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GEF-6 Policies

**GEF Expanded Constituency
Workshop**

**Lake Victoria, Uganda
July 21-22, 2015**

Overview

Background: GEF Policies are normally submitted to Council for approval via Council papers but do not capture discussions/views of Council during the meeting.

GEF Policy Framework:

In GEF-6: Types and Hierarchy of Policy and Procedure Framework was established

Policy: A statement of principles or values approved by the GEF Council that mandates or constrains activities undertaken to achieve the institutional goals of the GEF Secretariat.

Guideline: Additional information to help explain or implement a particular policy. Guidelines are approved by the CEO with responsibility of the relevant Policy or relevant operational area or subject matter.



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Agency Fees

Since January 2013, a new fee structure approved by Council in the June 2012 meeting has been implemented:

- For all projects (FSPs, MSPs and EAs) where GEF project grants are up to, and including, \$10 million, GEF Partner Agencies will receive fees at **9.5 percent** of the grant; for GEF Project grants above \$10 million, GEF Partner Agencies will receive fees at **9.0 percent** of the grant;
- Agency fees for Programmatic Approaches follow the same fee level similar to all other projects (approved by Council in October 2014);
- The fees for the Small Grants Program are set at **4.0 percent**;
- For new GEF Project Agencies accredited under the Pilot Program on Accrediting GEF Project Agencies, fees will be at **9.0 percent** of the GEF project/program grant, irrespective of project grant amount; GEF Project Agencies will not be compensated for any involvement in GEF “corporate activities” in which they may choose to become involved;
- The fees for PPGs follow the same rate of the related project.



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Project Cancellation Policy

Objectives:

- to improve the GEF's operational efficiency, particularly in the amount of time it takes to prepare and deliver projects;
- to ensure that GEF-financed projects remain relevant to the objectives and priorities of the GEF and recipient countries.

Using **Phased approach** to implement the policy:

- After **12 months** of Council approval of PIF, a notification will be sent to the Agency and OFP of the recipient country to alert them of the remaining 6 months for submission of project for CEO endorsement;
- After **18 months**, the Secretariat informs all relevant stakeholders on the cancellation of the project.
- The Secretariat will consider exception to the above cancellation only on extraordinary events, and if agreed, will notify Council.
- Cancelled projects may be resubmitted within a year for consideration of CEO endorsement if resources are available.



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GEF Co-financing Policy.....(3)

- **Definition:** “resources that are additional to the GEF grant¹ and that are provided by the GEF Partner Agency itself and/or by other non-GEF sources that support the implementation of the GEF-financed project and the achievement of its objectives.”
- Co-financing is required for all GEF full-sized and medium-sized projects and programmatic approaches (PAs). Optional for enabling activities.
 - ✓ PIFs & PAs must list **indicative** co-financing for work program inclusion.
 - ✓ For CEO endorsement, Agencies must **confirmed** co-financing and provide evidence.
 - ✓ Agencies must list co-financing by source and type.
 - ✓ Secretariat reviews proposals for consistency with Policy.

¹ GEF financing (e.g. the GEF grants) is determined on the basis of the agreed incremental cost principle.



Co-financing

- No minimum thresholds and/or specific co-financing sources set for individual projects or work programs
- GEF Council guidance: ambition for the overall GEF portfolio to reach a co-financing ratio of at least 6:1
- Countries and agencies are encouraged to secure high level of co-financing



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GENDER EQUALITY ACTION PLAN (GEAP)

Introduction

- ***GEF Policy on Gender Mainstreaming (2011)***

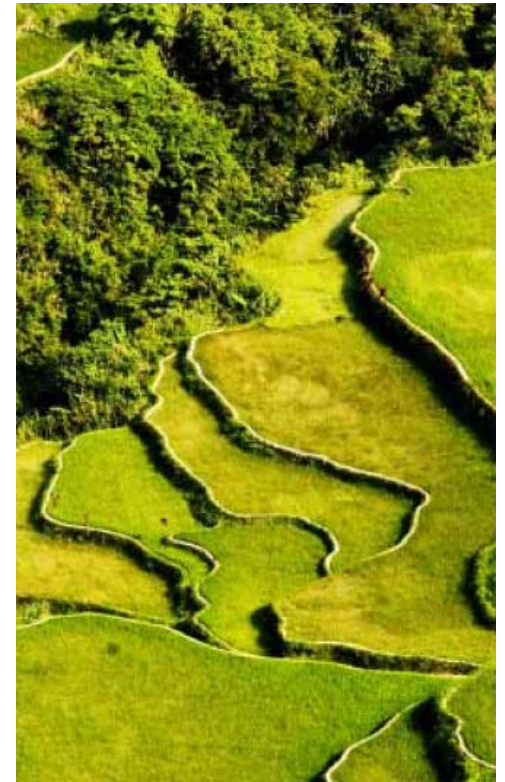
“The GEF recognizes that gender equality is an important goal in the context of the projects that it finances because it advances both the GEF’s goals for attaining GEBs and the goal of gender equity and social inclusion”.

