



REQUEST FOR PROJECT PREPARATION GRANT (PPG)
PROJECT TYPE: Full-sized Project
THE GEF TRUST FUND

Submission date: April 7, 2009
Re-submission date: July 9, 2009

GEF PROJECT ID: 3777

GEF AGENCY PROJECT ID: 606303

COUNTRY(IES): Central African Republic, Democratic Republic of Congo, Republic of Congo, Gabon

PROJECT TITLE: Sustainable management of the wildlife and bushmeat sector in Central Africa

GEF AGENCY(IES): FAO

OTHER EXECUTING PARTNER(S): Commission des Forêts d'Afrique Centrale (COMIFAC), Réseau des aires protégées d'Afrique Centrale (RAPAC), World Conservation Society (WCS), World Wide Fund for Nature (WWF), Center for International Forestry Research (CIFOR), Centre International de Coopération en recherche agronomique pour le développement (CIRAD), Organisation pour la Conservation de la Faune Sauvage en Afrique (OCFSA), Institut de recherche écologique tropical /centre national de recherche scientifique et technique (IRET/CENAREST), Wildlife Trade Monitoring Network (TRAFFIC)

GEF FOCAL AREA(s): Biodiversity

GEF-4 STRATEGIC PROGRAM(s): BD-SP4

NAME OF PARENT/PROGRAM/UMBRELLA PROJECT: Strategic Programme for Sustainable Forest Management in the Congo Basin

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	July 2009
Completion date of PPG	July 2010

B. PAST PROJECT PREPARATION ACTIVITIES (\$)

List of Past Project Preparation Activities	Output of the Activities	Project Preparation Amount (a)	Co-financing (b)	Total c = a + b
n/a	n/a	0	0	0
Total Project Preparation Financing		0	0	0

C. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications:
 The PPG will be used to carry out the technical review and analysis and consultations needed to design a full-scale project on the sustainable management of the wildlife and bushmeat sector in Central Africa for GEF and other donor financing. Start-up activities will include an inception workshop, review of current and previous work and experiences in this sector, and consultation with ongoing bushmeat initiatives. This will be followed by technical studies in each of the four countries and at the sub-regional level, as necessary, on: policy, legal and institutional frameworks; current trends and status of bushmeat extraction and trade (including gathering socio-economic and environmental baseline data); assessment of alternatives to bushmeat; and identification of barriers and constraints to sustainable management of the resource, best practices and lessons learned. Near the end of the technical analysis, the technical studies and draft results/recommendations and proposed project design will be discussed at national workshops with all of the main stakeholders at the country and sub-regional levels with a view to ensuring that the project design correctly reflects the baseline situation, takes into consideration the specific circumstances and situations with respect to

bushmeat and wildlife management in each of the countries, best practices and lessons learned from past and ongoing bushmeat initiatives, etc. Discussion will focus on the results logframe and agreement should be reached on project outcomes, outputs and local/national/regional activities under the project (which activities, where, involving whom, etc.). The workshops will also pay particular attention to reaching agreement on the institutional, implementation and partnership arrangements.

The main outputs of project preparation activities will be relevant socio-economic and environmental baseline data and information about bushmeat, assessment of innovative methods for data collection (e.g. IMAP) and dissemination of knowledge that leads to change (e.g. from RARE, InCEF), evaluations of different options to address the barriers to sustainable utilisation and, ultimately, the full FAO-GEF Project Document for approval and endorsement, the CEO Endorsement Request template and the Biodiversity Tracking Tools. Part of this finalisation process will include a review and endorsement of the project design by all relevant stakeholders in the countries.

List of Proposed Project Preparation Activities	Output of the PPG Activities	Project Prep.	Co-financing	Total
1. Launching/inception workshop	1. Stakeholders informed and supportive of project. 2. Most suitable government, NGO and private sector partners identified and supportive of project. 3. Priority topics for intervention evaluated. 4. Agree on criteria and priorities for the selection of suitable project sites, with special consideration of adequate flagship species (e.g. Gorilla, Okapi); 5. Participatory development of the draft logframe;	20,000	20,000	40,000
2. Cooperation and coordination with other similar initiatives in the region.	1. Other past/ongoing initiatives and expert partners identified and contacted. 2. Best practices and lessons learnt from other initiatives and existing experiences considered and included in project design. 3. Coordination/collaboration arrangements developed with other similar initiatives and projects in the region.	10,000	30,000	40,000
3. Analysis of policy, legislation and institutional framework for bushmeat use in the four project countries.	1. Existing legal provisions and gaps well documented and understood. 2. Innovative strategies and mechanisms identified that facilitate the integration of incentives for sustainable wildlife and bushmeat management in policies and regulatory framework.	20,000	20,000	40,000
4. Assessment of the current status of bushmeat extraction and trade (baseline data), including threats to target species, as well as the current status of viable alternatives for protein and livelihoods.	1. Status of bushmeat extraction and trade well understood and documented, (including physical, ecological, economic and socio-cultural parameters). 2. Levels of threat to target species populations known. 3. Viable alternatives for protein and livelihoods identified, analysed and documented.	130,000	70,000	200,000
5. Identification of constraints to implementation of sustainable wildlife & forest management (SWFM) and evaluation of appropriate measures to address these	1. Social, ecological, economical, political and institutional constraints to widespread implementation of SWFM techniques understood and documented. 2. Suitable management techniques (to address these constraints) analysed and proposed. 3. Priority locations for the implementation	40,000	30,000	70,000

