GEF Highlights of the Last Year

East Africa Constituency Workshop
18-21 February 2020
Nairobi, Kenya
Objective

• To update participants on significant and relevant GEF milestones and happenings in the last year
Two Work Programs of great significance have been approved

- The second and third work programs of the GEF-7 cycle
- Moving forward the GEF-7 impact programs

- An integrated, drivers-driven approach to programming
- Engaging with new and diverse stakeholders and sectors

- Largest-ever work program approved at the 56th Council
- First 3 GEF-7 NGI-funded projects
The GEF-7 Impact Programs are almost fully populated

- **Sustainable Forest Management for Major Biomes**
  - Dryland Sustainable Landscapes
  - The Congo Basin Sustainable Landscapes
  - Amazon Sustainable Landscapes Program

- **Sustainable Cities**

- **Food Systems, Land Use, and Restoration**
The Africa region has figured significantly in both work programs...

$120 Million in the December work program

$284.6 Million in the June work program
...and is a major participant in some key GEF-7 Programs

• Africa mini-grids
• Congo Basin Sustainable Landscapes
• Drylands Sustainable Landscapes
• Global Wildlife
We continue to make significant progress towards our GEF-7 results targets.
We are adopting new policies, standards, guidelines and strategies

- Monitoring Policy
- Updated Minimum Fiduciary Standards for GEF Partner Agencies
- Guidelines on Environmental and Social Safeguards
- Private Sector Engagement Strategy (Under Consultation)
The Monitoring Policy
A new monitoring policy tracks implementation progress. It provides requirements aligned to agency’s practices.

- Concept approval
  - Results framework
  - Expected results includes at least one core indicator

- CEO endorsement
  - Results framework
  - Expected results from core indicators
  - Monitoring and Evaluation Plan

- Implementation progress
  - Annual Project Implementation Report
  - Mid-Term Review
  - Terminal Evaluation
Updated Minimum Fiduciary Standards for GEF Partner Agencies
Minimum Fiduciary Standards for the 18 GEF Partner Agencies

Council Approves Minimum Standards

Partner Agencies apply their Policies and Procedures

Executing Agencies are overseen by Partner Agencies
Minimum Fiduciary Standards Update: What's new?

I. Project Activity Processes and Oversight Criteria
- Project Appraisal Standards – *Gender added*
- Procurement Processes – *Expanded and clarified*
- Project Completion and Financial Closure – *New!*
- Evaluation Function – *Expanded per new Policy*

II. Governance Framework Criteria
- External Financial Audit – *Audit Committee role added*
- Financial Management and Control Frameworks – *Anti-fraud controls added*
- Oversight of Executing Entities – *New!*
- Financial Disclosure - *Conflict of interest added*
- Code of Ethics/Conduct - *Updated with best practice*
- Internal Audit – *Updated to include independence*
- Investigation Function – *Expanded and clarified*
- Hotline and Whistleblower Protection – *Expanded and clarified*
Special Cases: Reporting Misuse of Funds

• Accountable to GEF Partner Agency
• Addresses any issue in accordance with Executing Agency Policies and Procedures

GEF Partner Agency

• Accountable to GEF Council
• Addresses any issue in accordance with Partner Agency Policies and Procedures

Executing Agency in the Recipient Country

• May request additional information (directly or through Trustee) or special audit
• May instruct Trustee to suspend cash transfers

GEF Council

GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

www.theGEF.org
“... explicitely precludes the merging or crossing over of the functions of the GEF Partner Agencies and project executing agencies as they are distinct and separate”.

“... the agency that undertakes project execution reports and is responsible to the agency that carries out project implementation, with the latter overseeing the executing entity and having accountability to the GEF Council”.

Fee Policy for GEF Partner Agencies

Minimum Fiduciary Standards for GEF Partner Agencies
Guidelines on Environmental and Social Safeguards
GEF’s Policy on Environmental and Social Safeguards (effective July 2019)

- **Aims** to prevent, mitigate and manage adverse impacts that GEF projects may have on people or the environment

- **Sets out** requirements for GEF Agencies to assess and manage environmental and social risks throughout the GEF project cycle

- **Outlines** GEF Agencies’ responsibilities to document and report on environmental and social risks and impacts, and management measures

- **Describes** GEF Secretariat’s responsibility to review projects and report to the GEF Council on the type and level of ESS Risks, and associated management measures

Resources

- **Policy:** https://www.thegef.org/sites/default/files/documents/gef_environmental_social_safeguards_policy.pdf
GEF’s Policy on Environmental and Social Safeguards (effective July 2019)

