



GEF/C.40/07/Rev.1
May 26, 2011

GEF Council Meeting
May 24-26, 2011
Washington, D.C.

Agenda Item 13

**WORK PROGRAM
SUBMITTED FOR GEF COUNCIL APPROVAL**

Recommended Council Decision

The Council, having reviewed document GEF/C.40/07/Rev.1, *Work Program Submitted for GEF Council Approval*, approved the work program comprising project concepts and one programmatic approach, subject to comments made during the Council meeting and additional comments that may be submitted in writing to the Secretariat by June 9, 2011.

Total resources requested in this work program amount to \$190.51 million which include GEF project grants and Agency fees. The approved work program is comprised of the following 14 Project Identification Forms (PIFs) and one Program Framework Document (PFD):

[List of PIFs and PFD]

[With respect to the following __ projects, the Council requested the Secretariat to arrange for Council members to receive draft final project documents and to transmit to the CEO within four weeks any concerns they may have prior to the CEO's endorsing a project document for final approval by the GEF Agency.

[List of PIFs requested for second review]]*

With respect to the PIFs approved as part of the work program, the Council finds that each of these PIFs; (i) is, or would be, consistent with the Instrument and GEF policies and procedures, and (ii) may be endorsed by the CEO for final approval by the GEF Agency, provided that the final project documents fully incorporate and address the Council's and the STAP reviewer's comments on the work program, and that the CEO confirms that the project continues to be consistent with the Instrument and GEF policies and procedures.

With respect to any PIF approved in this work program, the final project document will be posted on the GEF website for information after CEO endorsement. If the GEF CEO determines that there have been major changes to the project scope and approach since PIF approval, the final project document shall be posted on the web for Council review for four weeks prior to CEO endorsement.

**This paragraph will apply only if the Council should request projects to be reviewed by them prior to CEO endorsement*

EXECUTIVE SUMMARY

1. The work program presented by the Secretariat for GEF Council review and approval is the third work program in GEF-5. This is also the third work program that utilizes country allocations under the System for Transparent Allocation of Resources (STAR) in the biodiversity, climate change and land degradation focal areas.
2. The proposed work program consists of one programmatic approach and fourteen new FSP proposals, documented in Program Framework Document (PFD) and Project Identification Forms (PIFs) respectively. The proposed work program requests GEF project grants totaling \$174.84 million. Associated with these proposals are requests for fees totaling \$15.68 million for the GEF Agencies to meet the project cycle management costs, resulting in a total request of \$190.51 million from the GEF Trust Fund (GEETF).
3. The programmatic approach submitted in this work program together with one stand-alone PIF involve multi-focal areas as well as multi-trust funds that draw their resources not only from the GEF Trust Fund, but also from the Special Climate Change Fund (SCCF) and the Least Developed Countries Fund (LDCF), making this the first time in GEF's history where projects are proposed for financing requesting resources from multiple trust funds. The resources requested in this work program seeking GEF Council approval include only resources from the GEF trust fund components of these projects. The SCCF and LDCF components are presented separately in a complementary Joint LDCF/SCCF Work Program submitted to the LDCF/SCCF Council for its review and approval in May 2011.
4. The PFD is the first application of the program for a GEF Agency with a Board that approves projects to implement the programmatic approach under the new processing scheme following the reforms introduced in the new GEF project cycle. Under this approach, after Council approval of the work program, the GEF Agency will proceed with the preparation of the projects under the program and submit to the GEF Secretariat the final project documents for CEO endorsement within 18 months of PFD approval by Council. All CEO endorsed projects will be posted on the GEF website for information.
5. This work program maximizes the utilization of GEF resources under the STAR. Of the total amount requested for approval, close to 90% will fund projects from individual country allocations. The other 10% will fund the SFM/REDD component that is an integral part of several multi-focal area projects.
6. This work program benefits 22 recipient countries and is implemented by six of the ten GEF Agencies.
7. The quality of PIF proposals since the November 2010 work program has improved, as evidenced by a drop in PIF rejection rate from 23% to 3%. It is expected that the delivery of high quality projects will continue to increase, influenced further by the results of the National Portfolio Formulation Exercises that are either completed or in progress in several countries.

Table of Contents

MAIN FEATURES OF THE PROPOSED WORK PROGRAM.....	1
EXECUTIVE SUMMARY STATUS IN THE USE OF GEF-5 RESOURCES	2
DISTRIBUTION OF GEF PROJECT GRANTS BY FOCAL AREA AND REGION.....	3
DISTRIBUTION OF PROPOSAL BY GEF AGENCY.....	4
COFINANCING	5
GEF FOCAL AREA STRATEGIES AND THE WORK PROGRAM	5
INNOVATIVE ELEMENTS AND REPLICATION POTENTIAL	7
PROGRAMMATIC APPROACH IN THIS WORK PROGRAM.....	8
MULTI-TRUST FUND PROJECTS.....	10
QUALITY OF PROJECT SUBMISSIONS SINCE THE LAST COUNCIL MEETING	10
SUMMARY OF STAND-ALONE PIPS AND PFD IN THE MAY 2011 WORK PROGRAM	11

List of Annexes:

- Annex A : Project Proposals Submitted for Council Approval, May 2011
- Annex A-1: Programmatic Approach Submitted for Council Approval, May 2011
- Annex A-2: Multi-Trust Fund Programs/Projects, May 2011
- Annex B : Historical Trend Analysis

List of Tables:

