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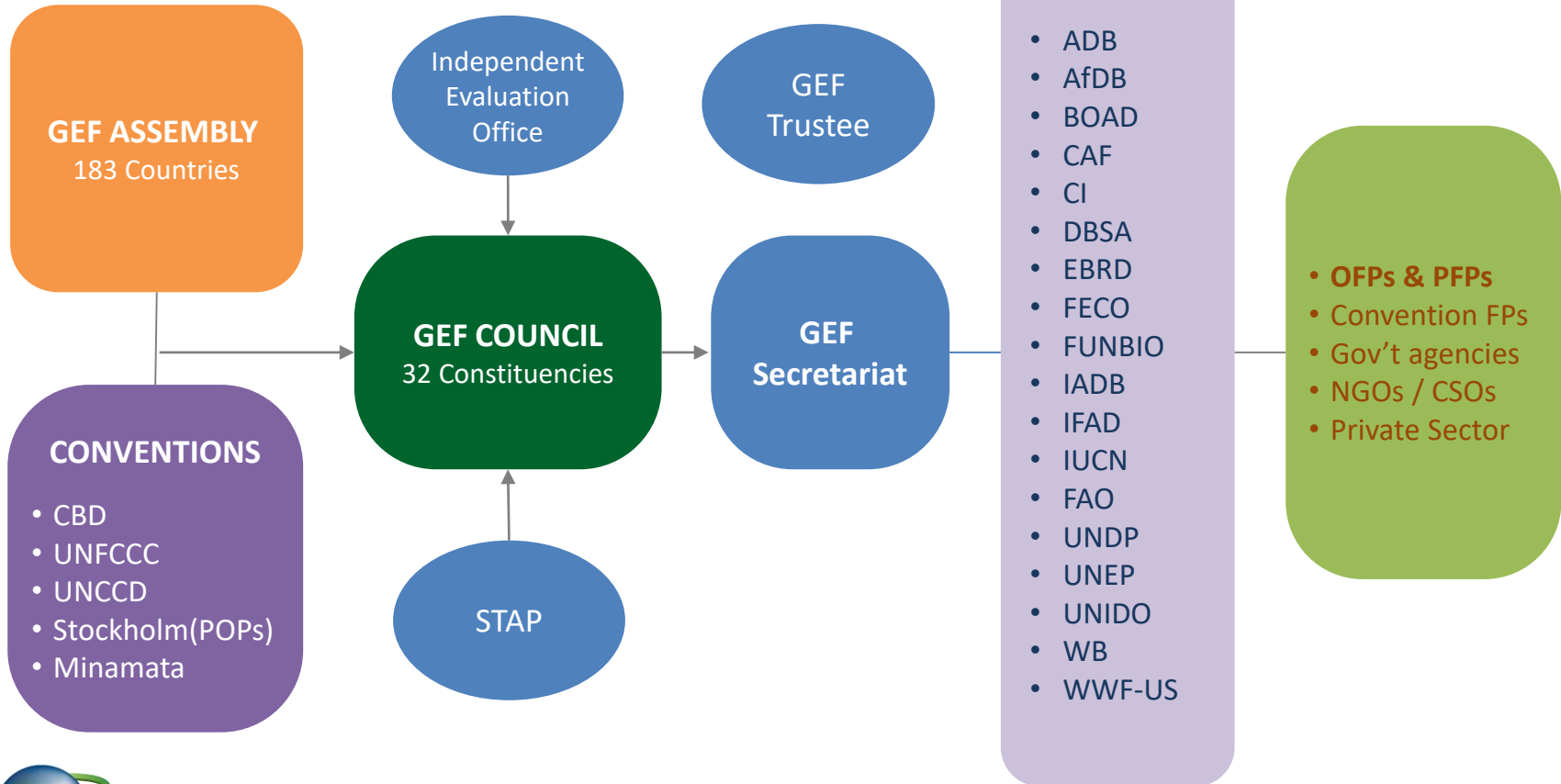
[www.theGEF.org](http://www.theGEF.org)

