



## **GEF COUNCIL DECISIONS 2010**

## GEF Council Decisions 2010

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## Decision by Mail – March 2010

### Decision 1/2010      Intersessional Work Program

1. The GEF Council reviewed the proposed [work program](#) posted on February 4, 2010, and approves the work program comprising the following 60 Project Identification Forms (PIFs), subject to the comments submitted to the Secretariat by March 4, 2010 and additional comments submitted on March 17, 2010:

#### **Biodiversity**

1. **Global (Brazil, Kenya, Sri Lanka, Turkey):** Mainstreaming Biodiversity Conservation and Sustainable Use for Improved Human Nutrition and Well-being (UNEP/FAO) (GEF Project Grant : \$5,517,618)
2. **Global (Chile, Indonesia, Nepal, Vietnam):** Expanding FSC Certification at Landscape-level through Incorporating Additional Eco-system Services. (UNEP) (GEF Project Grant : \$2,880,000)
3. **Regional (Cook Islands, Nauru, Tonga, Tuvalu):** PAS Implementing the Island Biodiversity Programme of Work by Integrating the Conservation Management of Island Biodiversity (UNEP) (GEF Project Grant : \$1,740,600)
4. **Regional (Indonesia, Cambodia, Philippines, Vietnam):** Removing Barriers to Invasive Species Management in Production and Protection Forests in SE Asia (UNEP) (GEF Project Grant: \$3,081,045)
5. **Angola:** National Biodiversity Project (World Bank) (GEF Project Grant : \$2,000,000)
6. **Brazil:** Amazon Region Protected Areas Program Phase 2 (World Bank) (GEF Project Grant : \$15,890,000)
7. **China:** CBPF Jiangsu Yancheng Wetlands System Protection Project (ADB) (GEF Project Grant: \$2,500,000)
8. **China:** CBPF: Demonstration of Estuarine Biodiversity Conservation Restoration and Protected Area Networking (FAO) (GEF Project Grant : \$3,636,400)
9. **China:** CBPF: Strengthening the Effectiveness of the Protected Area System in Qinghai Province (UNDP) (GEF Project Grant : \$5,354,545)
10. **Colombia:** Mainstreaming Biodiversity in Palm Cropping in Colombia with an Ecosystem Approach (IADB) (GEF Project Grant : \$4,250,000)
11. **Cuba:** Agricultural Biodiversity Conservation and Man and Biosphere Reserves in Cuba: Bridging Managed and Natural Landscapes (UNEP) (GEF Project Grant : \$1,368,182)

12. **Guatemala:** Promoting Ecotourism to Strengthen the Financial Sustainability of the Guatemalan Protected Areas System (SIGAP). (UNDP) (GEF Project Grant : \$1,295,455)
13. **Malaysia:** Biodiversity Conservation in Multiple-Use Forest Landscapes in Sabah (UNDP) (GEF Project Grant : \$4,400,000)
14. **Malaysia:** Enhancing Effectiveness and Financial Sustainability of Protected Areas (UNDP) (GEF Project Grant : \$5,600,000)
15. **Mexico:** Fostering Sustainable and Competitive Production Systems Consistent with the Conservation of Biodiversity (World Bank) (GEF Project Grant : \$11,688,182)
16. **Russian Federation:** Mainstreaming Biodiversity Conservation into Russia's Energy Sector Policies and Operations (UNDP) (GEF Project Grant : \$7,200,000)
17. **Seychelles:** Strengthening Seychelles' Protected Area System through NGO Management modalities (UNDP) (GEF Project Grant : \$2,100,000)
18. **Sierra Leone:** SPWA-BD Integrated Ecosystems Management Project (World Bank) (GEF Project Grant : \$1,800,000)
19. **Sri Lanka:** Mainstreaming Agrobiodiversity Conservation and Use in Sri Lankan Agroecosystems for Livelihoods and Adaptation to Climate Change (UNEP) (GEF Project Grant : \$1,450,455)
20. **Thailand:** Sustainable Management of Biodiversity in Thailand's Production Landscape (UNDP) (GEF Project Grant : \$1,940,000)

### **Climate Change**

21. **Regional (Antigua And Barbuda, Belize, Grenada, St. Lucia, Trinidad and Tobago):** Energy for Sustainable Development in the Caribbean (ESD-Caraibes) (UNEP) (GEF Project Grant : \$4,859,000)
22. **Regional (Ethiopia, Kenya, Uganda):** Promoting Sustainable Transport Solutions for East Africa (UNEP) (GEF Project Grant : \$2,850,000)
23. **Armenia:** Armenia Energy Efficiency Project (World Bank) (GEF Project Grant : \$1,820,000)
24. **Brazil:** Pilot Project for Methane Mitigation and Recovery from Hydroelectric Power Reservoirs (IADB) (GEF Project Grant : \$2,651,940)
25. **Burkina Faso:** SPWA-CC Promotion of Jatropha Curcas as a resource of Bioenergy in Burkina-Faso (UNDP) (GEF Project Grant : \$1,313,636)
26. **Burundi:** SPWA-CC Energy Efficiency Project (World Bank) (GEF Project Grant : \$1,818,180)
27. **Cape Verde:** SPWA-CC Promoting market based development of small to medium scale renewable energy systems in Cape Verde. (UNIDO) (GEF Project Grant : \$1,718,182)