- Table 1: GEF Resources Requested in the May 2011 Work Program by Focal Area
- Table 2: Status of Resources Programmed under GEF-5 by Focal Area and Corporate Program
- Table 3: Distribution of GEF Project Grants in the May 2011 Work Program by Region and Focal Area
- Table 4: Distribution of Proposals by Agency in the May 2011 Work Program
- Table 5: Distribution of Co-financing in the May 2011 Work Program by Co-financier

It is requested that Council Members send their technical comments electronically (in Word file) to the GEF Secretariat's program coordination registry at: gcoordination@TheGEF.org

MAIN FEATURES OF THE PROPOSED WORK PROGRAM

1. The work program presented by the Secretariat for GEF Council review and approval is the third work program in GEF-5. This is also the third work program that utilizes country allocations under the System for Transparent Allocation of Resources (STAR) in the biodiversity, climate change and land degradation focal areas.
2. The proposed work program consists of one programmatic approach and fourteen stand-alone FSP proposals, documented in Program Framework Document (PFD) and Project Identification Forms (PIFs), respectively, and requesting GEF project grants totaling \$174.84 million (see Annex A for the financial details of these proposals). Associated with these proposals are requests for fees totaling \$15.68 million for the GEF Agencies to meet the project cycle management costs, resulting in a total request of \$190.51 million from the GEF Trust Fund (GEFTF).
3. The programmatic approach submitted in this work program together with one stand-alone PIF involve multi-focal areas as well as multi-trust funds that draw their resources not only from the GEF Trust Fund but also from the Special Climate Change Fund (SCCF) and the Least Developed Countries Fund (LDCF), making this the first time in GEF's history where projects are proposed for financing requesting resources from multiple trust funds. The resources requested in this work program seeking GEF Council approval include only resources from the GEF trust fund components of these projects. The SCCF and LDCF components are presented separately in a complementary Joint LDCF/SCCF Work Program submitted to the LDCF/SCCF Council for its review and approval in May 2011.
4. The PFD is the first application of the program for a GEF Agency with a Board that approves projects to implement the programmatic approach under the new processing scheme following the reforms introduced in the new GEF project cycle. Under this approach, after Council approval of the work program, the GEF Agency will proceed with the preparation of the projects under the program and submit to the GEF Secretariat final project documents for CEO endorsement within 18 months of PFD approval by Council. All CEO endorsed projects will be posted on the GEF website for information.
5. This work program maximizes the utilization of GEF resources under the STAR. Of the total amount requested for approval, close to 90% will fund projects from individual country allocations. The other 10% funds the SFM/REED component that is part of several multi-focal projects.
6. Table 1 shows the number of projects and the amount of GEF resources requested by focal area. This table shows the number of projects with attribution to a single focal area. Multi-Focal Area (MFA)¹ as listed here, includes also resources from other focal areas.

¹ MFA projects are projects that may involve funding from different focal areas.

TABLE 1. GEF RESOURCES REQUESTED IN THE MAY 2011 WORK PROGRAM BY FOCAL AREA (\$ million)

Focal Area	Number of Projects	GEF Project Grant	Agency Fees	Total GEF Resources Requested in this WP
Biodiversity	4	9.70	0.97	10.67
Climate Change	5	61.62	6.15	67.78
Multi-focal	6	103.52	8.55	112.07
Total	15	174.84	15.68	190.51

EXECUTIVE SUMMARY STATUS IN THE USE OF GEF-5 RESOURCES

7. This is the third work program since GEF-5 replenishment phase started in October 2010. Total resources programmed for GEF-5 to date, including Agency fees, amount to \$ 436.84 million or 10.28% of the target allocation as shown in Table 2. Biodiversity, Climate Change, and Land Degradation focal areas would have effectively utilized 6.52%, 10.33%, and 13.53% of their budgetary allocations under the STAR, respectively.

TABLE 2. STATUS OF RESOURCES PROGRAMMED UNDER GEF-5 BY FOCAL AREA AND CORPORATE PROGRAM
(\$ million)

GEF-5 Focal Area	Target Allocations in GEF-5		Resources Requested through this Work Program Including Fees		Total GEF-5 Resources Programmed*			% of GEF-5 Resources Used
	Amount	%	Grant	Fees	Grant	Fees	Total	
Biodiversity	1,080.00	25.41%	38.14	3.31	64.80	5.62	70.41	6.52%
Climate Change	1,260.00	29.65%	79.69	7.70	118.75	11.46	130.21	10.33%
International Waters	440.00	10.35%	-	-	0.20	0.02	0.22	0.05%
Ozone Depletion	25.00	0.59%	-	-	2.60	0.26	2.86	11.44%
Sound Chemicals Management and Mercury Reduction	25.00	0.59%	-	-	-	-	-	0.00%
Land Degradation	385.00	9.06%	36.49	2.96	48.03	4.05	52.08	13.53%
Persistent Organic Pollutants (POPs)	375.00	8.82%	-	-	16.49	1.65	18.14	4.84%
Multi-focal Areas	-	0.00%	-	-	0.03	-	0.03	0.00%
CSP and Capacity Building	70.00	1.65%	-	-	-	-	-	0.00%
Small Grants Program	140.00	3.29%	-	-	134.62	5.38	140.00	100.00%
SFM/REDD-Plus Program	250.00	5.88%	20.52	1.71	21.12	1.77	22.89	9.16%
Outreach to Private Sector	80.00	1.88%	-	-	-	-	-	0.00%
Corporate Budget	120.00	2.82%	-	-	-	-	-	0.00%
Total: Resources Programmed	4,250.00	100.00%	174.84	15.68	406.63	30.22	436.84	10.28%

* Total GEF-5 resources programmed are inclusive of all the resources approved to date and resources requested in this work program.

