



The GEF M&E Policy

GEF Familiarization Seminar



GLOBAL ENVIRONMENT FACILITY
EVALUATION OFFICE

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Overview

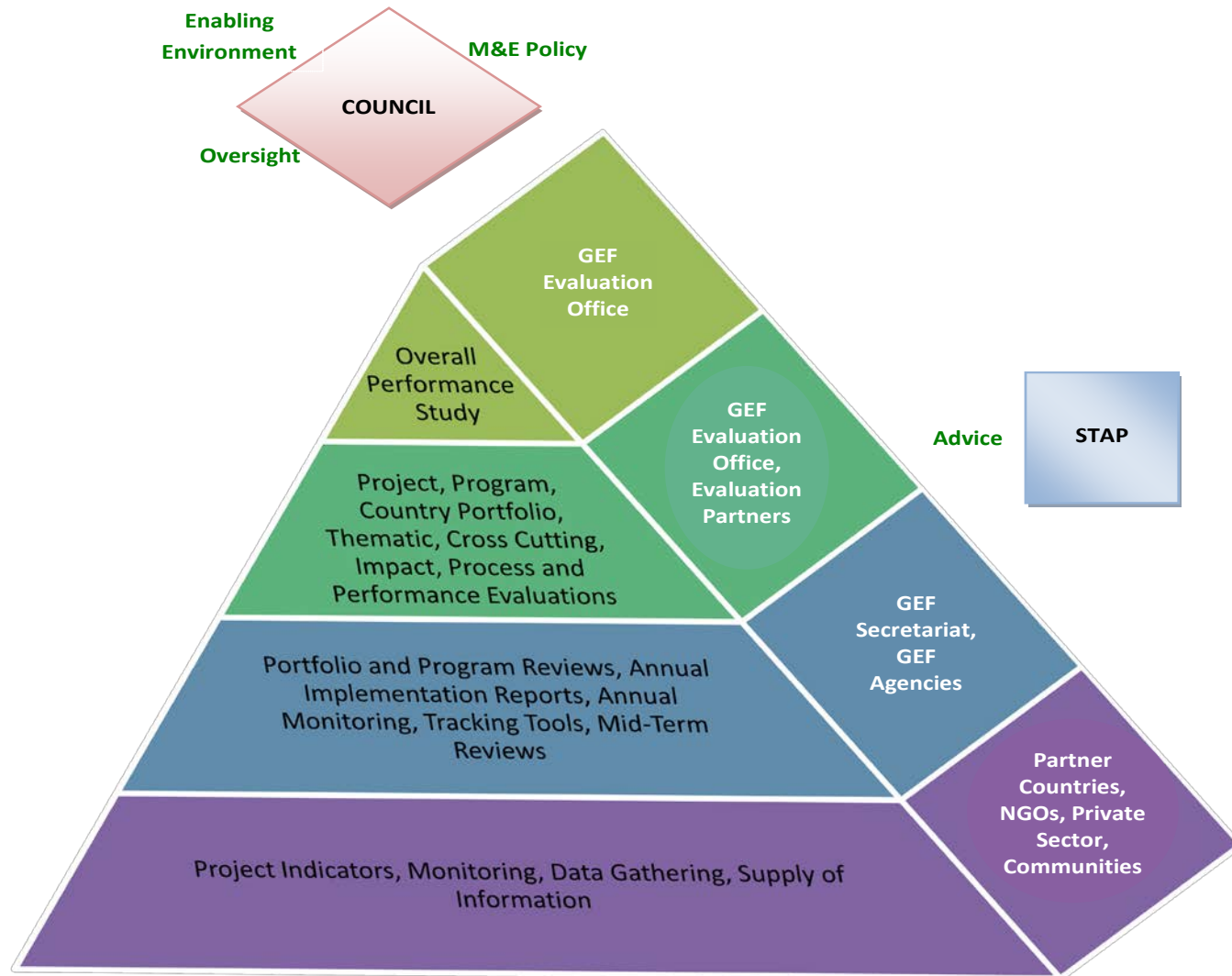
- Background
- Key Roles and Responsibilities
- M&E Principles and Criteria
- M&E Minimum Requirements