constraints.	of suitable SWFM measures identified			
6. Execution of four national participatory workshops with all concerned stakeholders.	1. Results of the launching workshop and follow-up assessments discussed and agreed. 2. Components of full project further developed and agreed in all four countries. 3. Project objectives, outcomes, outputs and activities reviewed to account for prevailing conditions in each country. 4. Identification of project sites.	40,000	40,000	80,000
7. Compilation of project preparation results and finalisation of project design.	1. Institutional and implementation arrangements agreed. 2. Project process and impact indicators identified, agreed and reflected in the results-based logframe. 2. Monitoring and evaluation plan designed and costed. 3. Financing plan elaborated (including incremental cost analysis, detailed FAO-GEF Oracle budget and cofinancing arrangements). 4. Project document completed, discussed and endorsed by main stakeholders. 4. Final project documents prepared (by FAO), printed and disseminated.	20,000	70,000	90,000
Total Project Preparation Financing		280,000	280,000	560,000

D. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
GEF financing	280,000	28,000
Co-financing	280,000	
Total	560,000	28,000

E. PPG REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹

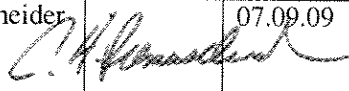
GEF Agency	Focal Area	Country Name/ Global	(in \$)		
			PPG (a)	Agency Fee (b)	Total c = a + b
FAO	Biodiversity	Central African Republic	45,000	4,500	49,500
FAO	Biodiversity	Democratic Republic of Congo	45,000	4,500	49,500
FAO	Biodiversity	Republic of Congo	45,000	4,500	49,500
FAO	Biodiversity	Gabon	45,000	4,500	49,500
TFA	Biodiversity	Tropical Forest Account (TFA/BD-GRE)	100,000	10,000	110,000
Total PPG Requested			280,000	28,000	308,000

F. PPG BUDGET REQUEST

Cost Items	Total Estimated Person Weeks for GEF Grant (PW)	GEF (\$)	Cofinancing (\$)	Total (\$)
Local consultants	196	80,700	60,000	140,700
International consultants	36	66,150	120,000	186,150
Travel		73,150	40,000	113,150
Workshops		60,000	60,000	120,000
Total PPG Budget		280,000	280,000	560,000

G. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF criteria for project identification and preparation.

Agency Coordinator, Agency name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Charles Riemenschneider Director Investment Centre Division Technical Cooperation Dep. FAO 00100 Rome, Italy Barbara Cooney GEF Coordinator Tel: +3906 5705 5478 Email: Barbara.Cooney@fao.org		07.09.09	Moujahed Achouri, Chief FOMC Project Contact Person Edgar Kaeslin Forestry Officer FOMC	+3906 5705 4085 +3906 5705 3213	moujahed.achouri@fao.org edgar.kaeslin@fao.org

Consultants Financed by the Project Preparation Grant (PPG)

