**GEF-8 WOrld Bank PCN Stage/GEF Data sheet**

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# General Project Information

|  |  |  |  |
| --- | --- | --- | --- |
| Project Title: |  | | |
| Region: |  | GEF Project ID: |  |
| Country(ies): |  | Type of Project |  |
| GEF Agency(ies): |  | GEF Agency Project ID: |  |
| Anticipated Executing Entity(s) and Type: |  |  | |
|  |  | |
| GEF Focal Area(s): |  | Submission Date: |  |
| Type of Trust Fund: |  | Project Duration (Months) |  |
| GEF Project Grant: *(a)* |  | GEF Project Non-Grant *(b)* |  |
| Agency Fee(s) Grant: *(c)* |  | Agency Fee(s) Non-Grant: *(d)* |  |
| Total GEF Financing: *(a+b+c+d)* |  | Total Co-financing: |  |
| PPG Amount *(e):* |  | PPG Agency Fee(s) *(f)*: |  |
| Total GEF Resources (a+b+c+d+e+f) |  | | |
| Project Tags: | CBIT  NGI  SGP  Innovation | | |
| Project Sector [[1]](#footnote-2)  (CCM only) |  | | |

### Project Summary\*\*\*

Provide a brief summary description of the project, including: (i) what is the problem and issues to be addressed? (ii) what are the project objectives, and if the project is intended to be transformative, how will this be achieved? iii), how will this be achieved (approach to deliver on objectives), and (iv) what are the GEBs and other key expected results. The purpose of the summary is to provide a short, coherent summary for readers. The explanation and justification of the project should be in section B “project description”. *(max. 250 words, approximately 1/2 page)*

\*\*\*POP-UP material start

Please provide a summary of the proposed project. A brief description of what is the problem to be addressed; what is the project’s objective; how is this objective to be achieved; and what are expected outcomes (GEBs). If the project is an NGI, please briefly mention the financial structure of the project. Be explicit about the project’s location, and the sectors it covers. If the project is intended to be [transformative](https://www.stapgef.org/resources/advisory-documents/achieving-transformation-through-gef-investments), or [innovative](https://www.stapgef.org/resources/advisory-documents/innovation-and-gef), briefly explain how this ambition will be achieved, and how barriers or enablers will be addressed.

\*\*\*POP-UP material end

### Indicative Project Overview

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Project Objective: |  | | | | | |
| Project Components | Component  Type | Project Outcomes | Project Outputs | Trust Fund | (in $) | |
|  |  |  |  |  | GEF Project Financing | Co-financing |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| …. |  |  |  |  |  |  |
| Subtotal | | | |  |  |  |
| Project Management Cost (PMC) (if this is an MTF project, please report separate PMC lines for each TF). \*\*\*If amount requested is above limits, a pop-up menu should open for the Agency to provide an explanation\*\*\* | | | |  |  |  |
| **Total Project Cost** | | | |  |  |  |

### Coordination and Cooperation with Ongoing Initiatives and Projects.

Does the GEF Agency expect to play an execution role on this project?

Yes  No

If so, please describe that role here. Also, please add a short explanation to describe cooperation with ongoing initiatives and projects, including potential for co-location and/or sharing of expertise/staffing *(max. 500 words, approximately 1 page)*

