



GEF/A.5/02

May 5, 2014

Fifth GEF Assembly
May 28 – 29, 2014
Cancun, Mexico

Agenda Item 4

PROVISIONAL ANNOTATED AGENDA



AGENDA ITEM 1. OPENING CEREMONY

1. The opening session of the Fifth GEF Assembly has been organized in collaboration with the host government. It includes a statement by the President of Mexico and a statement by the CEO & Chairperson of the GEF.

AGENDA ITEM 2. ELECTION OF THE CHAIR

2. In accordance with paragraph 12 of the *Rules of Procedure for the GEF Assembly*, the Assembly is to elect a Chair from among the Representatives attending the meeting. The Chair and Vice-Chairs of the Assembly are to constitute the Bureau of the meeting. The CEO is to serve as an ex-officio member of the Bureau, in accordance with paragraph 15 of the *Rules of Procedure for the GEF Assembly*.

3. The Assembly is invited to elect its Chair.

AGENDA ITEM 3. ELECTION OF THE VICE-CHAIRS

4. In accordance with paragraph 12 of the *Rules of Procedure for the GEF Assembly*, the Assembly is to elect two Vice-Chairs from among the Representatives attending the meeting. One Vice-Chair is to be a Representative of a recipient country Participant, and one Vice-Chair is to be a Representative of a non-recipient country Participant.

5. The Assembly is invited to elect its two Vice-Chairs.

AGENDA ITEM 4. ADOPTION OF THE AGENDA AND ORGANIZATION OF WORK

6. A provisional agenda for consideration by the Assembly has been circulated to all Participants as document GEF/A.5/01.

7. In addition to plenary meetings, which will be held on both days of the Assembly, seven key issues are to be discussed at high-level round tables for ministers and other heads of delegation. An oral summary of each round table's conclusions is to be presented to the afternoon plenary on May 29.

8. To highlight the GEF network, the Assembly timetable provides the opportunity for Participants to attend side events organized by the GEF partners. These are to take place from Sunday, May 25 to Tuesday, May 27.

9. A provisional timetable for the Assembly is annexed to this document.

10. The Assembly is invited to adopt the agenda for its fifth meeting.



AGENDA ITEM 5. AMENDMENTS TO THE INSTRUMENT

11. Pursuant to paragraph 34 of the *Instrument for the Establishment of the Restructured Global Environment Facility*, amendments to the Instrument are to be approved by consensus by the Assembly upon the recommendation of the Council, after taking into account the views of the Implementing Agencies and the Trustee, and shall become effective after adoption by the Implementing Agencies and the Trustee in accordance with their respective rules and procedural requirements.

12. The proposed amendments are set forth in document GEF/A.5/09, *Amendments to the Instrument*.

13. The Assembly is invited to review the proposed amendments with a view to approving it by consensus and to forwarding it to the Implementing Agencies and the Trustee for adoption.

14. Draft decision: “*The Fifth GEF Assembly*,

Recalling paragraph 34 of the *Instrument for the Establishment of the Restructured Global Environment Facility*,

Having considered the recommendations of the GEF Council for proposed amendments to the Instrument,

1. Approves by consensus the following amendments to the Instrument:

To delete current paragraph 6 and replace it with a new paragraph 6 that would read:

6. In partial fulfillment of its purposes, the GEF shall:

(a) Operate the financial mechanism for the implementation of the United Nations Framework Convention on Climate Change (UNFCCC), on an interim basis, in accordance with such cooperative arrangements or agreements as may be made pursuant to paragraphs 27 and 31 of this Instrument. The GEF shall also be available to meet the agreed full costs of activities described in Article 12, paragraph 1, of the UNFCCC. The GEF shall be available to continue to serve for the purposes of the financial mechanism for the implementation of the UNFCCC if it is requested to do so by the Conference of the Parties pursuant to its Article 11, paragraph 4. In such respects, the GEF shall function under the guidance of, and be accountable to, the Conference of the Parties which shall decide on policies, program priorities and eligibility criteria related to the UNFCCC pursuant to its Article 11, paragraph 1;

(b) Be, on an interim basis, the institutional structure which carries out the operation of the financial mechanism for the implementation of the Convention on Biological