Position / Titles	\$/PW₁	Estimated PWs²	Tasks to be performed
Local			
Forest policy and regulation specialist (National Project Coordinator)	700	26	1) Provide technical input to the assessment of bushmeat extraction in the proposed areas of intervention and the identification of potential locations for project implementation; 2) Analyze the existing policy and regulatory frameworks, including relevant tools (e.g. EIA), at the national and local levels to support sustainable wildlife use and habitat management and propose solutions to any shortcomings; 3) Provide recommendations for the development of appropriate policies at the regional, national and local levels, including identification of innovative strategies and mechanisms, possibly on the basis of an experimental project element, which facilitate the integration of proposed solutions into policy and legislation; 4) Provide appropriate models for integrated and sustainable land-use plans that are technically sound and socially acceptable 5) Support closely the team leader and international consultants in implementing the different analysis activities and preparation of the project document.
4 PRA consultants	500	30	1) Undertake a participatory rural appraisal (PRA) and collect baseline data; 2) Assess alternative livelihood options; 3) Identify possibilities for local governance in the potential areas for intervention. 1 consultant * 7.5 weeks * 4 countries
12 Data collection assistants	250	90	cf. PRA consultants The PRA consultant and three data collection assistants will work as a team (1+3) in each country (e.g. assess use of IMAP system for data storage). The other consultants will provide advice to this team and describe what data they need in their specific areas. 3 assistants * 7.5 weeks * 4 countries
Biodiversity/wildlife conservation and re-habilitation specialist	500	10	1) Map and evaluate the status of wildlife (including the impact of wildlife diversity on natural resources); 2) Characterize land use systems (including farming, agro-forestry, timber extraction, etc) and prepare relevant studies on linkages between these systems and bushmeat extraction; 3) Identify and analyze local, and national environmental benefits of wildlife protection; 4) Design appropriate action plans for the preservation of wildlife habitats and sustainable use of bushmeat, including the establishment of wildlife sanctuaries, as appropriate, in particular in the potential project areas; 5) Provide recommendations for appropriate intervention and for the development of appropriate policies at the national and local levels.

Marketing and trade specialist	500	10	<p>1) Analyze and evaluate market forces encouraging bushmeat harvesting and trade;</p> <p>2) Explore opportunities for marketing “wildlife friendly” forest-based products;</p> <p>3) Characterize the most prominent bushmeat value chains from producer to consumer (identify major actors, marginalized groups, highly dependent bushmeat trade groups, destination markets, etc);</p> <p>4) Explore alternative income generating options for vulnerable and highly-dependent bushmeat trade groups in the value chains selected above;</p> <p>5) Develop guidelines for use by timber concessions to ensure that purchased bushmeat has been sustainably harvested.</p>
Rural sociologist/ anthropologist	500	10	<p>Taking into consideration the potential impact of casts, gender and income, the specialist should:</p> <p>1) Address and study the socio-cultural aspects related to bushmeat extraction and use;</p> <p>2) Assess the contribution of bushmeat use and trade to food security and livelihoods improvement, and identify winners and losers of the current state of affairs as well as potential impacts of policy changes on society;</p> <p>3) Review rural development programmes under implementation or planned in the area and propose relevant activities and/or collaborative arrangements with the project that could enhance improvement of local peoples’ living conditions;</p> <p>4) Provide required support to the international team, notably in the assessment of the current status of degraded forests and lands and the identification of potential project areas;</p> <p>5) The expert is expected to assist in the organization of the participatory workshops.</p>
Training specialist	500	10	<p>1) Provide needed support required to ensure the active participation of stakeholders in project preparation, including capacity-building activities;</p> <p>2) Conduct a needs assessment at technical and operational levels to reveal priority areas for capacity building;</p> <p>3) Assist the team leader in the preparation and dissemination of related materials throughout the formulation phase.</p>
Communication/ extension specialist	500	10	<p>(1) Act as facilitator for the consultation process;</p> <p>(2) Prepare an awareness-raising and communication plan to promote the objectives of the full-size project and disseminate lessons learned (assess use of RARE’s and InCEF’s mechanisms);</p> <p>(3) The communication/extension specialist will work in close consultation with the Training Specialist and other team members.</p>
International			
Socio-economist/ Team leader	2100	9	<p>1) Working in close collaboration with the National Project Coordinator and preparation team, the Team Leader is expected to: (a) assess the current status of bushmeat extraction and trade; (b) identify the most prevailing barriers/ constraints to wider implementation of the sustainable wildlife harvesting and forest management (SWFM) techniques (social, political, organizational, and institutional); (c) delineate potential areas for demonstration and implementation of proposed SWFM techniques and the creation of wildlife sanctuaries;</p>