# **GEF Project & Program Cycle & Key Policies**

**GEF-7 National Dialogue in Ethiopia**

**February 18-19, 2019**

# GEF Partnership



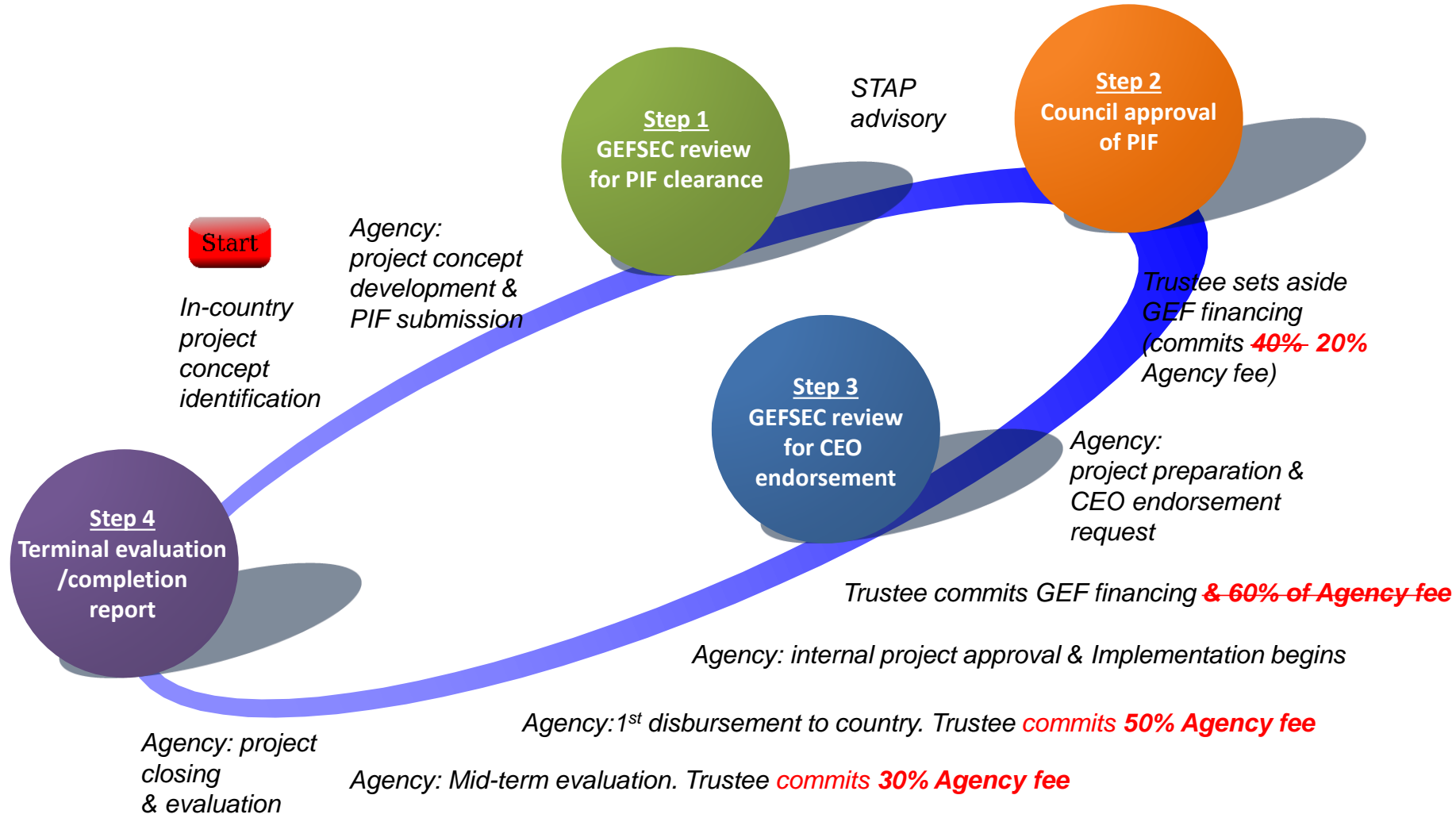
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# GEF Project Modalities