Background

- GEF Monitoring and Evaluation Policy was approved by Council in November 2010
 - Based on previous GEF M&E Policy (2006)
- Policy sets norms and standards for M&E

Key Roles and Responsibilities

M&E Levels and Responsible Agencies



Partner	Key Roles and Responsibilities
GEF Council	Policy making on M&E/ Oversight of M&E functions / Enabling environment for M&E
GEF Evaluation Office	Independent GEF evaluation / Oversight of project and program evaluations Oversight of the relevance, performance, and overall quality of monitoring systems Setting of minimum requirements for GEF M&E/ Evaluative knowledge sharing and dissemination
GEF Secretariat	Establishing results frameworks at the focal area and corporate levels GEF portfolio monitoring across Agencies and focal areas Reporting on and incorporating lessons from portfolio monitoring Review of GEF M&E requirements in project and program proposals Coordination of partnership knowledge management activities
GEF Agency operational units	Monitoring of the Agency GEF portfolio Reporting on Agency project, program, and portfolio progress, results, learning, and lessons/ Ensuring monitoring at the project and program level / Adaptive management of project and program implementation/ Systematic involvement of national partners and sharing of project M&E information at the national level
GEF Agency evaluation units	Project and program and/or corporate Agency independent evaluations Mainstreaming of the GEF into relevant Agency evaluations
STAP	Advise on scientific/technical matters in M&E Support to scientific and technical indicators Support knowledge management and information sharing
GEF operational focal points	Collaboration on M&E at the portfolio, project, and program levels
Other stakeholders (ie, NGOs, CSOs, academia)	Participation in monitoring activities and mechanisms Provision of views and perceptions to evaluations

M&E Principles and Criteria

M&E Norms and Principles

- The Policy is based on international norms and standards in evaluation: OECD/DAC, American Evaluation Association, UNEG, ECG, international benchmarks
- No internationally accepted norms and standards for monitoring, except a general agreement that monitoring should be as "SMART" as possible
- Planning for M&E must be an explicit part of planning and budgeting at the project level and for the organization as a whole