			<p>(d) prepare the project design through a participatory process; and (e) conduct four participatory workshops to agree on the project objectives, outcomes, outputs and activities, criteria for the selection of project sites, and potential project sites;</p> <p>2) Coordinate the activities of the international and local consultants and control the quality of their deliverables;</p> <p>3) Provide recommendations for appropriate interventions and for the development of appropriate policies at the regional, national and local levels, including identification of innovative strategies and mechanisms, possibly on the basis of an experimental project element, which facilitate the integration of proposed solutions into policy and legislation;</p> <p>4) Assist project proponents in finalizing project design and documentation, as well as presenting and disseminating information.</p>
Biodiversity/wildlife conservation specialist	1750	7	<p>Taking into consideration the specific needs of each country, the Biodiversity/Wildlife specialist should, in collaboration with national counterparts:</p> <p>1) Map and evaluate the status of wildlife, including the impact of wildlife on natural resources;</p> <p>2) Identify and analyze local, national and regional environmental benefits of wildlife protection;</p> <p>3) Design appropriate action plans for the preservation of wildlife habitats and sustainable use of bushmeat, including the establishment of wildlife sanctuaries, as appropriate, in particular in potential project areas;</p> <p>4) Provide recommendations for appropriate interventions and for the development of appropriate policies at the regional, national and local levels.</p>
Forest policy specialist	1750	5	<p>1) Provide needed support required to ensure the active participation of stakeholders in project preparation, including capacity-building activities;</p> <p>2) Analyze operational and technical capacities at national, regional and local levels, and identify gaps and capacity building needs;</p> <p>3) Conduct stakeholder capacity analysis at the local level;</p> <p>4) Identify and assess priority areas and alternative strategies for institutional strengthening of relevant institutions;</p> <p>5) Analyze existing policy and regulatory frameworks, including relevant tools (e.g. EIA), at the national and local levels to support sustainable wildlife use and habitat management;</p> <p>6) Provide recommendations for the development of appropriate policies at the regional, national and local levels;</p> <p>7) Elaborate institutional and implementation arrangements, including collaboration with ongoing and planned initiatives;</p> <p>8) Organize and conduct meetings with all relevant institutions to build partnerships and ensure support and collaboration.</p>
Community-based natural resource management (CBNRM) specialist	1750	3	<p>The CBNRM specialist will work closely with the communities of the envisaged project areas and sites to:</p> <p>1) Fully inform them of the planned project and discuss its objectives</p> <p>2) Evaluate their attitudes, interests and traditions, and ensure adaptations to the project design where necessary</p> <p>3) Characterize land use systems (including farming, agro-</p>

			forestry, timber extraction, etc) and prepare relevant studies on linkages between these systems and bushmeat extraction; 4) Provide recommendations for appropriate intervention and for the development of appropriate policies at the regional, national and local levels.
Market and trade specialist with expertise in non-wood forest product value chains	1750	3	Taking into consideration the specific needs of each country, should, in collaboration with national counterparts: 1) Analyze and evaluate market forces encouraging bushmeat harvesting and trade; 2) Explore opportunities for marketing “wildlife friendly” forest-based products; 3) Characterize the most prominent bushmeat value chains from producer to consumer (identify major actors, marginalized groups, highly dependent bushmeat trade groups, destination markets, etc) 4) Undertake studies of opportunity costs and cost-effectiveness for these products; 5) Explore alternative income generating options for vulnerable and highly-dependent bushmeat trade groups in the value chains mentioned above; 6) Develop guidelines for use by timber concessions to ensure that purchased bushmeat has been harvested sustainably.
Economist/ financial analyst	1750	9	Working in close collaboration with the team, prepare the incremental reasoning and description of the baseline scenario and GEF alternative (added value of GEF financing), the project financing plan, including a detailed inputs budget with unit costs and an outcomes/outputs/activities budget. The consultant will also analyze the project’s cost-effectiveness and prepare a costed monitoring and evaluation plan with the support and direct input of the team (national and international specialists).

¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task and corresponds to the dollar amount per person week in the previous column.

Project preparation activities and draft work plan

Project preparation activities

The proposed activities for the project preparation phase are described below in more detail:

Launching/Inception workshop: The aim of this workshop is to inform main stakeholders, agree on priorities, develop draft logframe (results framework) in a participatory manner, and identify and involve potential partners. This will ensure that the most suitable government, NGO and community and private sector partners are fully informed, consulted and supportive of the project. The workshop will further evaluate priority topics for intervention (e.g. policy and institutional development, scientific data collection and monitoring techniques, identification of potential new wildlife reserves and sanctuaries to improve the network of protected areas, etc.). It will also identify and agree on selection criteria for project sites for project intervention and implementation with a special consideration of suitable flagship species (e.g. Gorilla, Okapi).

Main output: Regional stakeholders informed, consulted and supportive of the project; draft results framework (logframe); project site selection criteria; possible project progress and impact indicators identified; agreed work plan, including the identification of collaborating partners and responsible actors.

Mode of operation for coordination with other initiatives in the region established: Other past and ongoing projects and initiatives in the region dealing with the bushmeat problem will be identified and proponents of these activities and their organizations will be consulted. This will ensure that lessons learnt and best practices will be taken into account and that the project is widely supported and that activities are complementary and do not duplicate efforts already undertaken. Gaps in information will be identified.

Main output: Other projects in the region dealing with the bushmeat problem identified and consulted; modalities for collaboration and partnership identified.