- **Full-Sized Project (FSP):** financing over US\$2M
- **Medium-Sized Project (MSP):** financing of US\$2 or less
- **Program:** a longer term and strategic arrangement of individual yet interlinked projects that aim at achieving large-scale impacts on the global environment
- **Enabling Activity (EA):** preparation of a plan, strategy or report to fulfill commitments under a Convention

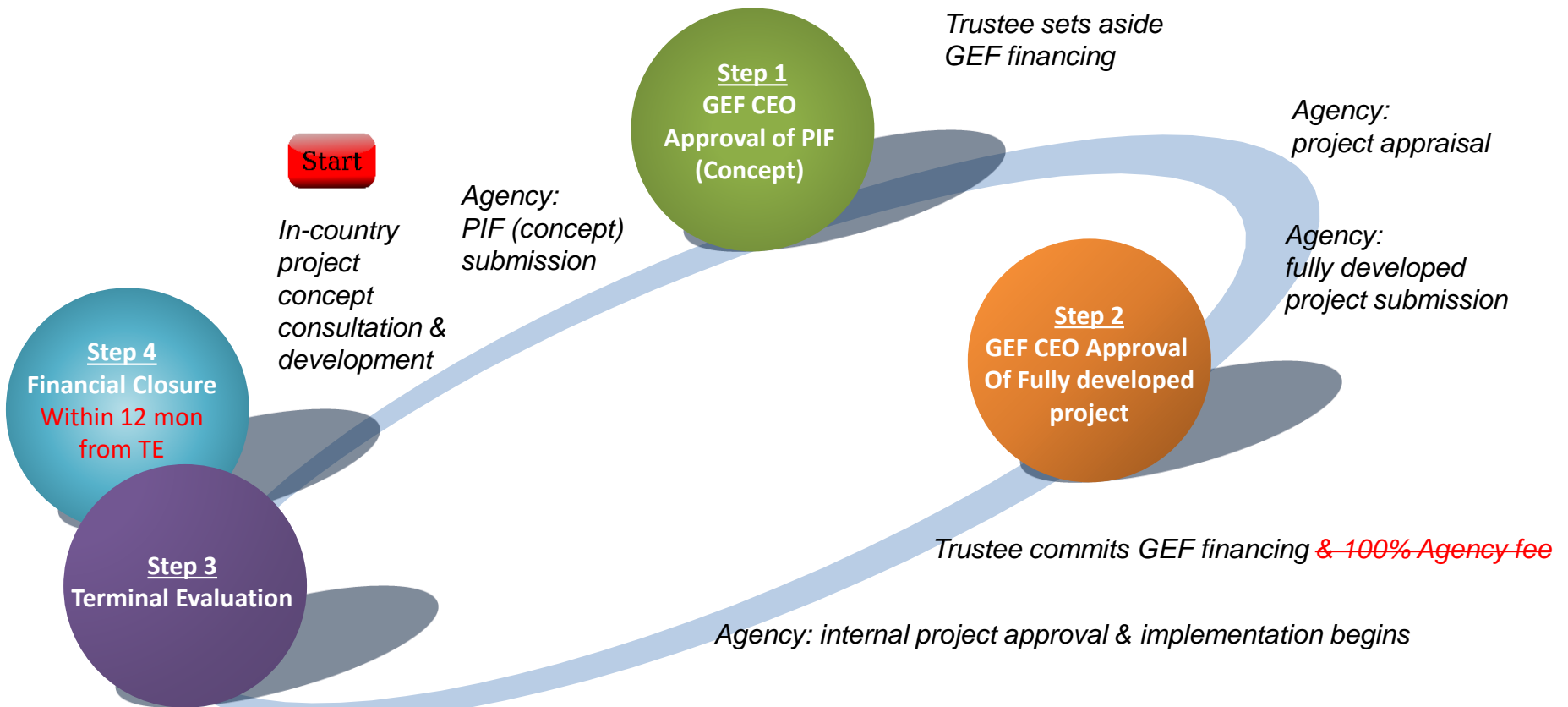


# Full-Sized Project Cycle



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# Medium-Sized Project (2 step approval)