1. The Small Grants Program (SGP), which is a corporate program, is programmed from resources made directly available to the SGP under the replenishment, in addition to resources earmarked by countries under the STAR in the biodiversity, climate change and land degradation focal areas.

DISTRIBUTION OF GEF PROJECT GRANTS BY FOCAL AREA AND REGION

8. Table 3 shows the regional distribution of project proposals in this proposed work program. Of the requested total GEF project grants of \$174.84 million, the African region receives the largest share at 51.21 percent, followed by the Asian region, which receives 36.09 percent, 7.08 percent

goes to the Latin America and Caribbean region and the remaining 5.62 percent goes to the Europe and Central Asia region. All in all, 22 countries are the beneficiaries of this work program.

TABLE 3. DISTRIBUTION OF GEF PROJECT GRANTS IN THE MAY 2011 WORK PROGRAM BY FOCAL AREA AND REGION (\$ MILLION)

Focal Area	Africa	Asia	Europe and Central Asia	Latin America and the Caribbean	Total
Biodiversity	5.50	4.20	-	-	9.70
Climate Change	2.73	58.90	-	-	61.62
Multi-focal	81.31	-	9.82	12.38	103.52
Total	89.54	63.10	9.82	12.38	174.84
% resources programmed for region	51.21%	36.09%	5.62%	7.08%	100.00%

DISTRIBUTION OF PROPOSAL BY GEF AGENCY

9. Table 4 shows the distribution of project proposals by GEF Agency. Projects being considered in this work program come from Inter-American Development Bank (IADB), International Fund for Agricultural Development (IFAD), United Nations Development Programme (UNDP), United Nations Environment Programme (UNEP), the World Bank and a joint partnership between the Asian Development Bank (ADB) and UNEP. Agency fee requests amount to \$15.61 million.

TABLE 4. DISTRIBUTION OF PROPOSALS BY AGENCY IN THE MAY 2011 WORK PROGRAM (\$ MILLION)

Agency	Number of Projects	GEF Project Grant	Agency Fees	Total GEF Resources Requested in this WP
ADB/UNEP	1	9.09	0.91	10.00
IADB	1	3.77	0.38	4.15
IFAD	1	2.42	0.24	2.66
UNDP	6	23.41	2.17	25.58
UNEP	1	2.30	0.23	2.53
World Bank	5	133.85	11.75	145.60
Total	15	174.84	15.68	190.51

COFINANCING

10. Co-financing associated with the proposed work program amount to \$2.417 billion which when added to the GEF grant amount, yields a total project cost of \$2.592 billion. The one programmatic approach accounts for 61% of the total co-financing which explains the rather large ratio of one dollar of GEF grant to \$13.79 in co-financing in this work program. Table 5 shows the distribution of co-financing levels in the projects proposed by co-financier and by focal area.

11. GEF Agencies provided a large share of co-financing, at \$1.79 billion, followed by civil society organizations (CSOs) at \$249 million, and Governments at \$204 million. A ratio of co-financing obtained by focal area is as follows: Multi-Focal Areas provide 94 percent, Climate Change provides 93 percent, and Biodiversity provides 75 percent. On average, co-financing will provide 93 percent of total project costs in this work program.

TABLE 5. DISTRIBUTION OF COFINANCING IN THE MAY 2011 WORK PROGRAM BY COFINANCIERS (\$ MILLIONS)

Type	Biodiversity	Climate Change	Multi-focal Area	Total
GEF Project Grant	9.70	61.62	103.52	174.84
PDF/PPG	0.10	-	0.35	0.45
Total GEF Grant	9.80	61.62	103.87	175.29
<i>Co-Financier</i>				
Bilateral	1.00	4.57	1.38	6.95
GEF Agency	10.78	365.63	1,412.45	1,788.85
Government	12.85	112.48	78.38	203.71
Multilateral	1.22	-	14.35	15.56
CSO	3.18	235.00	10.71	248.89
Other	0.35	152.58	0.10	153.03
Total Cofinancing	29.37	870.26	1,517.37	2,417.00
Total Project Cost	39.17	931.88	1,621.24	2,592.29
GEF: Cofinancing Ratio	3.00	14.12	14.61	13.79
Percentage Cofinancing	74.98%	93.39%	93.59%	93.24%

GEF FOCAL AREA STRATEGIES AND THE WORK PROGRAM

12. This work program addresses 14 of the 31 GEF trust fund focal area objectives set forth in the GEF-5 Programming Document. These objectives are the subject of monitoring and reporting under the Results-Based Management (RBM) system as projects progress through the implementation phase. They are cited by focal area below.

13. **Biodiversity**: The four biodiversity project proposals, including those biodiversity components of the six multi-focal area projects, presented in this work program address three of the five objectives of the biodiversity focal area strategy, viz.: (i) improving sustainability of protected areas system; (ii) mainstreaming biodiversity conservation and sustainable use into production landscapes, seascapes, and sectors; and (iii) building capacity on access to genetic resources and benefit sharing.

14. **Climate Change**: The four climate change project proposals, including those climate change components of five multi-focal area projects, presented in this work program address five of the six objectives of the climate change mitigation focal area strategy, viz.: (i) promotion and demonstration, deployment, and transfer of innovative low-carbon technologies; (ii) promotion of market transformation for energy efficiency in industry and the building sector; (iii) promotion of investment in renewable energy technologies; (iv) promotion of energy efficient, low carbon transport and urban systems; and (v) promotion of conservation and enhancement of carbon stocks through sustainable management of land use, land use change, and forestry.