Diversity (CBD), in accordance with such cooperative arrangements or agreements as may be made pursuant to paragraphs 27 and 31 of this Instrument. The GEF shall be available to continue to serve for the purposes of the financial mechanism for the implementation of the CBD if it is requested to do so by the Conference of the Parties pursuant to its Article 21, paragraph 3. In such respects the GEF shall function under the guidance of, and be accountable to, the Conference of the Parties which shall decide on policies, program priorities and eligibility criteria for the purposes of the CBD pursuant to its Article 21, paragraph 1;

(c) Be available to serve as an entity entrusted with the operation of the financial mechanism of the Stockholm Convention on Persistent Organic Pollutants. In such respects the GEF shall function under the guidance of, and be accountable to, the Conference of the Parties which shall decide on policies, strategy, program priorities and eligibility criteria for the purposes of this Convention pursuant to its Article 13, paragraph 7(a);

(d) Be available to serve as a financial mechanism of the United Nations Convention to Combat Desertification in Countries Experiencing Serious Drought and/or Desertification, particularly in Africa (UNCCD), pursuant to Article 20, paragraph 2 (b), and Article 21 of the UNCCD. The Council shall consider and approve arrangements to facilitate collaboration between the GEF and the UNCCD and among countries with respect to affected countries, particularly Africa; and

(e) Operate as one of the entities comprising the financial mechanism of the Minamata Convention on Mercury, pursuant to its Article 13, paragraphs 5, 6 and 8. In such respects, the GEF shall operate under the guidance of, and be accountable to the Conference of the Parties, which shall provide guidance on overall strategies, policies, program priorities and eligibility for access to and utilization of financial resources. In addition, the GEF shall receive guidance from the Conference of the Parties on an indicative list of categories of activities that could receive support; and shall provide resources to meet the agreed incremental costs of global environmental benefits and the agreed full costs of some enabling activities, pursuant to Article 13, paragraph 7, of the Minamata Convention on Mercury.

To delete sub-paragraphs (e) and (f) of paragraph 2 of the Instrument and replace them with a new sub-paragraph (e) that would read:

(e) chemicals and waste.

To delete sub-paragraph (b) of Paragraph 9 and replace it with a new sub-paragraph (b) that would read:



(b) All other GEF grants shall be made available to eligible recipient countries and, where appropriate, for other activities promoting the purposes of the Facility in accordance with this paragraph and any additional eligibility criteria determined by the Council. A country shall be an eligible recipient of GEF grants if it is eligible to receive World Bank (IBRD and/or IDA) financing or if it is an eligible recipient of UNDP technical assistance through its target for resource assignments from the core (specifically TRAC-1 and/or TRAC-2). GEF grants for activities within a focal area addressed by a convention referred to in paragraph 6 but outside the framework of the financial mechanism of the convention, shall only be made available to eligible recipient countries that are party to the convention concerned.

To delete paragraph 11 and replace it with a new paragraph 11 that would read:

11. The GEF shall have an Assembly, a Council, and a Secretariat including an independent evaluation office. In accordance with paragraph 24, a Scientific and Technical Advisory Panel (STAP) shall provide appropriate advice.

To expand paragraph 21 to include the IEO in a new sub-paragraph (i) that would read:

21. (i) there shall be an independent evaluation office headed by a director, appointed by and reporting to the Council, whose responsibility it is to carry out independent evaluations consistent with decisions of the Council; and

The current sub-paragraph (i) would become a new-subparagraph (j) that would read:

(j) perform any other functions assigned to the Secretariat by the Council.

2. Invites the CEO/Chairperson of the Facility to submit this amendment to the Implementing Agencies and the Trustee and to request that they adopt the amendments in accordance with their respective rules and procedural requirements.
3. Invites the CEO/Chairperson of the Facility to inform all Participants of the effectiveness of the amendments once the Implementing Agencies and the Trustee have approved it.”

AGENDA ITEM 6. REPORT ON THE GEF TRUST FUND

15. The Trustee of the GEF Trust Fund has prepared a report for the Assembly on the resources made available to the GEF Trust Fund during GEF-5 (between July 1, 2010, and up to March 31, 2014.)

16. The Assembly is invited to take note of document GEF/A.5/06, *GEF-5 Funding Retrospective*.



AGENDA ITEM 7. PRESENTATION ON GEF 2020

17. A report on the *Long-Term Strategy for the GEF – GEF 2020* is before the Assembly as document GEF/A.5/10.

18. A presentation will be made to the plenary.

AGENDA ITEM 8. REPORT ON THE SIXTH REPLENISHMENT OF THE GEF TRUST FUND

19. A report on the sixth replenishment of the GEF Trust Fund, *Summary of the Negotiations of the Sixth Replenishment of the GEF Trust Fund*, is submitted to the Assembly as document GEF/A.5/07.