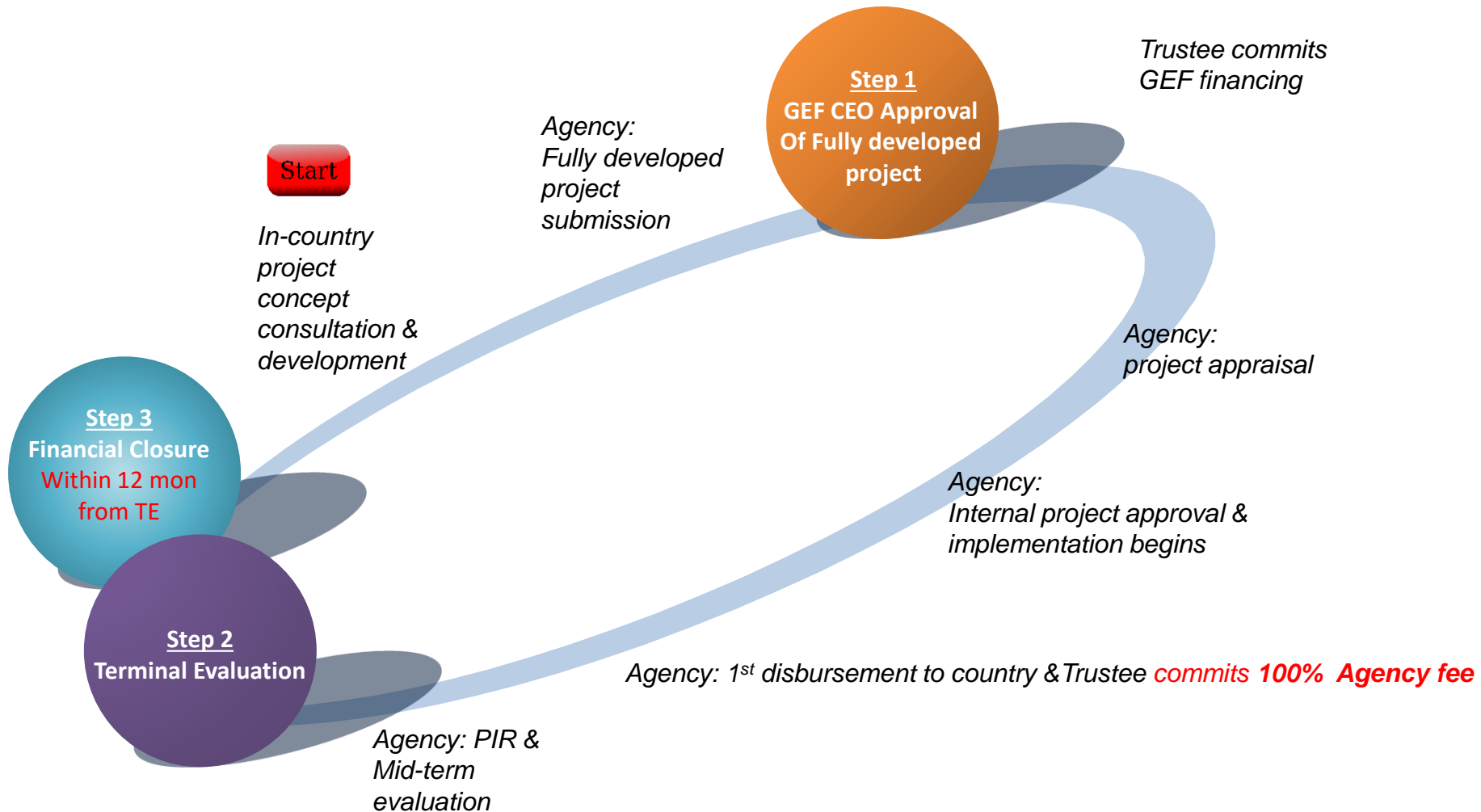
*Agency: PIR & Mid-term evaluation*

*Agency: 1<sup>st</sup> disbursement to country & Trustee **commits 100% Agency fee***