### Core Indicators

|  |  |  |
| --- | --- | --- |
| **Project Core Indicators** | | **Expected at PIF** |
| 1 | **Terrestrial protected areas** created or under improved management for conservation and sustainable use (Hectares) |  |
| 2 | **Marine protected areas** created or under improved management for conservation and sustainable use (Hectares) |  |
| 3 | Area of **land restored (**Hectares) |  |
| 4 | Area of **landscapes under improved practices** (excluding protected areas)(Hectares) |  |
| 5 | Area of **marine habitat under improved practices** (excluding protected areas) (Hectares) |  |
| 6 | **Greenhouse Gas Emissions Mitigated** (metric tons of CO2e) |  |
| 7 | **Number of shared water ecosystems** (fresh or marine) under new or improved cooperative management |  |
| 8 | Globally over-exploited **marine fisheries** moved to more sustainable levels (metric tons) |  |
| 9 | **Reduction**, disposal/destruction, phase out, **elimination** and avoidance of **chemicals of global concern** and their waste in the environment and in processes, materials and products (metric tons of toxic chemicals reduced) |  |
| 10 | Reduction, avoidance of emissions of **POPs to air** from point and non-point sources (grams of toxic equivalent gTEQ) |  |
| 11 | Number of **direct beneficiaries disaggregated by gender** as co-benefit of GEF investment |  |

Explain the methodological approach and underlying logic to justify target levels for Core and Sub-Indicators *(max. 250 words, approximately 1/2 page)*

### NGI (only): Justification of Financial Structure

Please describe the financial structure and include a graphic representation. This description will include the financial instrument requested from the GEF and terms and conditions of the financing passed onto the Beneficiaries.

### Risks to Achieving Project Outcomes

Summarize risks that might affect the achievement of project outcomes and the mitigation measures which are planned or already undertaken to address these. The risk rating should reflect the residual risk to achieving project outcomes after considering the implementation of mitigation measures. The rating scale is: High, Substantial, Moderate, Low. See the GEF Risk Appetite document ([GEF/C.66/13](https://www.thegef.org/sites/default/files/documents/2024-01/EN_GEF.C.66.13_GEF_Risk_Appetite.pdf)) for more information and its Annex B for a description of each risk category. Note that the rating for the “Environment and Social” category should be the same as the risk rating for Safeguards.

|  |  |  |
| --- | --- | --- |
| **RISK CATEGORIES** | **RATINGS** | **ASSESSMENT AND MITIGATION MEASURES** |
|  |  |  |
| **CONTEXT** | | |
| Climate | < Select rating > | < Insert text > |
| Environment and Social | < Select rating > | < Insert text > |
| Political and Governance | < Select rating > | < Insert text > |
| **INNOVATION** | | |
| Institutional and Policy | < Select rating > | < Insert text > |
| Technological | < Select rating > | < Insert text > |
| Financial and Business Model | < Select rating > | < Insert text > |
| **EXECUTION** | | |
| Capacity for Implementation | < Select rating > | < Insert text > |
| Fiduciary | < Select rating > | < Insert text > |
| Stakeholder | < Select rating > | < Insert text > |
|  |  |  |
| Other | < Select rating > | < Insert text > |
|  |  |  |
| Overall Risk Rating | < Select rating > | < Insert text > |

## ****Alignment with GEF-8 Programming strategies and country/regional priorities****

Describe how the proposed interventions are aligned with GEF- 8 programming strategies and country and regional priorities, including how these country strategies and plans relate to the multilateral environmental agreements.

Confirm if any country policies that might contradict with intended outcomes of the project have been identified, and how the project will address this.

*(max. 500 words, approximately 1 page)*

## Policy requirements

### Gender Equality and Women’s Empowerment\*\*\*:

We confirm that gender dimensions relevant to the project have been addressed as per GEF Policy and are clearly articulated in the Project Description (Section B).

Yes  No (If –and only if— NO is selected, a pop-up field should open for the Agency to provide an explanation)

\*\*\*POP-UP material start

Please upload to the portal documents tab any gender analysis or equivalent socio-economic assessment that identifies and describes any gender differences, gender differentiated impacts and risks, and opportunities to address gender gaps and promote the Empowerment of Women that may be relevant to the proposed activity; this should include any corresponding gender-responsive measures to address differences, identified impacts and risks, and opportunities through a gender action plan or equivalent. If gender-responsive measures have been identified (mostly relevant at project development phase, the results framework or logical framework include actions, Gender-Sensitive Indicators and sex disaggregated targets.