15. **Land Degradation**: Four of the six multi-focal area projects presented have land degradation components that address two of the four objectives of the land degradation focal area strategy, viz.: (i) maintaining or improving flow of agro-ecosystem services sustaining the livelihoods of local communities; and (ii) reducing pressure on natural resources from competing land uses in the wider landscape.

16. **Sustainable Forest Management (SFM)/REDD+**: Four of the six multi-focal area projects being presented here have SFM/REDD+ components that address both objectives, viz.: (i) reducing pressures on forest resources and generating sustainable flows of forest ecosystem services; and (ii) strengthening the enabling environment to reduce GHG emissions from deforestation and forest degradation and enhancing carbon sinks from land use, land use change and forestry (LULUCF) activities.

17. **Capacity Development**. The two upgraded country programs under the Small Grants Program address two cross-cutting capacity development objectives, viz.: (i) generating, accessing and utilizing information and knowledge; and (ii) enhancing the capacities to monitor and evaluate environmental impacts and trends.

18. **Multi-focal Area Projects (MFAs)**: In all the multi-focal area projects, capacity development of communities and civil society organizations will be enhanced with an increased capacity of stakeholders to diagnose, understand and transform complex and dynamic nature of global land degradation, biodiversity conservation and climate change problems and finding local solutions in respective focal areas. As requested by the Council, the capacity of grant recipients will be enhanced to monitor and evaluate projects.

INNOVATIVE ELEMENTS AND REPLICATION POTENTIAL

19. ***Biodiversity***: Particularly noteworthy in this work program is the project from UNEP on Nepal entitled “*Integrating Traditional Crop Genetic Diversity into Technology Using a Biodiversity Portfolio Approach to Buffer against Unpredictable Environmental Change in the Nepal Himalayas*”. The project proposes to mainstream the sustainable use and management of agricultural biodiversity in the mountain agricultural production landscapes of Nepal through promoting community-based breeding activities and technologies that enable farmers to increase productivity in a biodiversity-friendly manner that is also economically viable and competitive. The project will demonstrate that the maintenance of crop genetic diversity in fragile mountain agricultural production systems translates into a kind of agricultural sustainability that is not only defined by *productivity*, but also by the *resilience* of mountain agro-ecosystems and the maintenance of key *ecosystem services* (pollinators). The expected global benefits from this project include the conservation and sustainable management of seven crop species which form the basis for food security for many high elevation agricultural systems throughout the world, and a set of globally applicable technologies to conserve agro-biodiversity through improved use of crop biodiversity within cold mountain environments.

20. ***Climate Change***: The “*Pilot Asia-Pacific Climate Technology Network and Finance Centre*” project, submitted jointly by the Asian Development Bank (ADB) and the United Nations Environmental Programme (UNEP), seeks to support the deployment of technologies for climate change mitigation and adaptation by testing on a pilot basis a Climate Technology Centre and Network (CTCN) approach for Asia and the Pacific. This project is innovative from many perspectives, including the fact that it is a multi-trust fund project, with funding from the GEFTF and SCCF and with two GEF Agencies working together to address an issue from a very recent Conference Of the Parties (COP) decision. The project has approximately 2.7 million tons of CO₂ equivalents of expected GHG reductions directly attributable to project investments.

21. The “*Green Energy Schemes for Low-Carbon City in Shanghai, China*” is the first Chinese effort to pilot green energy schemes for low-carbon development at a district/municipal level with abatement scenarios for establishing low-carbon targets and measurable indicators. This World Bank project will support the retrofitting of 150 to 200 existing buildings and 1 to 3 zero-emission buildings, planning for low-carbon energy supply mix and green transport, and integration of green energy schemes. With GEF financing, 85,000 tons of CO₂ emission reduction by 2020 within the demonstration zone is expected.

22. ***Multi-focal Area***: The project “*Integrated Approach to Management of Forests*” addresses opportunities and threats to Mediterranean forests in Turkey. The GEF project demonstrates an integrated package involving stakeholders to produce such items as: policies and standards for forest sector Non- Agricultural Market Access (NAMAs) including a revenue-sharing mechanism; a forest carbon inventory system designed for national use and implemented in these forests; 79,960 ha protected areas of under-represented habitat; improved data and information on native trees to enhance carbon from demonstrations on 450,000 ha; operational systems to address forest threats from fire and pests; and 650,000 tons of reduced CO₂ direct benefits over 5 years. Experiences

gained can be replicated to other Mediterranean forests in the world, and to integrated approaches to monitoring systems and management of other forest types.

23. The project “*Landscape Approach to Management of Peatlands Aiming at Multiple Ecological Benefits*” focuses on the conservation, sustainable management and restoration of peatlands, both forested and used for agriculture, in Belarus. The project will apply the landscape approach to conservation and management on 500,000 ha of peatlands, creating 20,000 ha of new protected areas and restoring 2,000 ha of forest peatlands. In addition, the project will develop a carbon inventory system that can be used to expand upon the Voluntary Carbon Standard for peatlands. The project builds on a successfully completed Medium Sized Project (MSP) in Belarus on restoring peatlands that had previously been mined.