Types of Risks and Impacts to be screened and assessed in GEF Projects/Programs

(MS1) Climate Change and Disaster
(MS1) Disadvantaged or Vulnerable Individuals or Groups
(MS1) Disability Inclusion
(MS1) Adverse Gender-related impact, including Gender-Based Violence and Sexual Exploitation
(MS3) Biodiversity Conservation and the Sustainable Management of Living Natural Resources
(MS4) Restrictions on Land Use and Involuntary Resettlement
(MS5) Indigenous Peoples
(MS6) Cultural Heritage
(MS7) Resource Efficiency and Pollution Prevention
(MS8) Labor and Working Conditions
(MS9) Community Health, Safety and Security
Project and Program Cycle Requirements

GEF’s Policy on Environmental and Social Safeguards (effective July 2019)

PIF/PFD
- Overall preliminary risk rating for project or program
- Types of risks and, if available, risk ratings of identified type(s)
- Any early screening/assessment report(s) and/or any indicative plans/measures to address identified risks, if available

CEO Endorsement/Approval
- Overall project or program risk rating
- Types of risks and risk ratings of each identified type
- Any final screening or assessment reports
- Management measures or plans (if any)

MTR/TE
- Progress report on implementation of management measures
- Any revisions to identified risks
- Any revised/new reports
Private Sector Engagement Strategy (under consultation)
The Two Pillars of GEF Private Sector Strategy

Pillar I: Blended Finance

Pillar II: Market Transformation

The two pillars* are not exclusive and blended approaches are desired.

*Full reference to the pillars of private sector engagement may be found on page 131 of the GEF 7 Replenishment Programming Directions.
PSAG Members

1. Ms Andrea Alvares, Chief Marketing, Innovation and Sustainability Officer; On Behalf of Mr. Guilherme Leal, Co-Founder and Co-Chairman of the Board of Natura Cosmetics, Brazil. Sector: Natural resources, non-timber forest products other consumer goods.

2. Mr. Yoshihiro Ikegawa, Managing Corporate Executive Officer of Mitsubishi Chemical Holdings Corporation, Japan; On behalf of the Chairperson, Mr. Yoshimitsu Kobayashi. Sector: Chemicals.

3. Ms. Darian McBain, Global Director of Corporate Affairs and Sustainability, Thai Union Group, Thailand; On behalf of the CEO, Mr. Thiraphong Chansiri. Sector: Fisheries.

4. Mr. Robert Metzke, Global Head of Sustainability of Royal Philips, Netherlands; On behalf of the CEO, Mr. Frans van Houten. Sector: Technology, Electronics and other consumer goods.

5. Mr. Jeff Turner, Vice President for Corporate Sustainability of Royal DSM, Netherlands; On behalf of the CEO and Chairperson, Mr. Feike Sijbesma. Sector: Food and Nutrition.

6. Ms. Geraldine Vallejo, taking over from Ms. Helen Crowley, Head of Sustainable Sourcing and Innovation, Kering, France; On behalf of the CEO, Mr. François-Henri Pinault. Sector: Consumer goods, Jewelry, Natural resources.

7. Mr. Kevin Rabinovitch, Global VP Sustainability, Mars Incorporated, USA; On behalf of the CEO, Mr Grant Reid. Sector: Agriculture, Food, Natural Resources.

8. Mr. Christopher Stewart, Head of Corporate Responsibility and Sustainability of Olam International, Singapore; On behalf of the CEO, Mr. Sunny Verghese. Sector: Agriculture, Food, Natural Resources.

9. Mr. Serge Rajaobelina, CEO of the Livelihoods Fund at Fanamby, Madagascar. Sector: Ecotourism, non-timber forest products, agriculture, natural resources - SME.

10. Mr. Ajay Vir Jakhar, Chairman of Bharat Krishak Samaj, India. Sector: Agriculture, Food and nutrition - SME.

11. Mr. Bey Soo Khiang, Vice-Chairman of Royal Golden Eagle (RGE), Indonesia; On behalf of the Chairman, Mr. Anderson Tanoto. Sector: Agriculture, Food and Nutrition.

Core Strategic Elements of the Private Sector Engagement Strategy

- Working with Multi-stakeholder Platforms
- Multiple Private Sector Entry Points
- Crowding in the Private Sector
- GEF Partnership Coordination and Knowledge Management
Progress on access to the GEF Portal
OFPs now have real-time access to their project documents...
...and both OFPs and Agencies now also have access to Portal reports as these are rolled out
Thank You!