20. The Assembly is invited to take note of the replenishment agreements.

AGENDA ITEM 9. STATEMENT BY THE SCIENTIFIC AND TECHNICAL ADVISORY PANEL (STAP)

21. A report prepared by STAP, *Report of the Scientific and Technical Advisory Panel*, is before the Assembly as document GEF/A.5/03. The Assembly is invited to consider STAP's vision for GEF-6 and beyond.

22. The Chairperson of STAP is invited to address the plenary.

AGENDA ITEM 10. STATEMENT BY THE GEF EVALUATION OFFICE

23. In accordance with paragraph 14 of the *Instrument for the Establishment of the Restructured GEF*, the Assembly is to review the general policies of the Facility and to review and evaluate the operation of the Facility on the basis of reports submitted by the Council.

24. The *Fifth Overall Performance Study of the GEF* is before the Assembly as document GEF/A.5/05. The GEF Council requested the preparation of the fifth overall performance review of the GEF and approved the terms of reference for the study at its meeting in June 2012.

25. The Director of the GEF Evaluation Office is invited to address the plenary and discuss the significant findings of the fifth overall performance review of the GEF.

AGENDA ITEM 11. REPORT ON GEF MEMBERSHIP

26. Paragraph 14 of the *Instrument for the Establishment of the Restructured Global Environment Facility* calls upon the Assembly to keep under review the membership of the Facility. The GEF presently has 183 member states. Document GEF/A.5/05 presents a list of states participating in the GEF together with the date on which the Secretariat received their



notification of participation in accordance with paragraph 7 of the Instrument.

27. The Assembly is invited to take note of the report.

AGENDA ITEM 12. REPORT ON CREDENTIALS

28. In accordance with paragraph 6 of the *Rules of Procedure for the GEF Assembly*, the credentials of Representatives and the names of Alternates and Advisors shall be submitted to the CEO not less than three days before the first session they are to attend. Delegations are reminded to submit their credentials to the Secretariat in advance of the meeting. The Bureau is to examine the credentials and prepare a report.

29. The Bureau is invited to submit the report to the Assembly.

AGENDA ITEM 13. HIGH-LEVEL ROUND TABLES WITH PARTICIPATION BY MINISTERS AND OTHER HEADS OF DELEGATION

30. A document about the GEF Assembly Round Tables, *Round Table Discussions*, is submitted to the Assembly as document GEF/A.5/Inf.02.

31. Round table discussions will be key features of the GEF Assembly in Cancun, Mexico, May 28-29, 2014. The sessions, scheduled on May 28, 2014, from 2:30 P.M. to 6 P.M., and on May 29, 2014, from 9 A.M. to 12:15 P.M. will debate how GEF and countries could better address challenges to the global environment.

32. Two round table sessions are to take place concurrently, in the afternoon of Wednesday, May 28, and in the morning of Thursday, May 29. Simultaneous interpretation will be available in English, French and Spanish.

33. The round table sessions are to focus on key elements of the GEF 2020 vision and GEF6 strategies and programs, through which the Facility will be realizing its mission of becoming a champion of the global environment. Seven key themes will be addressed in the Assembly through the round tables: Financing Green Growth; Natural Capital; Role of Legislation in Accelerating and Scaling Up Change at the National Scale; Sustainable and Resilient Cities; Water, Food and Energy Nexus; Sustainable Commodities, and; Multilateral Environmental Agreements and the Post-2015 Framework: A Forward-Looking Agenda.

34. Round tables will be composed of speakers from different sectors including government, international organizations, and private sector. Attendance will be open to Assembly invitees, whom will be encouraged to participate with questions and comments.

35. The round tables have been structured to provide for a moderated, interactive and free-flowing dialogue among the participants.

36. For each table, a rapporteur is to summarize key recommendations coming out of the discussions at the end of the session and assist the Secretariat in drafting the Assembly



Chairperson's Summary that is to be presented to the plenary.

AGENDA ITEM 14. STATEMENTS BY THE HEADS OF THE GEF AGENCIES

37. The heads of the GEF Agencies are invited to address the plenary. Each speaker is allotted five minutes for the statement.