\*\*\*POP-UP material end

### Stakeholder Engagement

We confirm that key stakeholders were consulted during PIF development as required per GEF policy, their relevant roles to project outcomes and plan to develop a Stakeholder Engagement Plan before CEO endorsement has been clearly articulated in the Project Description (Section B).

Yes  No (If –and only if— NO is selected, a pop-up field should open for the Agency to provide an explanation)

Were the following stakeholders consulted during project identification phase:

Indigenous Peoples and Local Communities?  Yes  No

Civil Society Organizations?  Yes  No

Private Sector?  Yes  No

Provide a brief summary and list of names and dates of consultations

(Please upload to the portal documents tab any stakeholder engagement plan or assessments that have been done during the PIF development phase.)

### Private Sector

Will there be private sector engagement in the project?

Yes  No

And if so, has its role been described and justified in the section B project description?

Yes  No

### Environmental and Social Safeguards

We confirm that we have provided indicative information regarding Environmental and Social risks associated with the proposed project or program and any measures to address such risks and impacts (this information should be presented in Annex D).

Yes  No (If –and only if— NO is selected, a pop-up field should open for the Agency to provide an explanation)

## Other requirements

### Knowledge management

We confirm that an approach to Knowledge Management and Learning has been clearly described in the Project Description (Section B)

Yes

# Annex a: FINANCING TABLES

### GEF Financing Table

Indicative Trust Fund Resources Requested by Agency(ies), Country(ies), Focal Area and the Programming of Funds

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **GEF Agency** | **Trust Fund** | **Country/**  **Regional/ Global** | **Focal Area** | **Programming**  **of Funds** |  | | **(in $)** | | |
|  |  |  |  |  | **Grant/Non**  **-Grant**  **(For NGI projects**  **Only)** | **GEF Project Grant (b)** | | **Agency Fee (c)** | **Total**  **GEF Financing (a+b+c)** |
|  |  |  |  |  |  |  | |  |  |
| **Total GEF Resources** | | | | |  |  | |  |  |

### Project Preparation Grant (PPG)

Is Project Preparation Grant requested?  Yes  No

If yes: fill in PPG table (incl. PPG fee)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **GEF Agency** | **Trust Fund** | **Country/**  **Regional/Global** | **Focal Area** | **Programming**  **of Funds** | **(in $)** | | |
| **PPG (d)** | Agency  Fee(e) | **Total PPG Funding**  (d+e) |
|  |  |  |  |  |  |  |  |
| **Total PPG Amount** | | | | |  |  |  |

### Indicative Focal Area Elements

|  |  |  |  |
| --- | --- | --- | --- |
| **Programming Directions** | **Trust Fund** | **(in $)** | |
|  |  | **GEF Project Financing** | **Co-financing** |
|  |  |  |  |
| **Total Project Cost** |  |  |  |

### Indicative Co-financing

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Sources of Co-financing** | **Name of Co-financier** | **Type of Co-financing** | **Investment**  **Mobilized** | **Amount ($)** |
|  |  |  |  |  |
|  |  |  | |  |
|  |  |  | |  |
| **Total Co-financing** |  |  | |  |

*Please provide indicative information regarding the expected amounts, sources and types of Co-Financing, and the sub-set of such Co-Financing that meets the definition of Investment Mobilized.*

# ANNEX b: EndorsementS

|  |  |
| --- | --- |
| **Name of GEF Agency Coordinator** | **GEF Agency Coordinator Contact Information** |
|  |  |
| **Name of Agency Project Coordinator** | **Agency Project Coordinator Contact Information** |
|  |  |

### Record of Endorsement of GEF Operational Focal Point (s) on Behalf of the Government(s):

|  |  |  |  |
| --- | --- | --- | --- |
| **Name of GEF OFP** | **Position** | **Ministry** | **Date *(MM/dd/yyyy)*** |
|  |  |  |  |
| Signature |  | | |
| *<<additional fields to be added for regional projects or global projects with on the ground investments>>* | | | |

**NGIs** do not require a Letter of Endorsement if beneficiaries are: i) exclusively private sector actors, or ii) public sector entities in more than one country. However, for NGI projects please confirm that the agency has informed the OFP of the project to be submitted for Council Approval  YES

### Compilation of Letters of Endorsement

Please attach the Operational Focal Point endorsement letter(s) in this Annex. For SGP, use the SGP OFP endorsement letter format. For regional and global projects (as appropriate): please include a compilation of the signed LOEs in one PDF file in this annex.