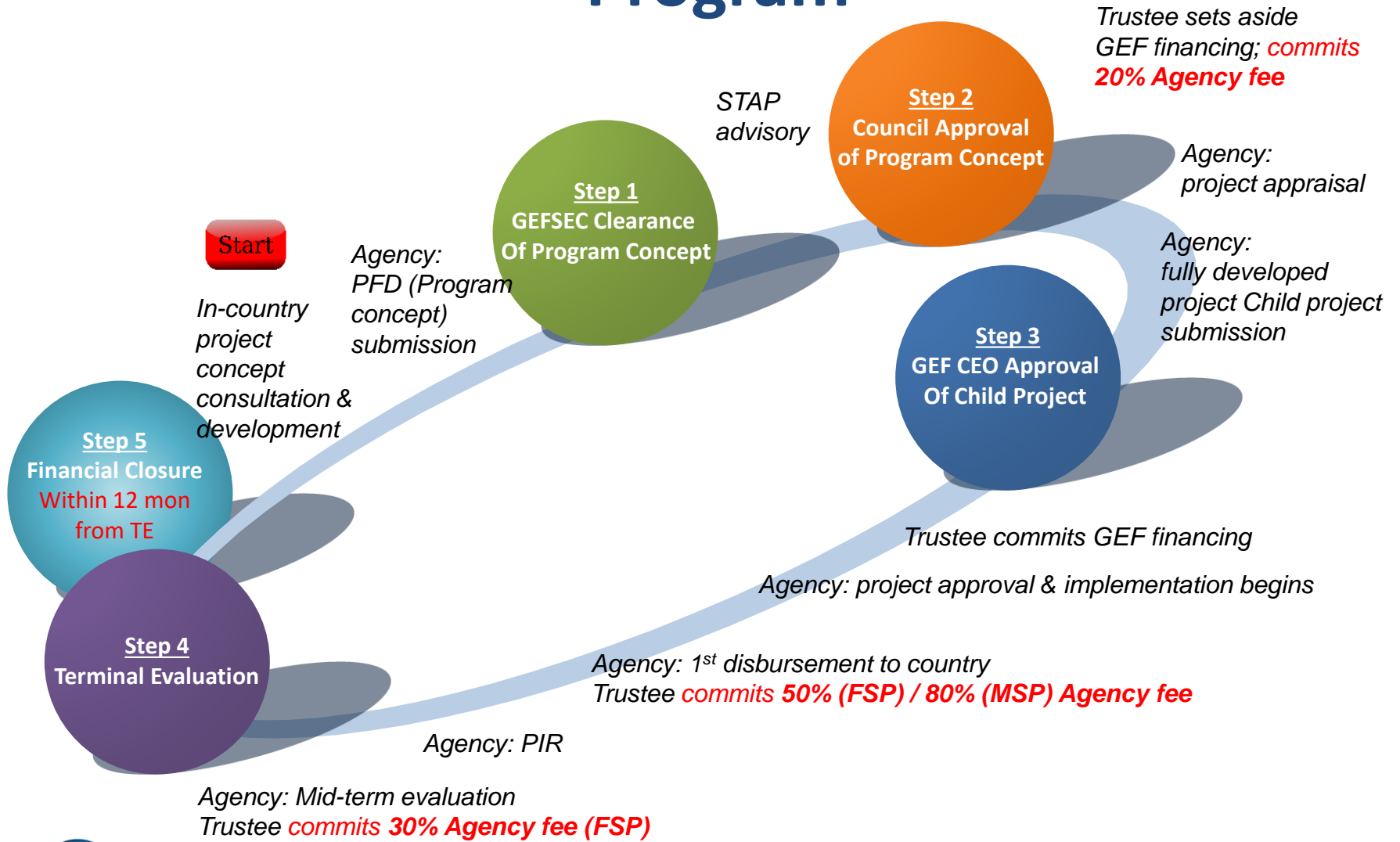
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# Medium-Sized Project (1 step)



