Elected Chairperson Chairs) |
| 16:30 – 17:00 | Agenda Item 14. | Relations with the Conventions and Other International Institutions
(Elected Chairperson Chairs) |
| 17:00 – 17:30 | Agenda Item 15. | Progress Report on the Pilot Accreditation of GEF Project Agencies
(CEO Chairs) |

Welcome for New Agencies

- | | | |
|---------------|--|--|
| 17:30 – 18:30 | | Refreshments and Discussion with New GEF Agencies |
|---------------|--|--|

Thursday, June 4, 2015

Morning Session

- | | | |
|---------------|------------------------|---|
| 09:00 – 09:30 | Agenda Item 16. | Report of the Selection and Review Committee
(Elected Chairperson Chairs – Executive Session) |
| 09:30 – 10:00 | Agenda Item 17. | Other Business
(Jointly Chaired) |
| 10:00 – 11:00 | | <i>LDCF/SCCF Council Meeting</i> |
| 11:00 – 12:00 | Agenda Item 18. | Joint Summary of the Chairs
(Jointly Chaired) |