



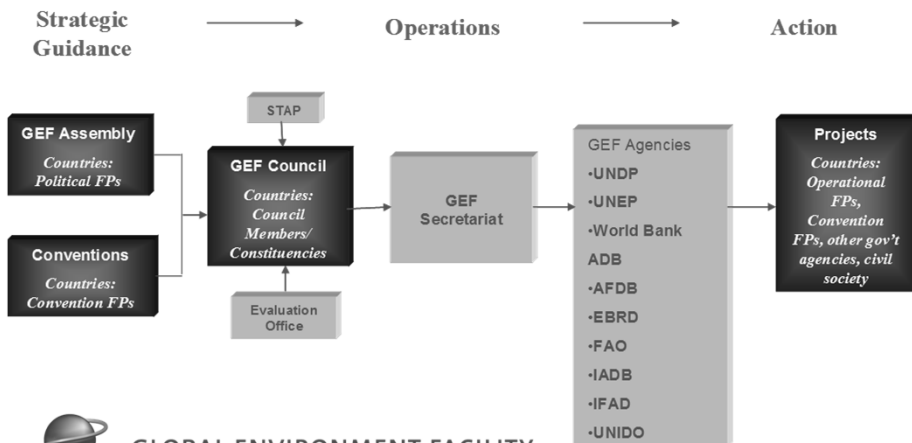
Accessing The GEF

GEF Trust Fund, STAR
LDCF, SCCF, NPIF, AF
Broadening the GEF Partnership
Public Private Partnership
PMIS and GEF Website

GEF Expanded Constituency Workshop
11-13 December 2012
Antalya, Turkey

How to access the GEF Trust Fund

GEF Institutional Framework



Project Development Steps

- Project Idea – Countries – NPFE (optional)
- Project Concept – Agencies and countries – PIF & PPG (templates)
- Project Endorsement - OFPs
- GEFSEC PIF & PPG review, work program inclusion and Council approval
- Project Preparation – GEF Agencies, countries and partners
- Project document – Agencies, countries and partners – FSP, MSP, EA
- GEFSEC review, CEO endorsement/approval and Council information



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Project Implementation Steps

- Agency approval of project according to own rules and procedures
- Implementation start, including inception phase
- Ongoing implementation, monitoring and evaluation as per GEF and Agency M&E Policies
- Project completion, terminal evaluation, financial closure, including audits and other reports



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Responsibilities of Agencies

Project Implementation oversight functions are provided by GEF Agencies :

- Ensure quality of project preparation, meet GEF standards and policies
- Disburse funds to executing agency and supervise project implementation
- Be accountable to GEF Council for project delivery and impacts
- Keep national GEF Operational Focal Point informed, seek his/her endorsement
- Secure committed co-financing

Project execution is managed by the Executing Agency :

- Lead and deliver project outcomes
- Day to day management of project funds
- Report as required to Agency on project results and funds managed



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System for Transparent Allocation of Resources (STAR)

- ✓ Biodiversity, Climate Change, and Land Degradation
- ✓ All countries have individual allocations
- ✓ Minimum allocations: \$2 M in CC, \$1.5 M in BD, and \$0.5 M in LD
- ✓ Flexibility for smaller overall allocations (\$7 M)



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Programs without Allocations

- International Waters
- Persistent Organic Pollutants and Sound Chemicals Management
- Sustainable Forest Management
- Enabling Activities
- Cross-cutting capacity development
- Country Support Programme
- Regional and global projects/programs
- Small Grants Programme
- Private Sector Engagement