AGENDA ITEM 15. STATEMENTS BY THE REPRESENTATIVES OF THE CONVENTIONS

38. The Heads of the Secretariats of the global environment conventions for which the GEF serves as a or the financial mechanism are invited to address the plenary. Each speaker is allotted five minutes for the statement.

AGENDA ITEM 16. STATEMENTS ON BEHALF OF CONSTITUENCIES

39. Representatives of the GEF constituencies are requested to express the constituency's views on the items on the Assembly's agenda.

40. Constituencies are allotted three to five minutes for their statements. Written statements, which may be longer than the oral statements, will be posted on the Assembly website.

41. Ministers other than those speaking on behalf of a constituency are invited to express their government's views on the issues before the Assembly through written statements, which will be posted on the Assembly website.

42. Each of the thirty-two GEF constituencies is invited to address the plenary. The order of the speakers will be provided at the Assembly.

AGENDA ITEM 17. STATEMENT BY A REPRESENTATIVE OF CIVIL SOCIETY ORGANIZATIONS

43. A representative selected by the civil society organizations accredited by the GEF is invited to address the plenary. The speaker is allotted five minutes for the statement.

AGENDA ITEM 18. SUMMARY OF HIGH-LEVEL ROUND TABLE DISCUSSIONS

44. An oral summary of each of the round table's conclusions will be presented to the plenary.

AGENDA ITEM 19. PRESENTATION OF CHAIR'S SUMMARY

45. In accordance with paragraph 24 of the *Rules of Procedure for the GEF Assembly*, the Chair, in consultation with the Bureau, is to prepare a summary of the main discussions and conclusions of the Assembly. Any recommendation/decision approved by the Assembly is to be appended to the Chair's summary.



46. The Chair is invited to present the summary to the plenary for endorsement.

AGENDA ITEM 20. CLOSING OF THE ASSEMBLY

47. The Assembly is to be closed by the Chair.



Provisional Timetable

Wednesday, May 28, 2014

Morning Session

08:30 – 09:00	Agenda Item 1	Opening Ceremony
09:00 – 09:15	Agenda Item 2 Agenda Item 3 Agenda Item 4	Election of the Chair Election of the Vice-Chairs Adoption of the Agenda and Organization of Work
09:15 – 09:45	Agenda Item 5	Amendments to the Instrument
09:45 – 10:15	Agenda Item 6	Report on the GEF Trust Fund
10:15 – 10:30	Agenda Item 7	Presentation on GEF 2020
10:30 – 11:00	Agenda Item 8	Report on the Sixth Replenishment of the GEF Trust Fund
11:00 – 11:30	Agenda Item 9	Statement by the Scientific and Technical Advisory Panel (STAP)
11:30 – 12:00	Agenda Item 10	Statement by the GEF Evaluation Office
12:00 – 12:15	Agenda Item 11	Report on Membership
12:15 – 14:30		Lunch

Afternoon Session

14:30 – 18:00	Agenda Item 13	High-Level Round Tables with Participation by Ministers and Other Heads of Delegation – Round Table Themes: Financing Green Growth; Natural Capital; Role of Legislation in Accelerating and Scaling Up Change at the National Scale, and; Sustainable and Resilient Cities.
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Thursday, May 29, 2014

Morning Session

09:00 – 12:15	Agenda Item 13	High-Level Round Tables with Participation by Ministers and Other Heads of Delegation – Round Table Themes: Water, Food and Energy Nexus; Sustainable Commodities, and; Multilateral Environmental Agreements and the Post-2015 Framework: A Forward-Looking Agenda.
12:15 – 14:30		Lunch

Afternoon Session

14:30 – 15:00	Agenda Item 12	Report on Credentials
15:00 – 15:30	Agenda Item 14	Statements by the Heads of the GEF Agencies
15:30 – 16:00	Agenda Item 15	Statements by the Representatives of the Conventions
16:00 – 17:30	Agenda Item 16	Statements on Behalf of Constituencies
17:30 – 17:40	Agenda Item 17	Statement by a Representative of Civil Society Organizations
17:40 – 18:30	Agenda Item 18	Summary of High-Level Round Table Discussions
18:30 – 19:00	Agenda Item 19	Presentation of Chair’s Summary
19:00 – 19:30	Agenda Item 20	Closing of the Assembly