Analysis of policy, legislation and institutional framework for bushmeat use in the four project countries:

In each of the four project countries, existing legal provisions and tools at the national and local levels will be reviewed and gaps in the existing policy and regulatory framework will be identified, analyzed and documented. Upon this basis and in collaboration with all other involved stakeholders and partners, recommendations will be made to strengthen the institutional set-up and enhance the legal basis for sustainable wildlife extraction, trade and habitat management and address the identified shortcomings. Appropriate models for integrated and sustainable land use planning that are technically sound and socially accepted will be proposed. Emphasis will be on finding feasible solutions, including alternative strategies that are supported by all stakeholders, and on identifying innovative strategies and mechanisms which facilitate the integration of proposed solutions into policy and legislation.

Main output: Gaps in legal and institutional frameworks for sustainable wildlife management identified and recommendations made for feasible solutions and innovative strategies to address these shortcomings.

Assessment of the current status of bushmeat extraction and trade (baseline data): In each of the four project countries, and possibly other countries of the region, all available information about bushmeat species, their extraction and trade will be compiled, analyzed and documented, including physical, ecological, economic and socio-cultural aspects. Special consideration will be given to evaluate the levels of threat to existing target species populations and to find viable alternatives for protein and income generation of the rural populations, as well as to the assessment and possible use of innovative methods for data collection (e.g. IMAP). A PRA consultant and three data collection assistants will work on this as a team (1+3) in each country. Other consultants hired for the PPG will provide advice to the team and describe what data they need in their specific areas.

Main output: All available information on bushmeat species, their extraction, trade and level of threat compiled in a convenient manner and viable alternatives for protein and income identified

Identification of major constraints to implementation of sustainable wildlife and forest management techniques and evaluation of adequate management measures to address these constraints: Ecological, social, economical, political and institutional constraints to wide implementation of sustainable wildlife and forest management techniques will be identified, analyzed and documented. Upon this basis, adequate management measures to address these constraints will be considered and proposed. This may include recommendations to emphasize policy/institutional development, scientifically sound data collection and monitoring techniques, the creation of new wildlife reserves and sanctuaries (PAs), or to strengthen awareness raising and capacity building in general. Moreover, priority locations for the implementation of such measures and reserves will be identified.

Main output: Constraints to implementation of sustainable wildlife and forest management techniques identified (problem statement) and adequate management measures to address these constraints proposed.

Execution of four national participatory workshops: In each of the four project countries, a workshop will be organized and executed with all concerned stakeholders to discuss the preliminary findings of the legislative review, baseline data and constraints to sustainable wildlife and forest management assessments, as well as the outcomes of the launching/ inception workshop. Besides again aiming to inform all stakeholders, agree on project components and priorities, involve potential partners and agree on the full-fledged project, this workshop will in particular seek adaptations to the specific national and local conditions (environmental, economic, socio-cultural).

Main output: National and local stakeholders informed, consulted and supportive of the project and adaptations to the specific conditions made.

Compilation of project preparation results and finalisation of project design: The draft results framework will be revised to take into account the information collected, results and recommendations and circulated for comment; implementation and institutional arrangements described, including coordination mechanisms with other related initiatives in the sub-region; costed monitoring & evaluation (M&E), including agreed project process and impact indicators; draft financing plan and detailed project budget (by outcome/output/activity with inputs costed) developed. This includes incremental analysis and arrangements for co-financing.

Main output: Draft Project Logframe and financing plans duly prepared

Compilation and dissemination of results

Under this activity, design and documentation of the full-sized project will be finalized, including printing and binding of the final document. The relevant information will then be presented and disseminated to the concerned stakeholders through appropriate fora. Special attention will thereby be given to urgent fields for awareness raising and capacity-building, including innovative methods for capacity building and dissemination of knowledge that are geared to lead to the desired changes (e.g. from RARE, InCEF).

Main output: Draft Project Document finalized and circulated for comments/endorsement. Other documentation finalized, including the CEO Endorsement Request template, Biodiversity Tracking Tools, documentation for FAO's internal project review and approval process.

Draft work plan

Major activities	2009							2010							
	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul
Review of policy, legislation and institutional set-ups		■	■	■	■										
Assessment of baseline data			■	■	■	■	■	■							
Assessment of and coordination with other bushmeat initiatives in the region			■	■	■	■									
Identification of constraints to SWFM techniques and remedial measures			■	■	■	■									
Launching/Inception workshop						■	■	■							
Execution of four national participatory workshops								■	■	■	■	■	■	■	
Draft Project Logframe and financing plans											■	■	■	■	
Compilation and dissemination of results													■	■	■