- ***The GEF 2020 Strategy***

Commits to further strengthen GEF’s focus on gender equality and women’s empowerment.

- ***GEF-6 Policy Recommendations:***

The GEF Secretariat, together with GEF Agencies and other relevant partners, develop an action plan on gender to enhance gender mainstreaming.



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GEF Policy on Gender Mainstreaming

- ***Policy on Gender Mainstreaming*** (PL/SD/02) was adopted (2011)
 - GEF's commitment to enhancing gender equality through GEF operations.
 - The Policy calls on the GEF Agencies to have policies, strategies, or action plans that meet the seven minimum standards:
 - 1) Institutional capacity for gender mainstreaming
 - 2) Consideration of gender elements in project design, implementation and review
 - 3) Undertake project gender analysis
 - 4) Measures to minimize/mitigate adverse gender impacts
 - 5) Integration of gender sensitive activities
 - 6) Monitoring and evaluation of gender mainstreaming progress
 - 7) Inclusion of gender experts in projects\



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GEF Gender Equality Action Plan (GEAP)

- ❑ **Concrete road map to implement the GEF Policy on Gender Mainstreaming** that builds on the existing and planned gender strategies and plans of the GEF Agencies
- ❑ **Goal:** to operationalize the mainstreaming of gender in GEF policy and programming to advance both the GEF's goals for attaining GEBs and the goal of gender equality and women's empowerment.
- ❑ **Duration:** Initially during the GEF-6 period (FY15-18).



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Key elements

1. Project Cycle

- Develop a Guideline on Mainstreaming Gender in GEF Project Cycle
- Incorporate in Project Templates and Guidelines

2. Programming and Policies

- Support gender responsive projects, based on country demand and GEF-6 Strategy
- Mainstream gender in key GEF Strategy and Policy documents.

3. Knowledge Management

- Enhance KM on gender equality, in line with new KM strategy (Knowledge products, webpage, etc)

4. Results Based Management

- Strengthen GEF-wide accountability for gender mainstreaming by having Corporate and Focal Area level indicators and targets.

5. Capacity Development

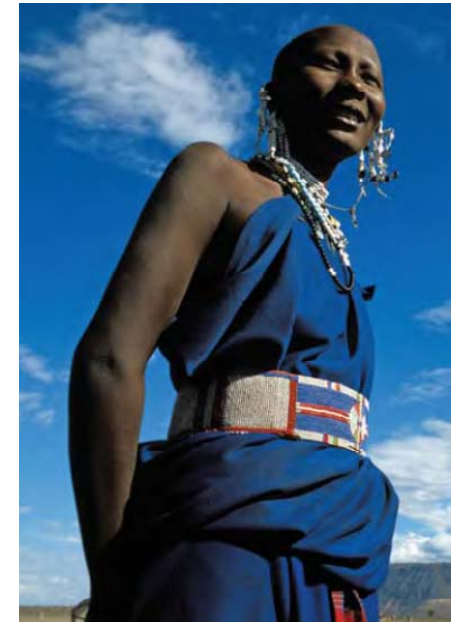
- Strengthen capacity at GEFSEC institution and staff levels, OFPs and partners at the country level



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GEF-6 Core Gender Indicators

1. Percentage of projects that have conducted **gender analysis** during project preparation.
2. Percentage of projects that have incorporated **gender responsive project results framework** (e.g. gender responsive output, outcome, indicator, budget, etc).
3. Share of **women and men as direct beneficiaries** of project.
4. Share of **convention related national reports incorporated gender dimensions** (e.g. NBSAP, NAPA, TDA/SAP, etc.).
5. Percentage of **monitoring and evaluation reports** that incorporates gender equality/women's empowerment issues and assess results/progress.



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Thank you for your attention!

Questions?



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