What is the GEF?
History and Structure

GEF Expanded Constituency Workshop

Cotonou, Benin
November 3-5, 2015
History of the GEF

1991
$1 billion pilot program in the WB

1992
At the Rio Earth Summit, negotiations started to restructure the GEF out of the WB

1994
Instrument for the Establishment of the Restructured GEF

Initial partners: WB, UNDP, UNEP

2015
GEF serves as financial mechanism for:
- CBD
- UNFCCC
- Stockholm Conv. on POPs
- UNCCD
- Minamata (Mercury)

Also, although not linked formally to the Montreal Protocol, the GEF supports its implementation in transition economies.

World’s largest public funder of projects and programs to benefit the global environment

GLOBAL ENVIRONMENT FACILITY INVESTING IN OUR PLANET

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GEF Goal and Mission

**Goal:** to address *global environmental issues* while supporting *national sustainable development initiatives*.

**Mission:** the GEF is a mechanism for *international cooperation* for the purpose of providing *new, and additional, grant* and concessional funding to meet the *agreed incremental costs* of measure to achieve agreed global environmental benefits.
Institutional Framework

GEF Trust Fund

Guidance

- GEF Assembly
  - Conventions
    - CBD
    - UNFCCC
    - Stockholm (POPs)
    - UNCCD
    - Montreal Protocol
    - Minamata

Operations

- GEF Council
  - Countries: Council Members / Constituencies

- STAP

- Independent Evaluation Office

- GEF Secretariat

- GEF Trustee

Action

- Agencies
  - UNDP
  - UNEP
  - WB
  - ADB
  - AfDB
  - EBRD
  - FAO
  - IaDB
  - IFAD
  - UNIDO
  - WWF-US
  - CI
  - IUCN
  - DBSA
  - BOAD
  - CAF
  - FUNBIO
  - FECO

- Projects
  - Countries:
    - GEF OFPs / PFPs
    - Convention FPs
    - Other Gov’t Agencies
    - NGOs / CSOs
    - Private Sector
GEF Replenishments

- **Pilot Phase**: April 1991 - June 1994
- **GEF-1**: July 1994 - June 1998
- **GEF-2**: July 1998 - June 2002
- **GEF-3**: July 2002 - June 2006
- **GEF-4**: July 2006 - June 2010
- **GEF-5**: July 2010 - June 2014
- **GEF-6**: July 2014 - June 2018

**Bar Chart**
- **Y-axis**: US Dollars in Billion
- **X-axis**: GEF Replenishment Cycle
- **Legend**:
  - Green: Investment income earned (Note: GEF-5&6 - Projected amount)
  - Red: Carry over from earlier replenishment period

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**Global Environment Facility**
**Investing in our Planet**

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<table>
<thead>
<tr>
<th>Focal Areas/ Themes</th>
<th>GEF-6 Programming Targets ($ million)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>BIODIVERSITY</strong></td>
<td>1,296</td>
</tr>
<tr>
<td><em>STAR Country Allocations</em></td>
<td>1,051</td>
</tr>
<tr>
<td><em>STAR Set-aside</em></td>
<td>245</td>
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<tr>
<td>- Convention obligations</td>
<td>13</td>
</tr>
<tr>
<td>- Global and Regional Programs</td>
<td>82</td>
</tr>
<tr>
<td><em>Integrated Approach Programs</em></td>
<td>45</td>
</tr>
<tr>
<td>a) Taking Deforestation out of the Commodities Supply Chain</td>
<td>35</td>
</tr>
<tr>
<td>b) Fostering Sustainability and Resilience of Production Systems in Africa</td>
<td>10</td>
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<tr>
<td>* Other Global and Regional Programs</td>
<td>37</td>
</tr>
<tr>
<td>- Sustainable Forest Management</td>
<td>150</td>
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INVESTING IN OUR PLANET