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GEF-5 STAR Allocations	GEF-5				PIFs cleared by CEO awaiting approval (M US\$)	Allocation Utilized (M US\$)	
	Replenishment		US\$4.25Bn				
	STAR Envelopes (M US\$)						
Country	Biodiversity	Climate Change	Land Degradation	Total for Country	Total	Total	Flexible
Afghanistan	3,35	2,00	3,89	9,24	0	7,24	No
Algeria	3,87	9,17	1,99	15,03	0	5,24	No
Egypt	4,58	14,48	1,49	20,55	0	5,97	No
Iran	6,33	18,69	3,75	28,77	0	4,20	No
Iraq	1,50	2,58	0	4,08	2,45	0,088	Yes
Jordan	1,50	2,06	3,44	7,00	0	6,67	Yes
Lebanon	1,50	2,00	2,75	6,25	0	1,65	Yes
Libya	1,50	2,09	0,91	4,50	0	0	Yes
Morocco	4,90	5,81	5,04	15,75	0,340	8,088	No
Pakistan	4,92	12,61	4,17	21,70	0	11,30	No
Syria	1,50	5,39	4,30	11,19	0	0	No
Tunisia	1,50	4,00	5,36	10,86	0,144	0,242	No
Turkey	5,65	18,03	3,39	27,07	0,94	17,61	No
Yemen	4,27	2,66	2,11	9,04	0	2,673	No



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How to access other funds – LDCF, SCCF, NPIF, AF

- The GEF also manages 3 additional trust funds:
 - Least Developed Countries Fund (LDCF)
 - Special Climate Change Fund (SCCF)
 - Nagoya Protocol Implementation Fund (NPIF)
- And provides Secretariat services to the Adaptation Fund (AF)



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LDCF and SCCF

LDCF

- Established to address the special needs of **LDCs** under the Climate Convention
- Only existing Fund mandated to finance the preparation and implementation of **NAPAs**
- 49 NAPAs funded already and 84 LDCF projects approved
- LDCF resources now amount to US \$540 M.

SCCF

- Available to all developing countries, parties to the Climate Convention
- Established to support Adaptation and Technology Transfer activities, short and long-term
- 41 adaptation projects approved, 6 for technology transfer
- SCCF resources now amount to US \$242 M.



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Innovative Features of LDCF/SCCF

GEF TRUST FUND:

- Incremental cost
- Global benefits
- STAR
- Co-financing

LDCF & SCCF:

- Additional cost principle
- NO Global benefits requirement
- No STAR
- Existing Business-As-Usual (BAU) Financing
- Higher MSP ceiling for LDCF (\$2M)
- Rolling basis approval for LDCF
- Equitable Access for all LDCs under the LDCF



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Features of LDCF/SCCF

- The **additional cost** principle distinguishes LDCF/SCCF projects from the standard GEF practice which funds on the basis of incremental costs.
- Full costs associated with meeting *additional costs* imposed on the country by effects of climate change, are supported by LDCF and SCCF.
- Business-as-usual activities that would be implemented in the absence of climate change constitute a **project baseline**, (or business-as-usual)
- The LDCF follows the **principle of Equitable Access**. This assures that funding for NAPA implementation will be available to all LDCs, and not be awarded on a first-come, first-served basis. The current cap is \$20 million.



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How to access LDCF and SCCF funds?

- ***"Accessing resources under the Least Developed Countries Fund"***
GEF/LDCF.SCCF.9/5/Rev.1 available at the GEF website
- ***"Accessing resources under the Special Climate Change Fund"***
GEF/LDCF.SCCF.9/6/Rev.1 available at the GEF website



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NPIF

- NPIF is separate trust fund created and managed by the GEF Secretariat
 - Established: February 18th, 2011
- Funding is separate from STAR allocations



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Timeline

- Operational: May 26th, 2011
 - GEF Council Meeting (GEF/C.40/11/Rev.1)
- Guidance 1: August 18th, 2011
 - CEO Letter to Operational Focal Points
- Guidance 2: November 11th, 2011
 - CEO Letter to Operational Focal Points & GEF Council Members and Alternates



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NPIF: What does it fund?

