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GEF5 Strategy for Capacity Development:

Pilar Barrera
Operations Officer

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What is Capacity Development?

CAPACITY DEVELOPMENT:

Involving “the empowerment of societal actors through learning, knowledge, information and innovation to effect transformational and sustainable change in institutions, which in turn supports the achievement of the (development) goal”

World Bank Institute (2011): Steps for Designing a Results-Focused Capacity Development Strategy. A Primer for Development Practitioners Based on the Capacity Development and Results Framework



Rio Conventions

Four Pathways defined to develop capacity of countries to implement Rio Conventions (Council in 2003 C/22.8)

Capacity Development Pathways through



1.
**National
Capacity Self-
Assessments
NCSAs
(146
countries
funded)**



2.
**Enhanced
attention to
capacity
developmen
t in regular
projects**



3.
**Targeted
and cross-
cutting
capacity
developme
nt projects**



4.
**Program for
critical
capacity
development
activities in
LDCs & SIDS**



Operational Principles

- (a) Ensure national ownership and leadership
- (b) Ensure multi-stakeholder consultations and decision-making
- (c) Base capacity development efforts in self-needs assessment
- (d) Adopt a holistic approach to capacity building
- (e) Integrate capacity development in wider sustainable development efforts
- (f) Promote partnerships
- (g) Accommodate the dynamic nature of capacity development
- (h) Adopt a learning-by-doing approach
- (i) Combine programmatic and project-based approaches
- (j) Combine process as well as product-based approaches
- (k) Promote regional approaches Adopt a learning-by-doing approach



Development Indicators to be Applied for

- (a) Awareness and knowledge;
- (b) national policy, legal and regulatory frameworks;
- (c) institutional mandates, coordination, and processes for interaction and cooperation between all stakeholders;
- (d) information management, monitoring and observation;
- (e) mobilization of science in support of decision making;
- (f) financial resources and technology transfer;
- (g) incentive systems and market instruments;
- (h) negotiation skills;
- (i) cooperation and networking within regions;
- (j) institutional management and performance; and
- (k) individual skills and motivation in key institutions.



Strategic Objectives for Cross-cutting Capacity Development in GEF-5

(available 44 mln USD for CD2,CD3 and CD4)

- **CD 1:** Enhance capacities of stakeholders for engagement through consultative process (NDI, CSP, SGP and focal area projects)
- **CD 2:** Generate, access and use of information and knowledge
- **CD 3:** Strengthened capacities for policy and legislation development for achieving global benefits
- **CD 4:** Strengthened capacities for management and implementation of convention guidelines
- **CD 5:** Capacities enhanced to monitor and evaluate environmental impacts and trends
 - Strategy details available at: http://www.thegef.org/gef/GEF5_Capacity_Development_Strategy



Generic Requirements for CD Projects

- Be consistent with strategic objectives for cross-cutting capacity development of the GEF (http://www.thegef.org/gef/GEF5_Capacity_Development_Strategy)
- Have to be multifocal (to benefit at least three focal areas);
- Priorities have been identified through NCSA process;
- include indicators to measure progress and achievement agreed with the recipient country;
- Provide measures to retain capacity developed;
- Provide co-financing at least with ratio 1:1;
- Normally should be medium or full size projects



Examples of Funded Projects- GEF5

- Cote d'Ivoire Strengthened Environmental Management Information System for Coastal Development to meet Rio Convention Objectives
- Burkina Faso Generating Global Environmental Benefits from Improved Local Planning and Decision-making Systems in Burkina Faso
- Ukraine Integrating Rio Convention Provisions into Ukraine's National Environmental Policy Framework
- Togo Strengthening National and Decentralized Management for Global Environmental Benefits
- Costa Rica Capacity Building for Mainstreaming MEA Objectives into Inter-ministerial Structures and Mechanisms
- Afghanistan Developing Core Capacity for Decentralized MEA Implementation and Natural Resources Management in Afghanistan



Thank you for your attention!

Questions?

The Global Environment Facility

1818 H Street, NW, Mail Stop P4-400 - Washington, DC 20433 USA

Tel: (202) 473-0508 Fax: (202) 522-3240/3245

www.thegef.org / secretariat@thegef.org



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