24. The two projects in *Bolivia and Mexico under the 5th Operational Phase of the GEF Small Grants Program (SGP)* are being submitted for the first time by these countries as they have attained “u-graded” country program status with SGP. Both countries have reviewed their options and concluded that in order for them to maintain execution costs at or below their current levels to maximize cost-effectiveness, they will need to use existing arrangements with SGP. In both cases, UNDP will provide overall oversight and take responsibility for standard GEF project cycle management services beyond assistance and oversight of project design and negotiation, including monitoring, periodic evaluations, troubleshooting, and reporting to the GEF. UNDP will also ensure that the project receives high level technical and managerial support from a UNDP Regional Technical Advisors (RTA) and other members of the regional teams, who will be responsible for project oversight for all upgraded country program projects.

25. As is always the case for the GEF Small Grants Program projects, a number of innovative and successful projects will be funded by country programs and therefore, the proposed projects will create enabling environment for projects to be replicated and ideas shared with other programs and successful projects, not only at national, but also regionally and globally.

PROGRAMMATIC APPROACH IN THIS WORK PROGRAM

26. The regional program in Africa entitled “*Sahel and West Africa Program Supporting the Great Green Wall Initiative*” supports the implementation of a country-driven vision for integrated natural resource management for sustainable and climate-resilient development in the Sahel region. This multi-focal area program will be implemented by the World Bank and funded by multi-trust funds consisting of GEFTF, LDCF and SCCF. The program responds to a series of high level processes (with ministerial meetings in Ndjamen, Chad in June and November 2010; and in Bonn, Germany in 2011) through which countries in the Sahel region have consistently called for an international partnership to implement their vision. The program builds on a series of planned baseline investments amounting to \$1.8 billion in co-financing in 12 countries. The investments cover agriculture, food security, disaster risk management, rural development, and watershed management. The program leverages GEF resources under the STAR according to country allocations, as well as from LDCF and SCCF based on eligibilities and the principle of equitable

access under the LDCF. Each country will design a GEF project based on national level priorities for STAR resources and, where LDCF and SCCF are utilized, in accordance with National Adaptation Fund for Action (NAPA) priorities and National Communications. The discrete projects will directly address the priorities of the Climate Change Adaptation Program for LDCF and SCCF as well as the GEF Land Degradation, Biodiversity, and Climate Change focal areas. The program will also leverage incentive financing from the Sustainable Forest Management/Reducing Emissions from Deforestation and Forest Degradation (SFM/REDD+ Program) to increase focus on forest landscapes.

27. Overall, the program will support countries in implementing a multi-scale approach to integration of land-use options that contribute to Global Environment Benefits and adaptation benefits in accordance with the objectives of the GEF focal areas targeted for financing. With sustainable land management as a core element of the program, GEF funding in the land degradation focal area will catalyze a diverse range of practices that improve the flow of ecosystem services in production landscapes and watersheds. Financing under the climate change focal area will enhance the potential for carbon benefits in these landscapes, while the biodiversity focal area strengthens the management of key protected areas by improving linkages with other land uses at appropriate scales. Countries that access SFM/REDD+ incentive financing will specifically address challenges to safeguard forest habitats and the unique agro-forestry parklands in the Sahel. For climate change adaptation, LDCF resources will be deployed to meet the most urgent and immediate adaptation needs as identified by the NAPAs in Chad (\$5 million), Ethiopia (\$5 million), Mali (\$5 million), Mauritania (\$3 million), and Togo (\$4 million). The SCCF, in turn, will support activities under the Nigeria Erosion and Watershed Management Project (NEWMAP), providing resources to finance the adaptation costs of improving the resilience of civil works in areas that are particularly vulnerable to the impacts of climate change.

28. The program presents a unique opportunity for countries to overcome recurrent concerns over co-financing, which have often hampered GEF programming in these countries. Furthermore, many of the projects included in the program will build on existing GEF investments, such as the Strategic Investment Program(SIP)/TerrAfrica and early LDCF investments to implement the NAPAs, as well as the strong engagement by bilateral partners in the region. By aligning with these programs and by catalyzing additional investments, the program holds the potential to achieve tangible progress towards the collective vision of the countries involved. The WB is well placed to lead the program and it has a comparative advantage in ensuring the incremental value of GEF investments for global environmental benefits (GEBs) and adaptation benefits, while at the same time supporting economic, social, and policy transformations in accordance with its new strategy for Africa.

MULTI-TRUST FUND PROJECTS

29. Featured in this work program are two multi-trust fund proposals (one PFD and one PIF) where their resources are drawn not only from the GEF Trust Fund but also from the SCCF and/or the LDCF. This work program presents only the GEFTF component of these proposals for GEF Council approval: (i) The *Regional: Sahel and West Africa Program Supporting the Great Green Wall Initiative*; and (ii) The *Regional: Pilot Asia-Pacific Climate Technology Network and Finance Center* (see Annex A-2 for trust fund shares).

QUALITY OF PROJECT SUBMISSIONS SINCE THE LAST COUNCIL MEETING

30. In response to queries on the quality of projects submitted in the past work programs during the November 2010 Council meeting, the CEO agreed to provide an analysis on how the quality of project submissions have improved between the November 2010 and the May 2011 Work Programs (WP).