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<table>
<thead>
<tr>
<th>LAND DEGRADATION</th>
<th>431</th>
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<tbody>
<tr>
<td><strong>STAR Country Allocations</strong></td>
<td>346</td>
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<td><strong>STAR Set-aside</strong></td>
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<td>- Convention obligations</td>
<td>15</td>
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<td>- Global and Regional Programs</td>
<td>50</td>
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<td>* Integrated Approach Programs</td>
<td>40</td>
</tr>
<tr>
<td>a) Fostering Sustainability and Resilience of Production Systems in Africa</td>
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<td>* Other Global and Regional Programs</td>
<td>10</td>
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<tr>
<td>- Sustainable Forest Management</td>
<td>20</td>
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<tr>
<td>CLIMATE CHANGE</td>
<td>1,260</td>
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<tr>
<td>----------------------------------------------------</td>
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<td><strong>STAR Country Allocations</strong></td>
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<td>- Convention obligations</td>
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<tr>
<td>- Global and Regional Programs</td>
<td>109</td>
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<tr>
<td>*Integrated Approach Programs</td>
<td>50</td>
</tr>
<tr>
<td>a) Sustainable Cities - Harnessing Local Action for Global Commons</td>
<td>40</td>
</tr>
<tr>
<td>b) Fostering Sustainability and Resilience of Production Systems in Africa</td>
<td>10</td>
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<tr>
<td>*Other Global and Regional Programs</td>
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</tr>
<tr>
<td>- Sustainable Forest Management</td>
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<tr>
<td>Category</td>
<td>Amount</td>
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<tr>
<td>------------------------------------------</td>
<td>--------</td>
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<td>CHEMICALS &amp; WASTE</td>
<td>554</td>
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<td><strong>Convention breakdown</strong></td>
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<tr>
<td>- POPs</td>
<td>375</td>
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<td>- Mercury</td>
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<td>- SAICM</td>
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<td>- ODS</td>
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<td><strong>Focal Area Programing</strong></td>
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<td>CORPORATE PROGRAMS</td>
<td>197</td>
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<tr>
<td>Country Support Program (CSP)</td>
<td>23</td>
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<tr>
<td>Cross Cutting Capacity Development (CCCD)</td>
<td>34</td>
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<tr>
<td>Small Grants Program</td>
<td>140</td>
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<td><strong>Corporate budget: Secretariat, STAP and Trustee</strong></td>
<td>106</td>
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<tr>
<td>Independent Evaluation Office</td>
<td>19</td>
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<tr>
<td><strong>TOTAL GEF Replenishment</strong></td>
<td>4,433</td>
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## Implementing GEF-6: Use of funds (recipient countries)

<table>
<thead>
<tr>
<th>COUNTRIES</th>
<th>GEF6 Allocations (US$mill)</th>
<th>Share</th>
</tr>
</thead>
<tbody>
<tr>
<td>SIDS/LDCs</td>
<td>700</td>
<td>30%</td>
</tr>
<tr>
<td>&quot;Big 5&quot;</td>
<td>631</td>
<td>27%</td>
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<tr>
<td>Other Countries</td>
<td>1007</td>
<td>43%</td>
</tr>
<tr>
<td><strong>TOTAL Country Allocations</strong></td>
<td><strong>2338</strong></td>
<td><strong>100%</strong></td>
</tr>
</tbody>
</table>

**... Of which**

<table>
<thead>
<tr>
<th></th>
<th>GEF6 Allocations (US$mill)</th>
<th>Share</th>
</tr>
</thead>
<tbody>
<tr>
<td>LICs</td>
<td>41</td>
<td>2%</td>
</tr>
<tr>
<td>LMICs</td>
<td>412</td>
<td>18%</td>
</tr>
<tr>
<td>UMICs</td>
<td>523</td>
<td>22%</td>
</tr>
<tr>
<td>HICs</td>
<td>32</td>
<td>1%</td>
</tr>
</tbody>
</table>

=> Trade-off: “Impact” vs ”equity”?
Relations with the Conventions (1 of 2)

• The GEF serves as "financial mechanism" to five conventions.

• The Memorandum of Understanding is the means through which the Conventions and the GEF cooperate.

• The conventions, for which the GEF serve as financial mechanism, provide broad strategic guidance to the GEF.