28. **Chile:** Encouraging the Setting Up and Consolidation of an Energy Service Market in Chile (IADB) (GEF Project Grant : \$2,364,000)
29. **China:** China Energy Efficiency Promotion in Industry (World Bank) (GEF Project Grant : \$4,000,000)
30. **China:** Eco-Transport in City Clusters: Model Development & Pilots (World Bank) (GEF Project Grant : \$4,800,000)
31. **China:** Technology Need Assessment on Climate Change (World Bank) (GEF Project Grant : \$5,000,000)
32. **Colombia:** Catalytic Investments for Geothermal Power (IADB) (GEF Project Grant : \$2,727,000)
33. **Colombia:** Mechanism for Voluntary Mitigation of Greenhouse Gas Emissions in Colombia (IADB) (GEF Project Grant : \$2,700,000)
34. **India:** Market Development and Promotion of Solar Concentrators based Process Heat Applications in India (UNDP) (GEF Project Grant : \$4,400,000)
35. **Indonesia:** Chiller Energy Efficiency Project (World Bank) (GEF Project Grant : \$3,661,575)
36. **Indonesia:** Wind Hybrid Power Generation (WHyPGen) Marketing Development Initiatives (UNDP) (GEF Project Grant : \$2,156,200)
37. **Iran:** Industrial Energy Efficiency in Key Sectors (UNIDO) (GEF Project Grant : \$5,450,000)
38. **Kazakhstan:** Sustainable Transport in the City Of Almaty (UNDP) (GEF Project Grant : \$4,995,000)
39. **Lao PDR:** Rural Electrification Phase II (World Bank) (GEF Project Grant : \$1,818,000)
40. **Mexico:** Lighting and Appliances Efficiency Project (World Bank) (GEF Project Grant : \$7,118,600)
41. **Mexico:** Mitigating Climate Change through Sustainable Forest Management and Capacity Building in the Southern States of Mexico (States of Campeche, Chiapas and Oaxaca) (IFAD) (GEF Project Grant : \$5,000,000)
42. **Morocco:** Energy Efficiency in the Industrial Sector (AfDB) (GEF Project Grant : \$2,730,000)
43. **Nepal:** Kathmandu Sustainable Urban Transport (SUT) Project (ADB) (GEF Project Grant: \$2,818,182)
44. **Pakistan:** Promoting Sustainable Energy Production and Use from Biomass in Pakistan (UNIDO) (GEF Project Grant : \$1,820,000)
45. **Romania:** Financing Public Building Efficiency (EBRD) (GEF Project Grant : \$4,570,000)
46. **Romania:** LGGE Improving Energy Efficiency in Low-Income Households and Regions of Romania (UNDP) (GEF Project Grant : \$2,974,848)

47. **Sudan:** Integrated Carbon Sequestration Project in Sudan (IFAD) (GEF Project Grant : \$3,650,000)
48. **Tanzania:** Mini-Grids Based on Small Hydropower Sources to Augment Rural Electrification (UNIDO) (GEF Project Grant : \$3,350,000)
49. **Thailand:** LGGE Promoting Energy Efficiency in Commercial Buildings in Thailand (PEECB) (UNDP) (GEF Project Grant : \$3,637,273)
50. **Tunisia:** Energy Efficiency and Cogeneration Investment Scale-Up and Biomass Pilot (World Bank) (GEF Project Grant : \$2,410,000)
51. **Turkmenistan:** LGGE Improving Energy Efficiency in the Residential Buildings Sector (UNDP) (GEF Project Grant : \$2,516,280)
52. **Venezuela:** Promotion of Sustainable and Climate-Compatible Rural Development in Lara and Falcon States PROSALAF-A-GEF: (IFAD) (GEF Project Grant : \$3,635,000)

#### **International Waters**

53. **Morocco** MED Integrated Coastal Zone Management-Mediterranean Coast (World Bank) (GEF Project Grant : \$5,181,818)

#### **Multi Focal Area**

54. **Nicaragua:** Integrated Management in Lakes Apanas and Asturias Watershed (IADB) (GEF Project Grant : \$4,040,900)
55. **Thailand:** SFM: Integrated Community-based Forest and Catchment Management through an Ecosystem Service Approach (CBFCM) (UNDP) (GEF Project Grant : \$1,758,182)

#### **POPs**

56. **Regional (Cook Islands, Fiji, Kiribati, Marshall Islands, Micronesia, Niue, Papua New Guinea, Palau, Tonga, Tuvalu, Vanuatu, Samoa):** PAS Pacific POPs Release Reduction Through Improved Management of Solid and Hazardous Wastes (UNEP/FAO) (GEF Project Grant : \$3,275,000)
  57. **Regional (Mali, Tunisia):** Africa Stockpiles Program (ASP) - Project 1- Supplemental Funds for Disposal and Prevention (World Bank) (GEF Project Grant : \$3,960,000)
  58. **Botswana:** Demonstration Project for Decontamination of POPs Contaminated Soils Using Non-thermal Treatment Methods (FAO) (GEF Project Grant : \$1,363,000)
  59. **Kazakhstan:** Elimination of POPs Wastes (World Bank) (GEF Project Grant : \$10,350,000)
  60. **Nigeria:** PCB Management and Disposal Project (World Bank) (GEF Project Grant : \$6,300,000)
2. The GEF Council finds that each PIF presented to it as part of the work program (i) is or would be consistent with the Instrument and GEF Policies and procedures and (ii) may

be endorsed by the CEO for final approval by the GEF Agency, provided that the CEO circulates to the Council Members, prior to endorsement, draft final project documents fully incorporating the [Council's comments on the work program](#) accompanied by a satisfactory explanation by the CEO of how such comments and comments of the STAP reviewer have been addressed and a confirmation by the CEO that the project continues to be consistent with the Instrument and GEF policies and procedures.