31. Table 6 compares the number of PIFs received against the number of PIFs cleared by the CEO in the three work programs. The quality of PIF proposals since the November WP has improved as shown by the decreasing number of rejections from 23% to 3% in the March IWP and in the May WP. A series of meetings by the CEO with Agencies in early 2011 helped to clarify the expectations for projects in GEF-5 especially in: (i) the understanding of the baseline from which incremental reasoning is based; (ii) the importance of co-financing that Agencies bring into the project; (iii) the Agencies' comparative advantage; and (iv) the best use of country allocations. In this May WP, Agencies have consciously paid attention to focal area strategies and expected outcomes as they become important elements of GEF's results-based management. It is expected that the delivery of quality projects will continue to improve, further influenced by the results of the National Portfolio Formulation Exercises that are either completed or in progress.

TABLE 6. WP COMPARISON ON QUALITY OF PIFS SUBMISSION

Work Program Date	New PIFs Request for WPI	Previous PIFs request for WPI	Total PIFs for WP Consideration	PIFs Rejected	PIFs cleared for WPI	PIFs Rejected as % of Total PIFs for WP consideration
Nov-10	40	0	40	9	5	23%
Mar-11	7	26	33	1	11	3%
May-11	38	21	59	2	15	3%
Total	85	42		12	31	

BIODIVERSITY

1. **Iran** : Building a Multiple-Use Forest Management Framework to Conserve Biodiversity in the Caspian Forest Landscape (UNDP) (GEF Project Grant : \$1,900,000)
2. **Nepal** : Integrating Traditional Crop Genetic Diversity into Technology Using a BD Portfolio Approach to Buffer Against Unpredictable Environmental Change in the Nepal Himalayas (UNEP) (GEF Project Grant : \$2,300,000)
3. **Sao Tome and Principe** : Integrated Ecosystem Approach to Biodiversity Mainstreaming and Conservation in the Buffer Zones of the Obo National Park (IFAD) (GEF Project Grant : \$2,418,182)
4. **Uganda** : Conservation and Sustainable Use of the Threatened Savanna Woodland in the Kidepo Critical Landscape in North Eastern Uganda (UNDP) (GEF Project Grant : \$3,080,000)

CLIMATE CHANGE

5. **Regional** : Pilot Asia-Pacific Climate Technology Network and Finance Center* (ADB/UNEP) (GEF Project Grant : \$9,090,909)
6. **China** : China Renewable Energy Scaling-Up Program (CRESP) Phase II (World Bank) (GEF Project Grant : \$27,280,000)
7. **China** : GEF Large-City Congestion and Carbon Reduction Project (World Bank) (GEF Project Grant : \$18,180,000)
8. **China** : Green Energy Schemes for Low-Carbon City in Shanghai, China (World Bank) (GEF Project Grant : \$4,345,000)
9. **Nigeria** : Small-scale Associated Gas Utilization (World Bank) (GEF Project Grant : \$2,727,236)

² Financial details of the PIFs submitted in the May 2011 Work Program are presented in Annex A.

MULTI FOCAL AREA

10. **Regional (Burkina Faso, Benin, Ethiopia, Ghana, Mali, Mauritania, Niger, Nigeria, Sudan, Senegal, Chad, Togo)**** : Sahel and West Africa Program Supporting the Great Green Wall Initiative (World Bank) (GEF Project Grant : \$81,314,817)
11. **Belarus** : Landscape Approach to Management of Peatlands Aiming at Multiple Ecological Benefits (UNDP) (GEF Project Grant : \$2,700,900)
12. **Bolivia** : Fifth Operational Phase of the GEF Small Grants Programme in Bolivia (UNDP) (GEF Project Grant : \$4,166,667)
13. **Jamaica** : Integrated Management of the Yallahs River and Hope River Watersheds (IADB) (GEF Project Grant : \$3,768,667)
14. **Mexico** : Fifth Operational Phase of the GEF Small Grants Program in Mexico (UNDP) (GEF Project Grant : \$4,444,953)
15. **Turkey** : Integrated Approach to Management of Forests in Turkey, with Demonstration in High Conservation Value Forests in the Mediterranean Region (UNDP) (GEF Project Grant : \$7,120,000)

*This is a multi-trust fund project drawing funds from two sources - the GEF Trust Fund and the Special Climate Change Fund. Only the GEFTF component is presented here. For the entire project, refer to Annex A-2.

**This is a multi-trust fund programmatic framework drawing funds from three sources, namely, the GEF Trust Fund, Special Climate Change Fund, and the Least Developed Countries Fund. Only the GEFTF component is presented here. For the entire program, refer to Annex A-2.

ANNEX B: HISTORICAL TRENDS ANALYSIS

HISTORICAL CO-FINANCING TRENDS BY FOCAL AREA

1. Table B.1 shows the historical trend in total co-financing amounts and ratios. Co-financing performance has steadily increased over the history of the GEF. The co-financing ratio average for GEF-5 midway of 2011 stands at 8.75 which is higher than any of the ratios in the past GEF phases.

TABLE B.1 HISTORICAL TRENDS OF CO-FINANCING BY FOCAL AREA *

GEF Phase	GEF Grant (\$m)	Co-financing Amount (\$m)							Total Project Cost (\$m)	Co-Financing Ratio
		BD	CC	IW	LD	MFA	ODS	POPs		
Pilot Phase	740.25	189.05	2,402.89	144.30	-	4.35	1.85	-	3,482.68	3.70
GEF - 1	1,254.62	965.77	2,323.75	217.40	-	55.21	95.20	-	4,911.95	2.92
GEF - 2	1,910.20	1,838.03	3,403.04	644.72	-	345.29	78.10	5.49	8,224.89	3.31
GEF - 3	2,895.92	2,964.04	4,823.03	2,790.28	1,157.64	1,012.61	11.49	138.51	15,793.52	4.45
GEF - 4	2,683.93	2,443.98	6,216.13	2,660.45	676.20	2,462.85	13.16	524.19	17,680.89	5.59
GEF - 5	406.81	46.53	1,733.26	-	17.90	1,692.48	5.55	62.54	3,965.07	8.75
2011	406.81	46.53	1,733.26	-	17.90	1,692.48	5.55	62.54	3,965.07	8.75
Total	9,883.34	8,447.40	20,902.10	6,457.15	1,851.74	5,572.79	205.35	730.73	53,972.79	4.46