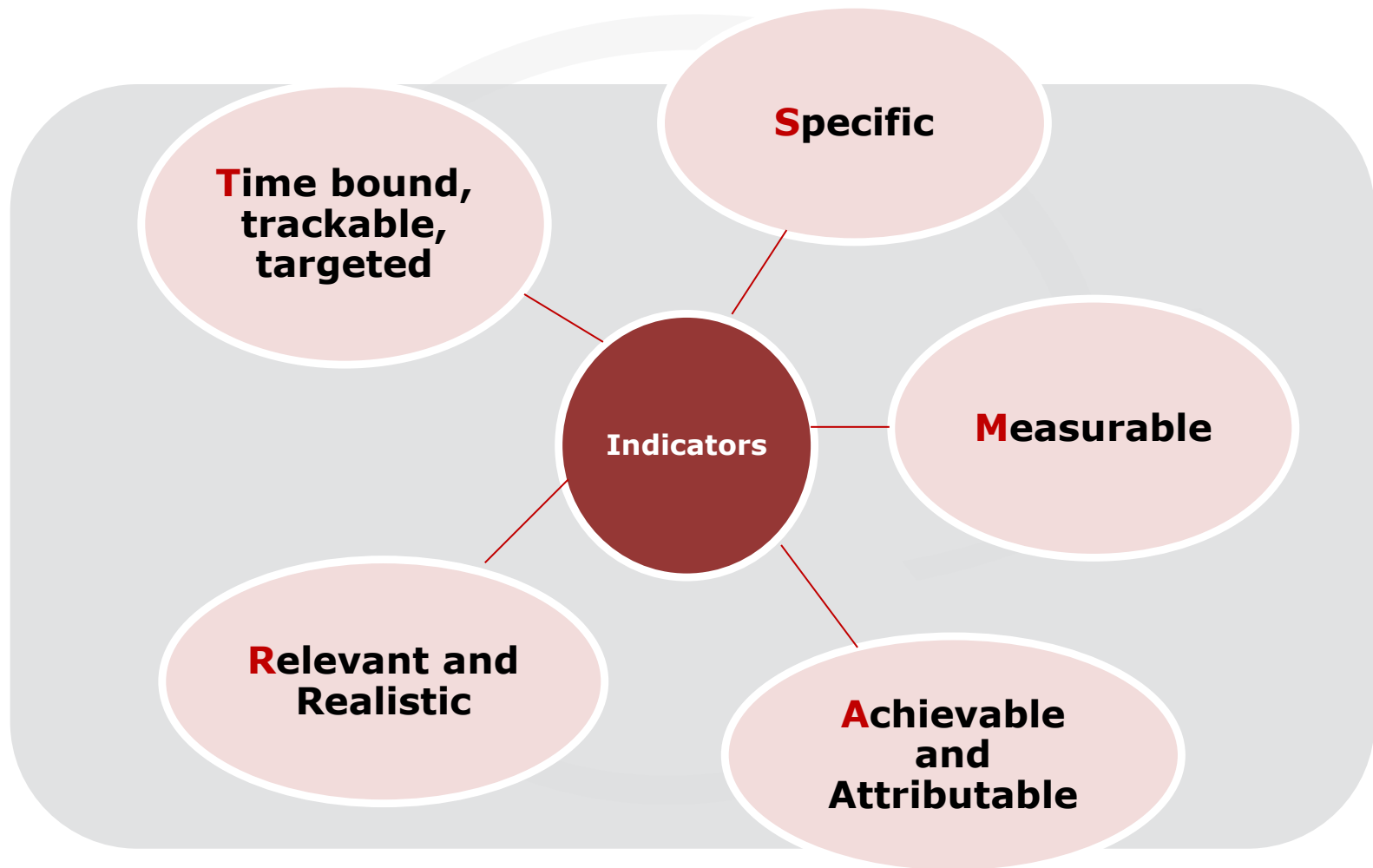
Evaluation Principles



Evaluation Criteria

- **Relevance.** The extent to which the activity is suited to local and national development priorities and organizational policies, including changes over time
- **Effectiveness.** The extent to which an objective has been achieved or how likely it is to be achieved
- **Efficiency.** The extent to which results have been delivered with the least costly resources possible; also called cost effectiveness or efficacy
- **Results/Impacts.** The positive and negative, and foreseen and unforeseen, changes to and effects produced by a development intervention
- **Sustainability.** The likely ability of an intervention to continue to deliver benefits for an extended period of time after completion. Projects need to be environmentally as well as financially and socially sustainable

Monitoring Criteria: SMART indicators



M&E Minimum Requirements

M&E Minimum Requirement 1

Design of M&E Plans:

- Concrete and fully budgeted M&E plan by CEO endorsement for FSP and CEO approval for MSP
- SMART indicators
- Projects should align with GEF focal area results frameworks
- Baseline data for M&E by CEO endorsement
- Mid Term Reviews (where required or foreseen) and Terminal Evaluations included in plan
- Organizational set up and budget for M&E

M&E Minimum Requirement 2

Application of M&E Plans:

- Project/program monitoring and supervision will include implementation of the M&E plan:
 - SMART indicators for implementation
 - SMART indicators for results / impacts
 - Baseline for the project fully established and data compiled to review progress
 - Organizational set up for M&E is operational and its budget is spent as planned

M&E Minimum Requirement 3

Project/Program Evaluations:

- All full sized projects and programs will be evaluated at the end of implementation
- Evaluations should:
 - Be independent of project management or revised by GEF Agency evaluation unit
 - Apply norms and standards from the GEF Agency
 - Assess, as a minimum, outputs and outcomes, likelihood of sustainability, compliance with M&E minimum requirements 1 & 2
 - Contain: data on the evaluation itself (including TORs); basic project data, lessons
 - Should be sent to GEF EO within 12 months of completion of project/program

Guidelines for evaluating MSPs/EAs will be developed

M&E Minimum Requirement 4

Engagement of Operational Focal Points:

- M&E plans should include how OFPs will be engaged
- OFPs to be informed on M&E activities, including MTRs and TEs, receiving drafts for comments and final reports
- OFPs invited to contribute to the management response (where applicable)
- GEF Agencies keep track of the application of this requirement in their GEF financed projects and programs



Thank you

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