• The GEF Council responds to this broad guidance by developing operational criteria for GEF projects.
• The Secretariat of the Conventions and the GEF Secretariat consult as necessary in the guidance that the COPs provide.

• In particular, in accordance with the GEF project cycle, the Secretariat of the Conventions are invited to comment on the project proposals under consideration for inclusion in a proposed work programme.

• The GEF Secretariat prepares a report on GEF activities to be presented on each COP on a regular basis. This report is previously reviewed and approved by the Council.
LDCF and SCCF – Climate Change Adaptation

- Least Developed Countries Fund (LDCF) and Special Climate Change Fund (SCCF) established in 2001 under UNFCCC COP
- First multilateral funds to implement concrete adaptation actions on developing countries
- LDCF and SCCF provided vulnerable countries and communities, as well as the GEF Implementing Agencies, initial resources to finance a pioneering adaptation portfolio.
- Managed and administered independently from the GEF Trust Fund
GEF actively engages CSOs in its programs, projects and policies

The GEF has several policies for civil society participation

The GEF provides an opportunity for civil society to participate in many different ways:
- At the operational level - CSOs have participated in many projects
- At the political level – CSOs send representatives to Council Meetings and Assembly with the right to speak on all substantive issues
- CSOs have participated at every Expanded Constituency Workshop
- The NPFE process was designed to include CSO consultations

In addition, GEF Agencies have policies regarding the participation of civil society and the GEF also works to include their participation through those policies
GEF 2020 – Strategy and GEF 6 strategic priorities
Key Earth systems are near or beyond "tipping points"

Planetary Boundaries
A safe operating space for humanity

GEF 2020 Strategy

A new strategy aimed at making the GEF an even more centralized actor in the international environmental arena and at achieving impacts at the scale

Outline of GEF2020 Strategy

- Focus on drivers of environmental degradation
- Deliver integrated solutions, given that many global challenges are interlinked
- Forge close relationships with a variety of stakeholders
- Finance resilience and adaptation
- Ensure complementarity and synergies in climate finance
1. Address drivers of environmental degradation

**Underlying socioeconomic trends**
- Population growth
- Rising middle class
- Urbanization

**Indirect environmental drivers**
- Demand for food production
- Demand for buildings
- Demand for energy
- Demand for transportation
- Other

**Direct environmental drivers**
- Agriculture production processes that produce food
- Provision/use of transportation
- Construction & use of buildings & other infrastructure
- Production of electricity
- Other

**Environmental pressures**
- Pollution e.g., GHG’s & ozone-depleting substances
- Change in habitat and species loss
- Introduction of invasive species
- Over exploitation and harvesting
- Other

**Changes in state of environment**
- Atmosphere (climate)
- Biodiversity
- Land
- Oceans
- Freshwater

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Driver interventions
Pressure interventions

Changes in human welfare

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2. Deliver Integrated Solutions

- Example: Integrated approach programs (IAPs) in GEF-6:
  - Sustainable Cities
  - Deforestation out of Commodity Supply
  - Fostering Sustainability and Resilience for Food Security in Sub-Saharan Africa
- An increasing portfolio of multi-focal area projects and programs
3. Enhance Resilience

GEF Adaptation Program:
- LDCF, SCCF
- 124 countries worth US$1.2 billion

- National adaptation plans (NAPs)
- Ecosystem based adaptation
4. Ensure Complementarity in Environmental Finance

- Increasingly complex climate finance architecture
- GEF “niche”:
  -Transforming policy and regulatory environments; build institutional capacity
  -Demonstrate new technology and business models
  -De-risk partner investments
  -Build multi-stakeholder alliances
Implementing GEF-6

• Through *strategic partnerships*
• Address *global environmental issues* where a country plays a pivotal role
• Activities that *cut across multiple focal areas and sectors*, and promote integrated approach in achieving your national development objectives. Identify those areas in your National Plans where GEF can support policy, market or behavioral transformations.
• *Combine GEF resources with other existing resources* from other donors and the government, and thus leveraging the impact in advancing national environmental priorities.
Thanks for your attention.

Questions?