Legend: BD – Biodiversity; CC – Climate Change; IW – International Waters; LD – Land Degradation; MFA – Multi-focal Area; ODS – Ozone Depleting Substances; POPs – Persistent Organic Pollutants

* Table associates project preparation grants with the project grants for those periods when the project grants are approved;
Cofinancing ratio = Cofinancing/GEF Grant

PROJECT GRANTS

2. Table B.2 contains the cumulative GEF project grants approved by Council through work programs, as well as PPGs, MSPs, and enabling activities approved by the CEO.

TABLE B.2: PROJECT GRANT TRENDS (GEF PILOT PHASE – GEF 5) BY FOCAL AREA (\$ MILLION)

GEF Phase	Biodiversity		Climate Change		International Waters		Land Degradation		Multi-Focal Area		Ozone Depletion		Persistent Organic Pollutants (POPs)		Total
	Grant	%	Grant	%	Grant	%	Grant	%	Grant	%	Grant	%	Grant	%	
Pilot Phase		43%	280.56	38%	121.06	16%	-	0%	15.60	2%	4.20	1%	-	0%	740.25
GEF - 1		36%	507.49	40%	119.43	10%	-	0%	49.67	4%	121.63	10%	-	0%	1254.62
GEF - 2		38%	667.23	35%	301.29	16%	-	0%	143.41	8%	43.40	2%	27.05	1%	1,910.20
GEF - 3		30%	874.35	30%	344.05	12%	205.47	7%	426.23	15%	11.96	0%	155.43	5%	2,895.92
GEF - 4		26%	749.67	28%	262.75	10%	150.05	6%	576.64	22%	10.78	0%	245.42	9%	2,683.93
GEF - 5		5%	91.44	22%	-	0%	5.40	1%	270.45	66%	2.60	1%	16.42	4%	406.81
2011		5%	91.44	22%	-	0%	5.40	1%	270.45	66%	2.60	1%	16.42	4%	406.81
Total		31%	3,170.74	32%	1,148.58	12%	360.92	4%	1,482.00	15%	194.58	2%	444.32	4%	9,891.73

Global Environment Facility Trust Fund

Table 1: Record of Resources Requested for Corporate Budget and Special Initiatives and Resulting Council Decisions
Council Meeting of 05/26/2011

Corporate Budget and Special Initiatives			
	Amounts Requested for Funding	Amounts Approved by Council	Comments
FY12 Corporate Budget			
GEF Secretariat	18,525,000	18,525,000	FY12-14 Business Plan and FY12 Corporate Budget, GEF/C.40/05/Rev.1, April 27, 2011
GEF Evaluation Office	4,740,000	4,740,000	Comprising \$2.74 million core annual budget and \$2 million for multi-year evaluations
STAP	2,244,000	2,244,000	
Trustee	3,046,000	3,046,000	
Sub-total Corporate Budget	28,555,000	28,555,000	
Special Initiatives			
GEF Secretariat	260,000	260,000	GEF's participation in Rio+ 20 events
GEF Evaluation Office	-	-	
STAP	-	-	
Trustee	100,000	100,000	Represents an additional amount for the Trustee's FY11 special initiative, Independent Review of Systems.
Sub-total Special Initiatives	360,000	360,000	
Total Corporate Budget and Special Initiatives	28,915,000	28,915,000	

**Table 2: Record of GEF Trust Fund Resources Requested for Projects and Resulting Council Decisions
Council Meeting ending 05/26/2011**

Grant Projects only				Project Funding Amounts Requested for Funding			Project Funding Amounts Approved by Council			Comments		
GEF_ID	Agency	Joint	Title	Country	GEF Amount Requested	Agency Fees Requested	Total Amount Requested	GEF Amount Approved	Agency Fees Approved		Total Amount Approved	
<u>Biodiversity</u>												
1	4470	UNDP	No	Building a Multiple-Use Forest Management Framework to Conserve Biodiversity in the Caspian Forest Landscape	Iran	1,900,000	190,000	2,090,000	1,900,000	190,000	2,090,000	
2	4464	UNEP	No	Integrating Traditional Crop Genetic Diversity into Technology Using a BD Portfolio Approach to Buffer Against Unpredictable Environmental Change in the Nepal Himalayas	Nepal	2,300,000	230,000	2,530,000	2,300,000	230,000	2,530,000	
3	4494	IFAD	No	Integrated Ecosystem Approach to Biodiversity Mainstreaming and Conservation in the Buffer Zones of the Obo National Park	Sao Tome and Principe	2,418,182	241,818	2,660,000	2,418,182	241,818	2,660,000	
4	4456	UNDP	No	Conservation and Sustainable Use of the Threatened Savanna Woodland in the Kidepo Critical Landscape in North Eastern Uganda	Uganda	3,080,000	308,000	3,388,000	3,080,000	308,000	3,388,000	
<u>Sub-total Biodiversity</u>						9,698,182	969,818	10,668,000	9,698,182	969,818	10,668,000	
<u>Climate Change</u>												
5	4512	ADB/UNEP	Yes	Pilot Asia-Pacific Climate Technology Network and Finance Center	Regional Center	9,090,909	909,091	10,000,000	9,090,909	909,091	10,000,000	
6	4493	World Bank	No	China Renewable Energy Scaling-Up Program (CRESP) Phase II	China	27,280,000	2,720,000	30,000,000	27,280,000	2,720,000	30,000,000	
7	4500	World Bank	No	GEF Large-City Congestion and Carbon Reduction Project	China	18,180,000	1,818,000	19,998,000	18,180,000	1,818,000	19,998,000	
8	4488	World Bank	No	Green Energy Schemes for Low-Carbon City in Shanghai, China	China	4,345,000	434,500	4,779,500	4,345,000	434,500	4,779,500	
9	4490	World Bank	No	Small-scale Associated Gas Utilization	Nigeria	2,727,236	272,724	2,999,960	2,727,236	272,724	2,999,960	
<u>Sub-total Climate Change</u>						61,623,145	6,154,315	67,777,460	61,623,145	6,154,315	67,777,460	