## **37<sup>th</sup> Council Meeting – May 2010**

### **Decision 2/2010      Fifth Replenishment of the GEF Trust Fund**

1. The Council, having reviewed the [Summary of Negotiations on the Fifth Replenishment of the GEF Trust Fund](#) (Document GEF/C.37/3) welcomed the successful conclusion of the replenishment, took note of the Summary, and endorsed the Draft Replenishment Resolution, the policy recommendations, and programming document, including the allocation of resources set forth in Table 8 of the programming paper.
2. The Council requested the CEO/Chairperson of the Facility to transmit this Summary to the World Bank with a request that the World Bank Executive Directors be invited to adopt Annex C to this Summary, *Draft World Bank Resolution No.\_\_\_\_, The Global Environment Facility Trust Fund: Fifth Replenishment of Resources*, thereby authorizing the World Bank, as Trustee of the GEF Trust Fund, to manage the resources made available under GEF-5.

### **Decision 3/2010      GEF Corporate Budget for FY11**

1. The Council, after having reviewed the document [GEF Corporate Budget for FY2011](#) (GEF/C.37/5), approved an FY11 Corporate Budget of \$25.990 million comprising:
  - (a) \$17.352 million core budget for the Secretariat;
  - (b) \$3.745 million allocation for the GEF Evaluation Office;
  - (c) \$2.172 million for the STAP; and
  - (d) \$2.721 million for the Trustee.
2. The Council also noted the request for an additional budget for the Secretariat of \$1.173 million that will be needed for the Secretariat to engage in necessary activities in support of anticipated GEF-5 reforms related to management of the Country Support Program and the Secretariat's facilitation of activities under direct access for the



preparation of national communications and portfolio identification exercises. Approval of this additional amount is contingent upon GEF Council approval of necessary plans, policies, and procedures for implementing these reforms.

#### **Decision 4/2010      Delegation of Authority to the GEF Selection and Review Committee**

1. The Council, having reviewed the June 26, 2009, Council decision to establish the Selection and Review Committee (SRC) (**Decision 19/2009**) and the report of the SRC to the Council, agreed to provide the CEO a 7% raise based on the salary levels of the Vice-Presidents of the World Bank, and factors such as performance and tenure. The raise will be applied retroactively from July 01, 2008. Furthermore, the SRC was requested to develop a robust new proposal for reviewing the CEO's, and, if appropriate, the Evaluation Office Director's, salary in such a way that ensures transparency of the process and results.

### **Decision by Mail – June 2010**

#### **Decision 5/2010      Intersessional Work Program**

3. The GEF Council reviewed the proposed [work program](#)<sup>1</sup> posted on May 10, 2010, and approves the work program comprising the following 28 Project Identification Forms (PIFs), subject to the comments submitted to the Secretariat by June 7, 2010:

##### **Biodiversity**

1. **Ethiopia:** Capacity Building for Access and Benefit Sharing and Conservation and Sustainable Use of Medicinal Plants (UNEP) (GEF Project Grant: \$2,047,000)

##### **Climate Change**

2. **Argentina:** Sustainable Use of Biogas from Agro Industrial and Solid Waste Applications (IADB) (GEF Project Grant: \$2,909,000)
3. **Belarus:** LGGE Improving Energy Efficiency in Residential Buildings in the Republic of Belarus (UNDP) (GEF Project Grant: \$4,500,000)
4. **Bhutan:** Promoting Sustainable Rural Biomass Energy (UNDP) (GEF Project Grant: \$1,703,000)
5. **Brazil:** Mitigation Options of Greenhouse Gas (GHG) Emissions in Key Sectors in Brazil (UNEP) (GEF Project Grant: \$4,180,000)

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<sup>1</sup> The Council Member representing the United States of America, in light of its national legislation regarding its country's voting position for development projects financed by certain development institutions, opposed the following projects: (6) *Iran: LGGE Policy Reforms and Market Transformation of the Energy Efficient Buildings Sector*; (17) *Syria: LGGE Energy Efficiency Building Codes*; and (20) *Regional (Djibouti, Egypt, Jordan, Saudi Arabia, Sudan, Yemen): Red Sea and Gulf of Aden Strategic Ecosystem Management*

6. **Iran:** LGGE Policy Reforms and Market Transformation of the Energy Efficient Buildings Sector in the I.R. Iran (UNDP) (GEF Project Grant: \$4,000,000)
7. **Jamaica:** LGGE Promoting Energy Efficiency and Renewable Energy in Buildings in Jamaica (UNEP) (GEF Project Grant: \$2,361,000)
8. **Kazakhstan:** LGGE Promotion of energy efficient lighting in Kazakhstan (UNDP) (GEF Project Grant: \$3,400,000)
9. **Mauritius:** Removal of Barriers to Solar PV Power Generation in Mauritius, Rodrigues and the Outer Islands (UNDP) (GEF Project Grant: \$2,005,000)
10. **Mexico:** Fifth National Communication to the UNFCCC (UNDP) (GEF Project Grant: \$2,707,536)
11. **Namibia:** Concentrating Solar Power Technology Transfer for Electricity Generation in Namibia (NAM CSP TT) (UNDP) (GEF Project Grant: \$1,718,000)
12. **Panama:** Sustainable and climate-friendly development in Veraguas Province - Proyecto Participa (IFAD) (GEF Project Grant: \$1,500,000)
13. **Peru:** Lighting Market Transformation in Peru (UNEP) (GEF Project Grant: \$1,636,000)
14. **Russian Federation:** Reducing GHG Emissions from Road Transport in Russia's Medium-sized Cities (UNDP) (GEF Project Grant: \$5,400,000)
15. **Seychelles:** Grid-Connected Rooftop Photovoltaic Systems (UNDP) (GEF Project Grant: \$1,160,000)
16. **Sri Lanka:** Promoting Sustainable Biomass Energy Production and Modern Bio-Energy Technologies (UNDP/FAO) (GEF Project Grant: \$1,996,250)
17. **Syria:** LGGE Energy Efficiency Buildings Codes (UNDP) (GEF Project Grant: \$3,460,000)
18. **Tajikistan:** Technology Transfer and Market Development for Small-Hydropower in Tajikistan (UNDP) (GEF Project Grant: \$2,000,000)