# ANNEX C: Project location

Please provide geo-referenced information and map where the project interventions will take place

# ANNEX D: Environmental and Social Safeguards Screen and Rating

(PIF level) Attach agency safeguard screen form including rating of risk types and overall risk rating.

# ANNEX E: Rio Markers

|  |  |  |  |
| --- | --- | --- | --- |
| **Climate Change Mitigation** | **Climate Change Adaptation** | **Biodiversity** | **Desertification** |
|  |  |  |  |

*<< Rio Markers may be expanded in GEF 8 beyond markers for CCM and CCA>>*

# ANNEX F: Taxonomy Worksheet

*<<Table below for now taken from GEF-7 PIF>>*

|  |  |  |  |
| --- | --- | --- | --- |
| Level 1 | Level 2 | Level 3 | Level 4 |
| Influencing Models |  |  |  |
| Stakeholders |  |  |  |
| Capacity, Knowledge and Research |  |  |  |
| Gender Equality |  |  |  |
| Focal Area/Theme |  |  |  |

# ANNEX G: NGI relevant annexes

1. Annex X (currently existing in NGI projects): Template for Indicative Financial Termsheet
2. Annex X (currently existing in NGI projects): Reflow table
3. Annex X (currently existing in NGI projects): GEF Agency Eligibility to Administer Concessional Finance
4. Annex X. Management Capacity of Executing Agency and Governance Structure

# List of key requirements leading to CEO Endorsement submission

**During project design/by endorsement:**

* **Stakeholders:** provide list of stakeholders, roles in the project and means of engagement; specifically address civil society organizations, vulnerable groups and Indigenous Peoples and Local Communities (IPLCs) (as applicable) and their roles in the project
* **Gender Equality and Women’s Empowerment:** carry out gender analysis and prepare gender action plan; include relevant gender aspects in Theory of change and gender-sensitive indicators in results framework (i.e. including the process to collect sex-disaggregated data and information on gender); include gender equality considerations/gender-responsive measures and actions in relevant activities in project components.
* **Environmental and Social Safeguards (ESS) related documents:** depending on types of ESS risks to be prepared (such as Environmental and Social Impact Assessment, Environmental and Social Management Framework/Plan, Indigenous Peoples Plan and Grievance Mechanism) and made public in country/location in relevant language/s (provide publication date and locations)
* **Private sector involvement mechanisms** (for non NGI projects: anticipated roles and type of PS; this will already be central to the project document for NGI projects)
* **Knowledge Management Plan** - develop “Knowledge Management Approach” for the project and how it will contribute to the project’s overall impact, including plans to learn from relevant previous and ongoing projects; proposed tools and methods for knowledge exchange and learning; knowledge outputs; strategic communication plan; and budget and timeline.
* **Results**. Inclusion of final Core Indicator targets, along with a comprehensive results framework with indicator name, units of measurement, and baseline and target data.
* **Monitoring and Evaluation.** Include a budget, along with an explanation of monitoring arrangements and deliverables.
* **Institutional arrangements** (incl. reporting arrangements and flow of funds) and cross-sector integration approaches, as relevant
* **Sustainability**: Post-project financing sustainability plan
* **Co-finance**: Confirm amount and type of co-financing and the definition of investment mobilized
* **To be complemented by new GEF8 policies and requirements.**

1. For ITS, this is the same pulldown menu that we have for GEF-7 [↑](#footnote-ref-2)