- Projects that:
 - Pursue opportunities leading to actual ABS agreements between users and providers of genetic resources
 - Promote technology transfer and private sector engagement
 - Allow countries to gain information to review capacities and needs on ABS with focus on existing policies, laws and regulations



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Accessing the NPIF

- Medium Size Projects (MSPs)
 - Same policies and procedures as other GEF MSPs
 - CEO Approval on a rolling bases
 - GEF Agency
 - Partner Organizations (Executing Agencies)
 - Letter of Endorsement from GEF Operational Focal Point
 - Letters of Co-financing
- Funding from NPIF: Additional to STAR allocations



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NPIF: Where are we now?

- Funds available:
 - US\$15 million (Japan, Norway, Switzerland and France)
- Projects approved:
 - GEF ID 4780 (Promoting the application of the Nagoya Protocol on Access to Genetic Resources and Benefit Sharing in Panama)
- Upcoming Projects:
 - 16 projects in different stages of development



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Adaptation Fund (AF)



Resources

- Proceeds from monetized CERs: US\$185M
- Annex-I parties contributions:
 - Spain €45M, Monaco €10k, Germany €10M, Sweden SEK200M, Switzerland CHF 3M, the U.K. £ 10M
 - Pledges: Australia AU\$ 15M, Brussels Capital Region €1M.
- Funds allocated by September 30, 2012: US\$ 166M
- Current Funding Availability: US\$ 117.7M



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Access modalities



Direct Access Modality

- Eligible Parties can submit their projects/programmes **directly** to the AFB **through an accredited** National Implementing Entity (**NIE**).

Multilateral Access Modality

- Parties can submit their proposals through an **accredited** Multilateral Implementing Entity (**MIE**).

Regional Access Modality

- A group of Parties may also nominate **regional and sub-regional entities** (RIE) as implementing entities.



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Access modalities (2)



NIE, RIE and MIE shall:

a. Meet the fiduciary standards established by the AFB:

- Financial management and integrity
- Institutional capacity
- Transparency, self-investigative powers and anti-corruption measures

b. Bear full responsibility for the **overall management** of the projects and programmes

c. Carry out financial, monitoring and reporting responsibilities



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One Step vs Two Step Process