#### **International Waters**

19. **Global:** Global Foundations For Reducing Nutrient Enrichment and ODFLB Pollution in Support of GNC (UNEP) (GEF Project Grant: \$1,718,182)
20. **Regional (Djibouti, Egypt, Jordan, Saudi Arabia, Sudan, Yemen):** Red Sea and Gulf of Aden Strategic Ecosystem Management (World Bank) (GEF Project Grant: \$3,000,000)
21. **Regional (Jordan, Morocco, Palestinian Authority):** Regional Technical Assistance and Capacity Building for the Promotion of Treated Wastewater Reuse in the Mediterranean /MENA Countries (World Bank) (GEF Project Grant : \$4,545,455)

### **Multi Focal Area**

22. **Regional (Belize, Guatemala, Honduras, Mexico):** Meso-American Barrier Reef System II (World Bank) (GEF Project Grant: \$6,340,000)
23. **Regional (Central African Republic, Congo, Equatorial Guinea):** CBSP – A Regional Focus on Sustainable Timber Management in the Congo Basin (UNEP) (GEF Project Grant: \$3,075,681)
24. **Regional (Mongolia, Russian Federation):** Integrated Natural Resource Management in the Baikal Basin Transboundary Ecosystem (UNDP) (GEF Project Grant: \$3,898,000)
25. **Chile:** Sustainable Land Management (World Bank) (GEF Project Grant: \$5,863,636)

### **Ozone Depleting Substances**

26. **Regional (Belarus, Tajikistan, Ukraine, Uzbekistan):** Initial Implementation of Accelerated HCFC Phase-out in the CEIT Region (UNDP) (GEF Project Grant : \$9,000,000)

### **POPs**

27. **India:** Environmentally Sound Management of Medical Wastes in India (UNIDO) (GEF Project Grant: \$10,000,000)
  28. **Lebanon:** PCB Management Project (World Bank) (GEF Project Grant: \$2,538,900)
4. The Council finds that each PIF presented to it as part of the work program (i) is or would be consistent with the Instrument and GEF Policies and procedures and (ii) may be endorsed by the CEO for final approval by the GEF Agency, provided that the CEO circulates to the Council Members, prior to endorsement, draft final project documents fully incorporating the [Council's comments on the work program](#) accompanied by a satisfactory explanation by the CEO of how such comments and comments of the STAP reviewer have been addressed and a confirmation by the CEO that the project continues to be consistent with the Instrument and GEF policies and procedures.

## **38<sup>th</sup> Council Meeting – June 2010**

### **Decision 6/2010      Relations with the Conventions and Other International Institutions**

1. The Council, having considered document GEF/C.38/3/Rev.1, [Relations with the Conventions and Other International Institutions](#), welcomed the report and requested the GEF partnership to continue to work with recipient countries to reflect the guidance of the conventions and national priorities in their GEF programming and activities.

**Decision 7/2010****Four-year Work Program and Budget of the GEF Evaluation Office**

1. The Council, having reviewed document GEF/ME/C.38/1, [Four-year Work Program and Budget of the GEF Evaluation Office](#), approved the general direction taken for the preparation of the Office's work during GEF-5, and requested the Office, taking into account comments made during the meeting, to prepare for consideration at its June meeting in 2011 a work program budget in two parts:
  - (a) An annual budget for fiscal year 2012 of fixed costs, such as salaries and general operational costs; and
  - (b) A multi-annual budget for evaluations
2. The annual budgets and the four-year evaluation budget will need to be within the cap for GEF-5 to be established for the Evaluation Office, which will also be taken up in the budget proposal to be presented in June 2011.

**Decision 8/2010****Annual Country Portfolio Evaluation Report – 2010**

1. The Council, having reviewed document GEF/ME/C.38/2, [Annual Country Portfolio Evaluation Report – 2010](#), as well as document GEF/ME/C.38/3, [Management Response to the Annual Country Portfolio Evaluation – 2010](#), and having taken note of the two Country Portfolio Evaluations in [Turkey](#) and [Moldova](#) (GEF/ME/C.38/Inf. 2 and GEF/ME/C.38/Inf. 1), requested:
  - (a) The GEF Agencies to systematically involve Operational Focal Points in M&E activities by sharing M&E information with them in a timely manner;
  - (b) The Secretariat to consider provision of specific M&E training to the national focal point mechanism through the Country Support Program; and
  - (c) The Evaluation Office to strengthen, in collaboration with the Secretariat on monitoring issues, the role of Operational Focal Points in monitoring and evaluation in the proposals for revision of the M&E policy.
2. The Council also encouraged the GEF Agencies to give stronger support to environment issues outside their GEF supported projects, and promoted up-scaling with partner governments. The Evaluation Office is further encouraged to keep this general issue under review.

#### **Decision 9/2010      Annual Performance Report 2009**

1. The GEF Council, having reviewed document GEF/ME/C.38/4, [Annual Performance Report 2009](#), as well as GEF/ME/C.38/5, [Management Response to the Annual Performance Report 2009](#), requested the GEF Evaluation Office, the Secretariat and the Agencies to work together in identifying and implementing measures to improve the quality of information available through PMIS on the status of projects through the project cycle, including agency compliance with deadlines for terminal evaluations. The Evaluation Office is requested to report on the progress made in the Annual Performance Report 2010.