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# Program



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# GEF-7 policies focus

The **Policy Recommendations for GEF-7** are aimed at enhancing the effectiveness and efficiency in the following areas:

- resource allocation
- optimizing the use of GEF resources in different countries
- Results
- Partnership
- Governance
- private sector engagement
- operational efficiency and transparency (fees & cancellation)
- improved management of data and information
- gender equality
- knowledge management, and
- responsible investment strategy
- [Practical Steps to Improve Coordination and Workflow in the GEF Partnership](#)



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# Key GEF-7 Policies

Project identification	Concept submission (PIF/PFD)	Fully developed project (CEO approval/endorsement)	Implementation (PIF, MTR, TR)
<h2>Gender Equality Policy</h2> <p>53<sup>rd</sup> Council Meeting, <a href="https://www.thegef.org/council-meeting-documents/policy-gender-equality">https://www.thegef.org/council-meeting-documents/policy-gender-equality</a></p>			
<p>Meaningful consultations w/ stakeholders on the proposed project/program, early screening</p>	<p>Indicative info on gender considerations &amp; any measures to address these, including process to collect sex-disaggregated data &amp; gender info</p>	<ul style="list-style-type: none"> <li>(a) Gender analysis or equivalent socio-economic assessment that identifies and describes any gender differences, gender differentiated impacts &amp; risks, and opportunities to address gender gaps and promote the empowerment of women, as relevant to the proposed activity</li> <li>(b) Any corresponding gender-responsive measures to address differences, identified impacts &amp; risks, and opportunities through a gender action plan or equivalent</li> <li>(c) If gender-responsive measures have been identified, results framework or logical framework with actions, gender-sensitive indicators &amp; sex-disaggregated targets</li> </ul>	<p>Annual reporting on, including through PIRs, mid-term reviews, and terminal evaluations on progress and results</p>



Project identification	Concept submission (PIF/PFD)	Fully developed project (CEO approval/endorsement)	Implementation (PIF, MTR, TR)
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## Stakeholder Engagement Policy

<https://www.thegef.org/council-meeting-documents/policy-stakeholder-engagement> (53rd CM)

<p>Meaningful consultations w/ stakeholders on the proposed project/program, early screening</p>	<p>Indicative info on stakeholder engagement, including any consultations conducted during project development, as well as information on how Stakeholders will be engaged in the project/ program, and means of engagement</p>	<p>Stakeholder engagement plans or equivalent, with stakeholders who have been and will be engaged, means of engagement, dissemination of information, roles and responsibilities, resource requirements, and timing throughout the project cycle</p>	<p>Annual reporting on, including through PIRs, mid-term reviews, and terminal evaluations on progress, challenges and outcomes</p>
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## Environment & Social Safeguard Policy (in draft)

<https://www.thegef.org/documents/environmental-and-social-safeguard-standards>

<p>Meaningful consultations w/ stakeholders on the proposed project/program, early screening</p>	<p>Indicative info on any environmental and social risks and potential impacts associated with the proposed project/ program; and any measures to address these</p>	<p>Additional information on relevant risks and impacts, and measures to address these, including any assessments carried out, and any environmental and social management plans or the equivalent</p>	<p>Reporting at mid-term and completion on the implementation of relevant environmental and social management measures</p>
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## Co-financing Policy

<http://www.thegef.org/council-meeting-documents/updated-co-financing-policy> (54th CM)

	<p>Indicative information on the expected amounts, sources and types of co-financing, and the sub-set of such co-financing that meets the definition of "investment mobilized"</p>	<p>Confirmed information on the expected amounts, sources and types of co-financing and "investment mobilized", with supporting evidence</p>	<p>Reporting at mid-term and completion on actual amounts, sources and types of co-financing and investment mobilized</p>
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# Co-Financing Policy