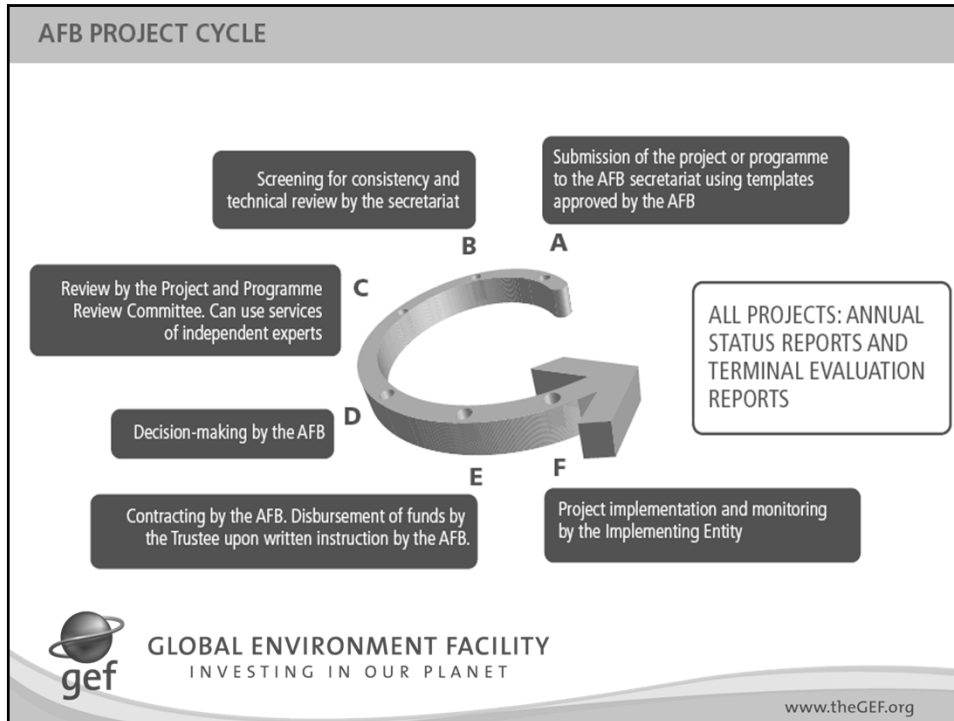


- For projects/programmes larger than USD 1M, a choice of a one step (full proposal) or two step process (concept approval and project/programme document)
- For small-scale projects (below USD 1M) one-step process
- Concepts are either Endorsed, Not endorsed, or Rejected
 - Funding is NOT set aside for endorsed concepts
- Full proposals are either Approved, Not Approved, or Rejected
 - Concept endorsement NOT required for the full proposal submission, but generally most approved projects are first endorsed as concepts
- All proposals are posted on the website for comments from the public



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Financing Criteria

- Funding provided on **full adaptation costs basis** for projects/programmes whose principal and explicit aim is to **adapt and increase climate resilience**
- Projects/programmes have to be **concrete**: emphasis on impacts
- **No prescribed sectors or approaches**
- Total allocation for projects/programmes submitted by MIEs cannot exceed 50% of cumulative resources available in the trust fund
 - As of August 31, 2012, MIEs have been allocated 48% of total funds
 - Funding is more readily available for projects submitted by NIEs, RIEs
- All projects/programmes must include a knowledge component
- Proposals must align with the Adaptation Fund Results Framework

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Project Review Criteria: emphasis on...



- **Consistency** with national sustainable development strategies
- **Economic, social and environmental** benefits
- **Meeting** national technical standards
- **Cost-effectiveness and sustainability**
- **Arrangements** for management, financial and risk management, M&E, impact assessment
- **Avoiding duplication** with other funding sources for adaptation
- **Stakeholder consultation**: ensuring acceptance and incorporation of community views
- Consideration of **gender issues** in project design



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Broadening the GEF Partnership

- The GEF Council approved, in May 2011, a pilot to accredit up to 10 new institutions to serve as GEF Project Agencies.
- At least 5 national institutions
- Upon accreditation, GEF Project Agencies can *access resources from GEF-managed trust funds directly* to assist recipient countries in the preparation and implementation of GEF-financed projects.



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Eligibility

Institutions eligible for accreditation under the pilot are:

- national institutions
- regional organizations
- civil society organizations/non-governmental organizations
- United Nations specialized agencies and programs
- other international organizations



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Review of Applications

- **Stage I:** Applicants are assessed according to the degree to which they add value to the GEF partnership and align strategically with the GEF's objectives.
- **Stage II:** Applicants need to fully meet all of the GEF Fiduciary Standards as well as applicable GEF Environmental and Social Safety Standards.



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Application Process

- Stage I: Internal GEFSEC *Value Added Review* and Council Approval
- Stage II: External *Accreditation Panel Review* for compliance with the GEF's Fiduciary Standards and Environmental and Social Safeguards



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Stage I Review Criteria

- Relevance to the GEF
- Demonstration of Environmental or Climate Change Adaptation Results
- Scale of Engagement
- Capacity to Leverage Financing
- Institutional Efficiency



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Stage I Applications received (16)

National Agencies (6):

- Uruguay: Agencia Nacional de Investigación e Innovación (ANII)
- Russian Federation: VTB Bank
- Peru: Fondo Nacional del Ambiente (FONAM)
- Brazil : Fundo Brasileiro para a Biodiversidade (FUNBIO)
- China: Ministry of Environment, Foreign Economic Cooperation Office (MEP FECO)
- South Africa: The Development Bank of Southern Africa (DBSA)

International Civil Society Organizations (4):

- International Union for Conservation of Nature (IUCN)
- World Wide Fund (WWF)
- Conservation International (CI)
- International Federation of Red Cross (IFRC)