#### **Decision 10/2010      Annual Monitoring Report**

1. The Council, after reviewing document GEF/C.38/4, [Annual Monitoring Report \(AMR\) FY2009](#), welcomed the progress the GEF has made in monitoring and reporting portfolio level results as well as measuring performance.
2. The Council also requested the Secretariat to continue to strengthen its role in portfolio monitoring by enhancing the tools it employs to track cohorts of projects in a given fiscal year and over the different GEF replenishment periods, as outlined in paragraphs 85-87 of the document. The Secretariat, in its portfolio monitoring role, can, at its discretion and in collaboration with GEF Agencies, visit projects to review specific monitoring issues as well as for learning purposes. The Council further requested the Agencies to support the Secretariat's monitoring and learning roles by facilitating the missions and reviews.

#### **Decision 11/2010      Streamlining the Project Cycle & Refining the Programmatic Approach**

1. The Council, having reviewed document GEF/C.38/5, [Streamlining the Project Cycle and Refining the Programmatic Approach](#), agreed to the proposed changes to the project cycle as well as to the two types of GEF programmatic approaches, as described in the document.
2. The Council noted that the current project cycle business standard of 22 months between PIF approval and CEO endorsement for full-sized projects has been met by a large share of projects, and agreed to a new standard of 18 months, which will be reviewed at the June 2011 Council meeting.
3. The Council emphasized the imperative to maintain quality at entry in projects and programs, and requested the Secretariat to include the Secretariat review sheets along with the project and program documentation submitted for Council approval.

4. The Council requested the Agencies, in collaboration with the Secretariat, to identify streamlining measures in the project cycles of the Agencies. The Council further requested the Secretariat, in collaboration with the Agencies, to recommend: (i) business standards for Agencies' engagements with the recipient countries and with the GEF Secretariat; and (ii) elapsed time standards between key project cycle milestones, which are:
  - (a) Time elapsed between submission of a project concept to a GEF Agency and the submission of the PIF to the GEF Secretariat;
  - (b) Time elapsed between PIF approval by the GEF Council and CEO endorsement;
  - (c) Time elapsed between CEO endorsement and the first project disbursement.
5. The Council requested the Secretariat to track and report on the elapsed times in the Annual Monitoring Report (AMR).
6. The Council requested the Secretariat to develop the capacity of the GEF Project Management and Information System (PMIS) to gather data throughout the project cycle. The Council requested the Agencies to input the relevant data into the PMIS.
7. The Council requested the Secretariat to prepare a policy paper detailing the full project cycle and the two programmatic approaches, including the changes approved by the Council, and submit it as an Information Document for the November 2010 Council Meeting.

**Decision 12/2010      Policies and Procedures for the Execution of Selected GEF Activities—  
National Portfolio Formulation Exercises and Convention Reports—with  
Direct Access by Recipient Countries**

1. The Council, having reviewed the proposed document GEF/C.38/6/Rev.1, [\*Policies and Procedures for the Execution of Selected GEF Activities – National Portfolio Formulation Exercises and Convention Reports - with Direct Access by Recipient Countries\*](#), approved the proposal contained therein for eligible national entities in recipient countries to receive resources from the GEF Trust Fund directly to:
  - (a) undertake on a voluntary basis, GEF National Portfolio Formulation Exercises to produce portfolio formulation documents; and
  - (b) prepare Convention Reports which include national communications/reports/national implementation plans that are undertaken as obligations under the Conventions.

2. The Council additionally requested the GEF Secretariat, with the CEO acting under delegated authority from the World Bank President, to approve and supervise the activities involved in the provision of GEF resources to such national entities.
3. The Council further endorsed the provision that the costs incurred by the GEF Secretariat and the World Bank with respect to the preparation and supervision of such projects will be fully funded by the GEF.
4. The GEF Council also agreed that the Bank shall not have any liability to the GEF under such instruments signed by the GEF CEO under authority delegated from the President of the World Bank in connection with the provision of grants from the GEF to recipient countries to finance the voluntary preparation of portfolio formulation documents or Convention Reports or for the actions of staff assigned to the GEF with respect to such instruments. The Council further agreed that the Bank shall be indemnified out of the GEF trust fund for any liability toward third parties, and costs and expenses related to any such liability or claims of liability with respect to such instruments.
5. The Council noted that in approving this document, it is approving the amount in the Contingent Budget that was approved by the Council at the 37th Special Council Meeting to support the Secretariat's facilitation of activities under direct access for the preparation of Convention Reports and portfolio formulation exercises<sup>2</sup>.
6. The Council noted that the monitoring and evaluation policy of the GEF applies to all activities supported under direct access.
7. The Council requested the Secretariat to report on implementation progress annually.
8. The Council requested the GEF Evaluation Office to undertake a mid-term review and report to the Council at its spring meeting in 2013.

**Decision 13/2010      Reforming the Country Support Program and Procedures for Implementation**

1. The Council reviewed the proposed document submitted to Council GEF/C.38/7/Rev.1 [Reforming the Country Support Program and Procedures for Implementation](#).
2. The Council approved the proposal for the Secretariat to execute a reformed Country Support Program according to the procedures contained in this document. The reformed Country Support Program will be comprised of the following elements, funded

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<sup>2</sup> See Annex for an account of the resources approved at this Council meeting.

with a total allocation from the GEF Trust Fund of \$26 million, allocated across each activity as specified below:

- (a) Support for country-executed National Portfolio Formulation Exercises (NPFEs): \$3 million;
  - (b) Multi-stakeholder dialogues: \$2 million;
  - (c) Expanded constituency-level workshops: \$10 million;
  - (d) Constituency Meetings: \$3.5 million;
  - (e) Direct support to operational focal points: \$5.5 million;
  - (f) Knowledge management: \$125,000;
  - (g) Familiarization seminars: \$1.875 million.
3. The Council directed the Trustee to set aside \$26 million in GEF Trust Fund resources for use by the Secretariat to fund these activities.
  4. The Council decided that the GEF Secretariat shall facilitate the NPFEs according to the policies and procedures contained in this document, as well as those in document GEF/C.38/6/Rev.1, [\*Policies and Procedures for the Execution of Selected GEF Activities – National Portfolio Formulation Exercises and Convention Reports – with Direct Access by Recipient Countries.\*](#)
  5. The Council noted that in approving this document, it approved the amount in the contingent budget that was approved by the Council at the 37th Special Council Meeting to support the Secretariat’s facilitation of NPFE grants under direct access and transition to a Secretariat-executed Country Support Program<sup>3</sup>.
  6. The Council requested the GEF Secretariat to monitor the impacts of the Country Support Program using criteria to be identified with the help of the Evaluation Office.

**Decision 14/2010      Broadening the GEF Partnership by Operationalizing Paragraph 28 of the GEF Instrument**

1. The Council, having reviewed document GEF/C.38/8, [\*Broadening the GEF Partnership by Operationalizing Paragraph 28 of the GEF Instrument\*](#), noted the benefits to the GEF from broadening its partnership network.
2. The Council recommended that a sub-committee of the Council be established, chaired by the CEO and including six Council Members, three from non-recipient constituencies

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<sup>3</sup> See Annex for an account of the resources approved at this Council meeting.



and three from recipient constituencies, to advise on the development, in a transparent manner, of eligibility criteria for the potential partners.

3. The Council requested the Secretariat, in cooperation with the Trustee, to establish a task force comprised of up to four qualified independent experts from appropriate disciplines to further develop an accreditation procedure.
4. The Council requested the Secretariat to present for decision at the November 2010 Council meeting, a document containing the main findings of the above activities.

**Decision 15/2010      Operational Procedures for the System for a Transparent Allocation of Resources**

1. The Council, having reviewed document GEF/C.38/9/Rev.1, [\*GEF-5 Operational Procedures for the System for a Transparent Allocation of Resources \(STAR\)\*](#), approved the procedures described in the document, and requested the GEF Secretariat to implement the STAR accordingly and to comply with the timeline described in paragraph 21 of the document.

**Decision 16/2010      Agency Process on Meeting GEF's Minimum Fiduciary Standards**

1. The Council, having reviewed document GEF/C.38/10, [\*Agency Progress on Meeting GEF's Minimum Fiduciary Standards\*](#), was pleased with the progress made by the Agencies to come into compliance with the fiduciary standards and expects to see the Agencies meeting their proposed timelines for compliance over the course of the next several years.
2. The Council requested:
  - (a) The Asian Development Bank (ADB) to report to Council by November 2010 on steps taken by the ADB to introduce an annual certification to be signed by staff indicating that their actions are in compliance with ADB's code of conduct and ADB's Anticorruption Policy by November 2010
  - (b) The Food and Agriculture Organization (FAO) to report to Council on steps taken to establish an Ethics Committee and to issue Investigation Guidelines by November 2010.
  - (c) FAO to report to Council by November 2010 on what actions it will take with a clear timeline for meeting GEF fiduciary standards on Financial Management and Control Frameworks, including the implementation of a formal internal control framework

- (d) FAO to meet GEF standards for investigations and whistle blower protection, including the revision of its investigation guidelines and harmonization with the Uniform Guidelines for International Investigations, by its updated timeline of July 2010.
  - (e) IFAD to meet GEF standards on financial disclosure by its updated timeline of December 2010.
  - (f) UNEP Division of Global Environment Facility (DGEF) Coordination to implement GEF standards on external audit, and to meet the intent of IPSAS accounting standards by 2012. UNEP to provide additional documentation on the outstanding standards:
    - i. financial statements prepared in accordance with recognized international accounting standards;
    - ii. management assertion to agency governing body that the internal controls over financial reporting are adequate; and
    - iii. annual audit opinion on the financial statements issued by the external auditor and made public.
3. The Council approved FAO's approach to meet the GEF's procurement process standard by disclosing procurement awards for GEF projects. The Council requested that the GEF Secretariat work with FAO to reach an agreement on the contents and methods of publication by November 2010.

**Decision 17/2010      GEF Selection and Review Committee**

1. The Council, having reviewed document GEF/C.38/11, [SRC Proposal for Reviewing the Salary of the GEF CEO](#), agreed to the process set forth in the document for reviewing the salary of the GEF CEO and to continue to work to increase transparency.
2. The Council, having reviewed, document GEF/C.38/CRP.9, *Proposal for the Rotation of SRC Committee Members*, agreed to the process set forth in the document for rotating committee members.
3. The Council noted the SRC's finding of the outstanding performance of the CEO.
4. The Council requested feedback from the World Bank on adjustments for World Bank Vice Presidents for FY11.
5. The Council may consider an adjustment for the CEO in November 2010.

#### **Decision 18/2010      Other Business**

1. The Council decided to hold the spring 2011 Council meeting during the week of May 23, 2011.

### **39<sup>th</sup> Council Meeting – November 2010**

#### **Decision 19/2010      Relations with the Conventions**

1. The Council, having considered document GEF/C.39/3, [Relations with the Conventions and Other International Institutions](#), welcomed the report. The Council requested the GEF partnership to continue to work with recipient countries to reflect the guidance and national priorities in their GEF programming and activities.