- Co-Financing: financing that is additional to GEF financing (excludes recurrent expenditures)
- Overall GEF portfolio: at least **7:1**
- Upper-Middle Income Countries and High-Income Countries -- that are not Small Island Developing States or **Least Developed Countries** -- at least **5:1**



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# Co-Financing in Ethiopia

## Portfolio by Phases (as of February 2019)

	Number of projects	GEF Grant w/o agency fee (\$million)	Co-finance (\$ million)	Ratio of Co-financing
Pilot Phase	1	2.5	0.0	<b>0.0</b>
GEF - 1	1	0.2	0.0	<b>0.0</b>
GEF - 2	2	2.1	5.0	<b>2.3</b>
GEF - 3	7	15.9	235.6	<b>14.9</b>
GEF - 4	6	25.2	80.2	<b>3.2</b>
GEF - 5	7	26.5	171.6	<b>6.5</b>
GEF - 6	8	48.3	361.2	<b>7.5</b>
<b>Total</b>	<b>32</b>	<b>120.7</b>	<b>853.5</b>	<b>7.1</b>

# Co-Financing in Ethiopia

## Portfolio by Focal Area (as of February 2019)

Focal Area	Number of projects	GEF Grant w/o agency fee (\$million)	Cofinance (\$ million)	Ratio of Cofinancing
BD	9	30.7	134.2	4.4
CC	13	49.5	418.8	8.5
LD	2	13.4	50.1	3.7
MFA	4	23.3	239.6	10.3
POP	3	1.7	2.2	1.3
IW	1	2.0	8.5	4.3
<b>Total</b>	<b>32</b>	<b>120.7</b>	<b>853.5</b>	<b>7.1</b>

# Changes to STAR

As of July 1, 2018

- Increasing flexibility – full flexibility retained for countries with allocations  $\leq$  US\$7m; **for others (Ethiopia), marginal adjustments of up to 15% of total allocation**
- Adjustments to the minimum allocation floors in each focal area (\$m):

	Non-LDCs		LDCs	
	GEF-6	GEF-7	GEF-6	GEF-7
Biodiversity	1.5	2	2	3
Climate Change	2	1	3	1.5
Land Degradation	0.5	1	1	1.5
<b>Aggregate Floor</b>	4	4	6	6

# GEF-7 STAR Allocation for Ethiopia (\$m)

GEF-7 STAR		FOLUR	SGP	Remaining
Climate Change	3.76		0.5	3.26
Biodiversity	11.5	5.73	0.5	5.3
Land Degradation	6.01	3.53		2.48
<b>Total</b>	<b>21.3</b>	<b>9.26</b>	<b>1</b>	<b>11.04</b>
Fully Flexible	no			
Marginal Adjustment*	2.77			

**Other windows – IW, C&W, NGI**

**Impact Programs**



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# GEF Small Grants Programme (SGP)

- Implemented by the UNDP since 1992
- Only window for CSOs/CBOs
- Decentralized structure
  - Decision making body: NSC- participating countries
  - National Steering Committees (NSC) supported by Technical Advisory Groups (TAGs)

**Core Funding: \$128 M for GEF-7**

Countries < \$15 M in STAR:

Up to 10% of STAR



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# Size of SGP Grants

- Planning Grants-Maximum \$5K
- Regular Grants-Maximum \$50K
- Strategic Grants-Maximum \$150K
- Global/Regional Grants: \$250k to 500k
- Innovation Programme



# GEF-7 Country Support Program (CSP)

**Objective:** Strengthen capacity of GEF recipient country Focal Points, Convention Focal Points, civil society and GEF Agencies to understand the GEF policies and programming strategy; assist recipient countries with use of GEF resources effectively and efficiently

## CSP Tools:

- National Dialogues (National Level)
- Workshops (Multilateral level)
- Constituency Meetings
- Introduction Seminars
- Pre-Council Meetings for Recipient Country Council Members & Alternates



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