United Nations Agencies (2):

- UN World Food Program (WFP)
- UN Human Settlements Programme (UN-HABITAT)

Regional Agencies (4):

- LAC: Banco de Desarrollo de America Latina (CAF)
- Pacific: The Secretariat of the Pacific Regional Environment Programme (SPREP)
- North Africa: Observatoire du Sahara et du Sahel (OSS)
- West Africa: Banque Ouest Africaine de Développement (BOAD)



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Eleven applicants have received Council approval in June 2012 to move on to Stage II

National Agencies (5):

- **Russian Federation:** VTB Bank
- **Peru:** Fondo Nacional del Ambiente (FONAM)
- **Brazil :** Fundo Brasileiro para a Biodiversidade (FUNBIO)
- **China:** Ministry of Environment, Foreign Economic Cooperation Office (MEP FECO)
- **South Africa:** The Development Bank of Southern Africa (DBSA)

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Regional Agencies (2):

- **LAC:** Banco de Desarrollo de America Latina (CAF)
- **West Africa:** Banque Ouest Africaine de Développement (BOAD)



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Current Status

- At the June 2012 Council meeting, 11 agencies were cleared by the for review by an independent Accreditation Panel.
- To date, the Panel has reviewed 5 agencies and provided assessments for each.
- Reviews are continuing. The goal is to complete reviews of all 11 agencies by June 2013.
- The Council decided that it will consider the possibility of a second round of applications at its June 2013 meeting.
- For updates, relevant documents and more detailed information on the accreditation process, please visit [http://www.thegef.org/gef/agencies accreditation](http://www.thegef.org/gef/agencies_accreditation) or contact Dr. Yasemin Biro (ybiro@thegef.org)



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Public Private Partnerships in GEF-5

- The replenishment created a private sector set-aside of USD 80 million.
- Private sector engagement is not an end; it is a means to generate additional global environmental benefits.



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Approved Private Sector Strategy for GEF-5 Three Modalities

1. Establish Public Private Partnership Programs with multilateral development banks (MDBs) to support investments using non-grant instruments
2. Encourage countries to use STAR allocation grants for projects with private sector investments using non-grant instruments
3. Support SME competitions to facilitate technology transfer and entrepreneurship

GEF/C.41/09.Rev.01, *Revised Strategy for Enhancing Engagement with the Private Sector*



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What is a Non-Grant Instrument?

- Under the GEF instrument, a form of concessional finance that has the potential to earn a return (or reflow).
- Reflows are available to expand the pool of GEF resources available for future investments.
- Examples:
 - Contingent Grant
 - Credit Guarantee or Risk Guarantee Fund
 - Equity Fund Investments
 - Concessional Loans
 - Performance Risk Guarantee
 - Revolving Fund
 - Risk Sharing Fund for Loan Provision



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Current Status

- GEFSEC has finalized the operational modalities in coordination with the MDBs (*C.42.Inf.08*)
- The GEF June Work Program included two new PPPs
 - \$20 Million with AfDB for renewable energy loans
 - \$15 Million with IDB for equity investments in clean energy and biodiversity
- MDBs can submit additional proposals for Public Private Partnership Programs as candidates for inclusion in future work programs.
- Agencies and countries can propose the use of non-grant instruments in new PIFs at anytime
- GEF is coordinating with UNIDO to identify countries that wish to pursue SME competitions as medium-sized projects.



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GEF Website

Takeaways:

- Council Member and Focal Point List
- Country Profiles
- Country Fact Sheets
- Country Support Programme
- Documents and Publications:
 - Council Documents
 - Work Programs
 - Program Management Bulletin
 - Publications/Videos
- Templates – PIF, Enabling Activities



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PMIS

Takeaways:

- Username and Password
- Pre-PIF Tracking Tool
- Project Information:
 - Where to find PIFs, PFD, PIRs



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Thank you for your attention

Questions?



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