#### **Decision 20/2010      Report of the Evaluation Office Director**

1. The Council, having reviewed document GEF/ME/C.39/1, [GEF Evaluation Office: Progress Report from the Director](#), took note of the on-going work of the Office and the outlines of the work plan for GEF-5 that the Office is preparing, and requested the Office to prepare a detailed work plan and budget for the GEF-5 period at its meeting in May 2011, taking into account comments made at this meeting.

#### **Decision 21/2010      Review of the Earth Fund**

1. The Council, having reviewed the documents, [Review of the Global Environment Facility Earth Fund](#) (GEF/ME/C.39/2 and GEF/ME/C.39/Inf.1) and [Management Response to the GEF Earth Fund Review](#) (GEF/ME/C.39/3), requested the Secretariat, in collaboration with the GEF Agencies and representatives of the private sector, foundations, and civil society organizations, to prepare for the May 2011 Council meeting a revised strategy for enhancing engagement with the private sector. This strategy should provide a clear analysis of the gaps and opportunities for GEF activities, which would secure good value for GEF resources.

#### **Decision 22/2010      Evaluation of the Strategic Priority for Adaptation**

1. The Council, having reviewed documents, [Evaluation of the GEF Strategic Priority for Adaptation](#) (GEF/ME/C.39/4) and [Management Response to the Evaluation of the GEF Strategic Priority for Adaptation](#) (GEF/ME/C.39/5), requested the Secretariat to develop and implement screening tools. These tools will serve as a first step to ensure the mainstreaming and targeting of adaptation and resilience, to reduce the risks from climate change in GEF focal areas and its activities. The Council further requested the

Secretariat to report to its November 2012 meeting on steps taken and progress made, including indicators for RBM and M&E.

2. The Council requested the Secretariat to continue monitoring the implementation of the SPA to ensure lessons can be learned from the portfolio. It requested the Evaluation Office, STAP and the Adaptation Task Force to provide guidelines in 2012 for evaluations of SPA projects to learn from the outcomes and impacts of the projects.

#### **Decision 23/2010      Revised Monitoring and Evaluation Policy**

1. The Council, having reviewed document GEF/ME/C.39/6, [Revision of the GEF Monitoring and Evaluation Policy](#), approved the revised GEF Monitoring and Evaluation Policy contained in Annex 1 of this working document and requested the GEF Evaluation Office to proceed with its publication and wide dissemination.

#### **Decision 24/2010      GEF FY11-14 Business Plan**

1. The Council reviewed document GEF/C. 39/4, [GEF Business Plan for FY 11-14](#), including proposals for implementing the recommendations of the Fifth Replenishment of the GEF Trust Fund and programming GEF-5 resources.
2. The Council requested the Secretariat, in consultation with the GEF entities, to present a combined FY12 budget and FY12-14 business plan for discussion at its May 2011 meeting. This combined budget and business plan should report on the use of GEF resources during FY11 and on GEF accomplishments over the same period. It should also present an updated plan for programming resources and implementing GEF-5 recommendations over the remainder of the GEF-5 replenishment period.

#### **Decision 25/2010      Work Program**

1. The Council reviewed the proposed [work program](#) presented in document GEF/C.39/5 and approved the work program comprising 5 project concepts, subject to comments made during the Council meeting and additional comments that may be submitted, in writing to the Secretariat by December 2, 2010.
2. Total resources requested in this work program amounted to \$157.68 million which included GEF project grants and Agency fees. The approved work program is comprised of the following 5 Project Identification Forms (PIFs):

##### **Biodiversity**

1. **Chile** : Strengthening National Frameworks for IAS Governance - Piloting in the Juan Fernandez Archipelago (UNDP) (GEF Project Grant : \$4,000,000)

2. **Yemen** : Leopards and Landscapes: Using a Flagship Species to Strengthen Conservation in the Republic of Yemen (World Bank) (GEF Project Grant : \$2,430,000)

#### **Climate Change**

3. **Kazakhstan** : Reducing GHG Emissions through a Resource Efficiency Transformation Programme (ResET) for Industries in Kazakhstan (EBRD) (GEF Project Grant : \$7,090,000)

#### **Multi Focal Area**

4. **Global** : 5th Operational Phase of the GEF Small Grants Programme (UNDP) (GEF Project Grant : \$134,615,385)

#### **Ozone Depleting Substances**

5. **Russian Federation** : Phase-out of CFC Consumption in the Manufacture of Aerosol Metered-dose Inhalers (MDIs) in the Russian Federation (UNIDO) (GEF Project Grant : \$2,550,000)
3. With respect to any PIF approved in this work program, the final project document will be posted on the GEF website for information after CEO endorsement. If the GEF CEO determines that there have been major changes to the project scope and approach since PIF approval, the final project document shall be posted on the web for Council review for four weeks prior to CEO endorsement.
  4. With respect to the following two projects, the Council requested the Secretariat to arrange for Council Members to receive draft final project documents and to transmit to the CEO within four weeks any concerns they may have prior to the CEO's endorsing a project document for final approval by the GEF Agency:
    - a) Yemen: Leopards and Landscapes: Using a Flagship Species to Strengthen Conservation in the Republic of Yemen.
    - b) Global: 5th Operational Phase of the GEF Small Grants Programme. 19. The associated Table 1 annexed to this Joint Summary of the Chairs details the funding decisions taken by the Council during this 39th session on the work program.

#### **Decision 26/2010      Results-Based Management and Knowledge Management Work Plan for GEF-5**

1. The Council having reviewed document GEF/C.39/6, [Results-based Management and Knowledge Management Work Plan for GEF-5](#), approved the proposal put forward in

the document and requested the GEF Secretariat to implement the plan accordingly and to comply with the timeline detailed in Annex 1 of the document.