Global Environment Facility Trust Fund

Annex I - Table 2

Table 2: Record of GEF Trust Fund Resources Requested for Projects and Resulting Council Decisions
Council Meeting ending 05/26/2011

Grant Projects only				Project Funding Amounts Requested for Funding			Project Funding Amounts Approved by Council			Comments		
GEF_ID	Agency	Joint	Title	Country	GEF Amount Requested	Agency Fees Requested	Total Amount Requested	GEF Amount Approved	Agency Fees Approved		Total Amount Approved	
<u>Multi Focal Area</u>												
10	4511	World Bank	No	Sahel and West Africa Program in Support of the Great Green Wall Initiative	Regional (Burkina Faso, Benin, Ethiopia, Ghana, Mali, Mauritania, Niger, Nigeria, Sudan, Senegal, Chad, Togo)	81,314,817	6,505,183	87,820,000	81,314,817	6,505,183	87,820,000	
11	4468	UNDP	No	Landscape Approach to Management of Peatlands Aiming at Multiple Ecological Benefits	Belarus	2,700,900	270,090	2,970,990	2,700,900	270,090	2,970,990	
12	4481	UNDP	No	Fifth Operational Phase of the GEF Small Grants Programme in Bolivia	Bolivia	4,166,667	333,333	4,500,000	4,166,667	333,333	4,500,000	
13	4454	IADB	No	Integrated Management of the Yallahs River and Hope River Watersheds	Jamaica	3,768,667	376,867	4,145,534	3,768,667	376,867	4,145,534	
14	4353	UNDP	No	Fifth Operational Phase of the GEF Small Grants Program in Mexico	Mexico	4,444,953	355,596	4,800,549	4,444,953	355,596	4,800,549	
15	4469	UNDP	No	Integrated Approach to Management of Forests in Turkey, with Demonstration in High Conservation Value Forests in the Mediterranean Region	Turkey	7,120,000	712,000	7,832,000	7,120,000	712,000	7,832,000	
<u>Sub-total Multi Focal Area</u>						103,516,004	8,553,069	112,069,073	103,516,004	8,553,069	112,069,073	
GRAND TOTAL						174,837,331	15,677,202	190,514,533	174,837,331	15,677,202	190,514,533	

Global Environment Facility Trust Fund
Table 3: Record of GEF Trust Fund Resources Requested by Agency and Resulting Council Decisions
Council Meeting ending 05/26/2011

Summary of Council Decisions

Funding status as of 05/24/11	459,674,835
Approvals by Council	<u>219,429,533</u>
Funding status after Council meeting	240,245,302

Agency	Amounts Requested for Funding					Amounts Approved by Council				
	Project	Fee	Corporate Budget	Special Initiatives	Total	Project	Fee	Corporate Budget	Special Initiatives	Total
ADB	6,090,909	609,091	-	-	6,700,000	6,090,909	609,091	-	-	6,700,000
EBRD	-	-	-	-	-	-	-	-	-	-
IADB	3,768,667	376,867	-	-	4,145,534	3,768,667	376,867	-	-	4,145,534
IFAD	2,418,182	241,818	-	-	2,660,000	2,418,182	241,818	-	-	2,660,000
UNDP	23,412,520	2,169,019	-	-	25,581,539	23,412,520	2,169,019	-	-	25,581,539
UNEP	5,300,000	530,000	-	-	5,830,000	5,300,000	530,000	-	-	5,830,000
UNIDO	-	-	-	-	-	-	-	-	-	-
World Bank	133,847,053	11,750,407	-	-	145,597,460	133,847,053	11,750,407	-	-	145,597,460
GEF Secretariat	-	-	18,525,000	260,000	18,785,000	-	-	18,525,000	260,000	18,785,000
GEF Evaluation Office	-	-	4,740,000	-	4,740,000	-	-	4,740,000	-	4,740,000
STAP	-	-	2,244,000	-	2,244,000	-	-	2,244,000	-	2,244,000
Trustee	-	-	3,046,000	-	3,046,000	-	-	3,046,000	-	3,046,000
Trustee - Special Initiative *	-	-	-	100,000	100,000	-	-	-	100,000	100,000
Total	174,837,331	15,677,202	28,555,000	360,000	219,429,533	174,837,331	15,677,202	28,555,000	360,000	219,429,533

* Represents an additional amount for the Trustee's FY11 special initiative - Independent Review of Systems.