**Decision 27/2010      Broadening the GEF Partnership**

1. The Council, having reviewed Document GEF/C.39/7/Rev.1, [Broadening of the GEF Partnership under Paragraph 28 of the Instrument: Key Policy Issues](#), thanked the members of the Council subcommittee on Paragraph 28 and the experts who served on the Task Force.
2. The Council agreed that the GEF shall launch a pilot on accrediting new agencies under Paragraph 28 of the Instrument. Reflecting the GEF's interest in promoting country ownership and drivenness, priority shall be given to the accreditation of national institutions. Criteria to choose the Agencies that will be part of the pilot will be developed by the Secretariat, in consultation with the existing Council Subcommittee, for approval by Council at its spring 2011 meeting.
3. New agencies accredited to the GEF shall be referred to as GEF Project Agencies. The status of the ten GEF Agencies (the original three Implementing and the seven additional Executing Agencies) shall remain unchanged, but if new rules are developed and applied to accredited GEF Project Agencies, then they shall also be applied to the existing GEF Agencies, as appropriate, in order to maintain a level playing field.
4. The Council requested the Secretariat to prepare, in consultation with the existing Council Subcommittee, a revised set of Value-Added Review Criteria to be presented to the spring 2011 Council.
5. The Council, having reviewed Document GEF/C.39/8/Rev.1, Accreditation Procedure for GEF Project Agencies, approved the accreditation procedure contained therein as the basis for accrediting new GEF Project Agencies. Applicants shall be required to:
  - (a) Undergo a Value-Added Review;
  - (b) Meet all of the GEF Fiduciary Standards; and
  - (c) Meet the environmental and social safeguards criteria, as will be presented for approval by the Council in spring 2011.
6. All Agencies will have to apply all GEF polices and follow the GEF project cycle.
7. The Council directed the Secretariat to undertake the following actions:

- (a) Develop a comprehensive accreditation procedures manual covering all steps in the accreditation procedure, as well as the Stage 1 and Stage 2 application forms;
- (b) Receive and evaluate Stage 1 applications after Council approval in spring 2011 of the pilot on accrediting GEF Project Agencies; and
- (c) Establish an Accreditation Panel with administrative support provided by the Trustee as described in the document.

**Decision 28/2010      Fees and Management Costs**

1. The Council, having reviewed GEF/C.39/9, [\*Rules and Guidelines for Agency Fees and Project Management Costs\*](#), welcomed the clarifications provided in the paper regarding the use of these resources provided by the GEF to meet the administrative costs of both GEF Agencies and projects.
2. The Council requested the Secretariat, in consultation with the Agencies and the Council, to arrange for an external review to establish the current usage of Agency fees. The Council further requested the Secretariat, in collaboration with the Agencies, to present options and/or recommendations to rationalize Agency fees, as appropriate. Finally, the Council requested the GEF Agencies to collaborate with the Secretariat to agree on an annual reporting format to be utilized for future annual reporting starting in spring FY12. For FY11, the Agencies will report using the current format.

**Decision 29/2010      Enhancing the Work of CSOs in the GEF Partnership**

1. The Council, having considered document GEF/C.39/10, [\*Enhancing the Engagement of Civil Society Organizations in the Operations of the GEF\*](#), welcomed the proposal. The Council requested the GEF Secretariat to work with countries, Agencies, the GEF NGO Network and other partners to implement the proposed activities through regular GEF operations.

**Decision 30/2010      Other Business**

1. The Council decided to hold its fall 2011 meeting the week of November 7<sup>th</sup>.
2. The Council, having reviewed document GEF/C.39/12, [\*SRC Proposal for a GEF CEO and EO Director Salary Review Process\*](#), approved the amended process as set forth in the document for the GEF SRC to review the GEF CEO's and EO Director's salaries and to recommend to the Council any adjustment to those salaries at the fall council meetings.

## Annex

### Annex to Decisions 12/2010 and 13/2010 Record of Resources Requested for Corporate Budget and Special Initiatives and Resulting Council Decisions Council Meeting of 06/29/2010

#### Global Environment Facility Trust Fund

**Table 1:** Record of Resources Requested for Corporate Budget and Special Initiatives and Resulting Council Decisions Council Meeting of 06/29/2010

<b>Corporate Budget and Special Initiatives</b>			
	<u>Amounts Requested for Funding</u>	<u>Amounts Approved by Council</u>	<u>Comments</u>
FY08 Corporate Budget			
GEF Secretariat	1,173,000	1,173,000	Support to GEF5 reforms on the Country Support Program and Direct Access*
GEF Evaluation Office		-	
STAP		-	
Trustee			
<b>Sub-total Corporate Budget</b>	<b>1,173,000</b>	<b>1,173,000</b>	
Special Initiatives			
GEF Secretariat	26,000,000	26,000,000	Reforming the Country Support Program and Procedures for Implementation**
GEF Evaluation Office	-	-	
STAP	-	-	
Trustee		-	
<b>Sub-total Special Initiatives</b>	<b>26,000,000</b>	<b>26,000,000</b>	
<b>Total Corporate Budget and Special Initiatives</b>	<b>27,173,000</b>	<b>27,173,000</b>	

\* As presented in the [GEF Corporate Budget for FY 2011](#) in GEF/C.37/5

\*\* Includes:

- (i) Support for country-executed National Portfolio Formulation Exercises (NPFES): \$3 million;
- (ii) Multi-stakeholder dialogues: \$2 million;
- (iii) Expanded constituency-level workshops: \$10 million;
- (iv) Constituency Meetings: \$3.5 million;
- (v) Direct support to operational focal points: \$5.5 million;
- (vi) Knowledge management: \$125,000; and
- (vii) Familiarization seminars: \$1.875 million.